NOT YET SCHEDULED FOR ORAL ARGUMENT No. 18-1125, consolidated with No. 18-1143

United States Court of Appeals for the District of Columbia Circuit

LONG BEACH MEMORIAL MEDICAL CENTER D/B/A MEMORIALCARE LONG BEACH MEDICAL CENTER & MEMORIALCARE MILLER CHILDREN'S AND WOMEN'S HOSPITAL LONG BEACH, Petitioner/Cross-Respondent,

V.

NATIONAL LABOR RELATIONS BOARD,

Respondent/Cross-Petitioner,

and,

CALIFORNIA NURSES ASSOCIATION / NATIONAL NURSES UNITED, Intervenor for Respondent.

On Petition for Review and Cross-Application for Enforcement of an Order of the National Labor Relations Board • Case No. NLRB-21-CA-157007

JOINT APPENDIX VOLUME II OF III – Pages 363 to 565

ADAM C. ABRAHMS, ESQ.
KATHLEEN PATERNO, ESQ.
EPSTEIN BECKER
& GREEN, P.C.
1925 Century Park East,
Suite 500
Los Angeles, California 90067-2506
cemail@ebglaw.com
(310) 557-9559 Telephone

Attorneys for Petitioner/Cross-Respondent

LINDA DREEBEN, ESQ.
JULIE B. BROIDO, ESQ.
JARED DAVID CANTOR, ESQ.
NATIONAL LABOR RELATIONS
BOARD
1015 Half Street, SE
Washington, D.C. 20570
appellatecourt@nlrb.gov
(202) 273-2960 Telephone

Attorneys for Respondent/Cross-Petitioner

MICAH L. BERUL, ESQ.
NICOLE J. C. DARO, ESQ.
PAMELA S. ALLEN, ESQ.
CALIFORNIA NURSES
ASSOCIATION / NATIONAL
NURSES UNITED (CNA/NNU)
155 Grand Avenue
Oakland, California 94612
mberul@nationalnursesunited.org
(510) 273-2200 Telephone

Attorneys for Intervenor

TABLE OF CONTENTS TO JOINT APPENDIX

VOLUME I — PRE-HEARING PLEADINGS & HEARING TRANSCRIPTS

| Date | Item | Page |
|------------|---------------------------------------------------------------------------------|----------------|
| | Certified List of the Board (June 25, 2018) | JA 1-5 |
| 7.28.2015 | Charge filed by CNA/NNU (Charging Party) in NLRB Case No. 21-CA-157007 (Charge) | JA 6 |
| 9.16.2015 | First Amended Charge | JA 7 |
| 10.19.2015 | Second Amended Charge | JA 8 |
| 12.29.2015 | Complaint and Notice of Hearing | JA 9-16 |
| 1.11.2016 | Answer to Complaint | JA 17-22 |
| 3.1.2016 | Order Rescheduling Hearing to April 19, 2016 | JA 23-24 |
| 4.14.2016 | Order Rescheduling Hearing to May 23, 2016 | JA 25 |
| 5.23.2016 | Transcript of Hearing before the ALJ (May 23, 2016) | JA 26-179 |
| 5.24.2016 | Transcript of Hearing before the ALJ (May 24, 2016) | JA 180- 362 |

VOLUME II — HEARING EXHIBITS

| Date | Item | Page |
|------|------------|------------|
| | GC EXH. 1 | JA 363-464 |
| | GC EXH. 2 | JA 465-466 |
| | GC EXH. 3 | JA 467-469 |
| | GC EXH. 4 | JA 470-472 |
| | GC EXH. 5 | JA 473-476 |
| | GC EXH. 6 | JA 477-479 |
| | GC EXH. 7 | JA 480 |
| | GC EXH. 8 | JA 481 |
| | GC EXH. 9 | JA 482 |
| | GC EXH. 10 | JA 483 |
| | GC EXH. 11 | JA 484 |

VOLUME II — HEARING EXHIBITS (Continued)

| Date | Item | Page |
|------|----------------------|------------|
| | GC EXH. 12 | JA 485 |
| | GC EXH. 13 | JA 486 |
| | GC EXH. 14 | JA 487 |
| | GC EXH. 15 | JA 488 |
| | GC EXH. 16 | JA 489 |
| | GC EXH. 17 | JA 490 |
| | GC EXH. 18 | JA 491 |
| | GC EXH. 19 | JA 492 |
| | GC EXH. 20 | JA 493 |
| | GC EXH. 21 | JA 494 |
| | GC EXH. 22 | JA 495 |
| | GC EXH. 23 | JA 496 |
| | | |
| | ER EXH. 1 | JA 497-499 |
| | ER EXH. 2 | JA 500-516 |
| | ER EXH. 3 | JA 517-521 |
| | ER EXH. 4 | JA 522-524 |
| | ER EXH. 5 | JA 525 |
| | ER EXH. 6 | JA 526 |
| | ER EXH. 7 | JA 527-529 |
| | ER EXH. 8 | JA 530-532 |
| | ER EXH. 9 (rejected) | JA 533-548 |
| | ER EXH. 10 | JA 549 |
| | ER EXH. 11 | JA 550 |
| | ER EXH. 12 | JA 551 |
| | ER EXH. 13 | JA 552 |

VOLUME II — HEARING EXHIBITS (Continued)

| Date | Item | Page |
|------|-----------------------|------------|
| | ER EXH. 14 | JA 553 |
| | ER EXH. 15 | JA 554-555 |
| | ER EXH. 16 (rejected) | JA 556-564 |
| | ER EXH. 17 (rejected) | JA 565 |

VOLUME III — POST-HEARING PLEADINGS

| Date | Item | Page |
|------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|------------|
| 8.31.2016 | ALJ's Decision | JA 566-580 |
| 8.31.2016 | Order Transferring Proceeding to the NRLB (with attachments) | JA 581-586 |
| 9.12.2016 | Letter from Counsel for the General Counsel Requesting Extension of Time to File Exceptions | JA 587-588 |
| 9.13.2016 | Board's Granting Extension of Time to File Exceptions and Supporting Brief | JA 589 |
| 10.12.2016 | Counsel for the General Counsel's Limited Exception to the ALJ's Decision | JA 590-592 |
| 10.12.2016 | Counsel for the General Counsel's Brief in Support of Its Limited Exception to the ALJ's Decision ^{1*} | JA 593-611 |
| 10.12.2016 | Charging Party's Exceptions to the ALJ's Decision | JA 612-615 |
| 10.12.2016 | Charging Party's Brief in Support of Exceptions to ALJ's Decision* | JA 616-629 |
| 10.14.2016 | Letter from Long Beach to Board re Requests of Extensions of Time for all Parties filing of Responsive Exceptions and Briefs dated October 14, 2016 | |
| 10.19.2016 | Letter from Board to Parties Granting Long Beach's Extensions of Time dated October 19, 2016 | |

_

^{*}Whether these briefs constitute part of the record is a contested issue before the merits panel.

| Date | Item | Page | |
|------------|-----------------------------------------------------------------------------------------------------------------------------------|------------|--|
| 11.14.2016 | Long Beach's Limited Cross-Exceptions to the ALJ's Decision | JA 635-639 | |
| 11.14.2016 | Long Beach's Brief in Support of Its Limited Cross- Exceptions to the ALJ's Decision* | JA 640-681 | |
| 11.14.2016 | Long Beach's Answering Brief to the General Counsel's Limited Exception and the Charging Party's Exceptions to the ALJ's Decision | | |
| 11.21.2016 | Letter from Counsel for the General Counsel to Board Requesting a 14-day Extension to file Answering Briefs | JA 737-738 | |
| 11.21.2016 | Letter from the Board to Counsel General Counsel Granting re Extension of Time to File Answering Briefs | JA 739 | |
| 11.28.2016 | Counsel for the General Counsel's Reply Brief to Long Beach's Answering Brief | JA 740-747 | |
| 12.12.2016 | Counsel for the General Counsel's Answering Brief to Long Beach's Limited Cross-Exceptions | JA 748-764 | |
| 12.12.2016 | Charging Party's Answering Brief to Long Beach's Limited Cross-Exceptions | JA 765-773 | |
| 12.26.2016 | Long Beach's Reply Brief to the General Counsel's and Charging Party's Answering Briefs | JA 774-785 | |
| 4.20.2018 | Decision and Order | JA 786-798 | |
| 6.20.2018 | Correction to Decision and Order | JA 799-812 | |

^{*} Whether these briefs constitute part of the record is a contested issue before the merits panel.

USCA Case #18-1125 Document #1758750 Filed: 11/05/2018 Page 6 of 208

Re: Long Beach Memorial Medical Center Inc. /d/b/a Long Beach Memorial Medical Center & Miller Children's and Women's Hospital Long Beach

Cases 21-CA-157007

GENERAL COUNSEL'S EXHIBIT NO. 1

INDEX AND DESCRIPTION OF FORMAL DOCUMENTS

- GC Exhibit 1 (a) Original Charge in 21-CA-157007, filed July 28, 2015.
 - (b) Notice of Filing of Charge in 21-CA-157007, dated July 30, 2015.
 - (c) Affidavit of Service of 1(a), dated July 30, 2015.
 - (d) Original Amended Charge in 21-CA-157007, filed September 16, 2015.
 - (e) Notice of Filing of Amended Charge in 21-CA-157007, dated September 16, 2015.
 - (f) Affidavit of Service of 1(d), dated September 16, 2015.
 - (g) Re-Served Corrected Copy of Notice of Filing of Amended Charge in 21-CA-157007, dated September 16, 2015.
 - (h) Affidavit of Service of 1(g), dated September 17, 2015.
 - (i) Original Second Amended Charge in 21-CA-157007, filed October 19, 2015.
 - (j) Notice of Filing of Second Amended Charge in 21-CA-157007, dated October 21, 2015.
 - (k) Affidavit of Service of 1(i), dated October 21, 2015.
 - (I) Complaint and Notice of Hearing, dated December 29, 2015, with forms NLRB-4338 and NLRB-4668 attached.
 - (m) Affidavit of Service of 1(l), dated December 29, 2015.

Filed: 11/05/2018 Page 7 of 208

Re: Long Beach Memorial Medical Center Inc.

/d/b/a Long Beach Memorial Medical Center & Miller Children's and Women's

Hospital Long Beach Cases 21-CA-157007

GENERAL COUNSEL'S EXHIBIT NO. 1

INDEX AND DESCRIPTION OF FORMAL DOCUMENTS

- GC Exhibit 1 (n) Respondent's Answer to Complaint, received January 11, 2016, with Proof of Service attached.
 - (o) Respondent's Motion for Summary Judgment & Memorandum of Points and Authorities, received February 16, 2016.
 - (p) Declaration of Adam C. Abrahms in Support of Respondent's Motion for Summary Judgment & Memorandum of Points and Authorities, received February 16, 2016.
 - (q) Charging Party's Opposition to Respondent's Motion for Summary Judgment, received February 22, 2016.
 - (r) Counsel for the General Counsel's Opposition to Respondent's Motion for Summary Judgment, dated February 22, 2016.
 - (s) Statement of Service of 1(r), dated February 22, 2016.
 - (t) Order Rescheduling Hearing, dated March 1, 2016.
 - (u) Affidavit of Service of 1(t), dated March 1, 2016.
 - (v) Board's Order, dated March 24, 2016.
 - (w) Order Rescheduling Hearing, dated April 14, 2016.
 - (x) Affidavit of Service of 1(w), dated April 14, 2016.
 - (y) Index and Description of Formal Documents.

Filed: 11/05/2018 Page 8 of 208

UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD REGION 21

LONG BEACH MEMORIAL MEDICAL CENTER INC. D/B/A LONG BEACH MEMORIAL MEDICAL CENTER & MILLER CHILDREN'S AND WOMEN'S HOSPITAL LONG BEACH

and

Case 21-CA-157007

CALIFORNIA NURSES ASSOCIATION/ NATIONAL NURSES UNITED (CNA/NNU)

AFFIDAVIT OF SERVICE OF ORDER RESCHEDULING HEARING

I, the undersigned employee of the National Labor Relations Board, being duly sworn, say that on April 14, 2016, I served the above-entitled document(s) by regular mail upon the following persons, addressed to them at the following addresses:

Micah Berul, Legal Counsel California Nurse Association/National Nurses United (CNA/NNU) 155 Grand Avenue Oakland, CA 94612

Cynthia Hanna, Labor Representative California Nurses Association/National Nurses United (CNA/NNU) 225 West Broadway, Suite 500 Glendale, CA 91204

Andrew Prediletto
Assistant Director Collective Bargaining
California Nurses Association/National
Nurses United (CNA/NNU)
225 West Broadway, Suite 500
Glendale, CA 91204

Adam C. Abrahms, Attorney At Law Epstein Becker & Green, P.C. 1925 Century Park East, Suite 500 Los Angeles, CA 90067-2706

Kat Paterno, Attorney at Law Epstein, Becker, Green, P.C. 1925 Century Park E Suite 500 Los Angeles, CA 90067-2700

Long Beach Memorial Medical Center, Inc d/b/a Long Beach Memorial Medical Center & Miller Children's & Women's Hospital Long Beach 2801 Atlantic Avenue Long Beach, CA 90806

April 14, 2016

Date

Aide Carretero, Designated Agent of NLRB

Name

Signature

Filed: 11/05/2018 Page 9 of 208

UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD REGION 21

LONG BEACH MEMORIAL MEDICAL CENTER INC. D/B/A LONG BEACH MEMORIAL MEDICAL CENTER & MILLER CHILDREN'S AND WOMEN'S HOSPITAL LONG BEACH

and

Case 21-CA-157007

CALIFORNIA NURSES ASSOCIATION/NATIONAL NURSES UNITED (CNA/NNU)

ORDER RESCHEDULING HEARING

IT IS HEREBY ORDERED that the hearing in the above-entitled matter is rescheduled from April 19, 2016 at 1:00 pm to 1:00 pm on May 23, 2016 at Hearing Room 902, 888 S Figueroa Street, Ninth Floor, Los Angeles, CA 90017-5449. The hearing will continue on consecutive days until concluded.

Dated: April 14, 2016

Olivia Garcia, Regional Director

National Labor Relations Board, Region 21

888 S Figueroa Street, Ninth Floor

Los Angeles, CA 90017-5449

Filed: 11/05/2018 Page 10 of 208

UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD

LONG BEACH MEMORIAL MEDICAL CENTER, INC. d/b/a LONG BEACH MEMORIAL MEDICAL CENTER & MILLER CHILDREN'S AND WOMEN'S HOSPITAL LONG BEACH

and

Case 21-CA-157007

CALIFORNIA NURSES ASSOCIATION/ NATIONAL NURSES UNITED (CNA/NNU)

ORDER1

The Respondent's Motion for Summary Judgment is denied. The Respondent's argument that the complaint should be dismissed on the basis of an apparent misnomer of the charged party in the initial and amended charges lacks merit, because the Respondent was served with the documents, has fully participated in the pre-complaint proceedings, and has failed to demonstrate that it suffered any prejudice. See Sewell-Allen Big Star, Inc., 294 NLRB 312, 328 (1989), enfd. on other grounds 943 F.2d 52 (6th Cir. 1991), cert. denied 504 U.S. 909 (1992); Musicians Local 655 (Royal Palm Dinner Theatre, Ltd.), 275 NLRB 677, 677 fn. 3 (1985).

Dated, Washington, D.C., March 24, 2016.

PHILIP A. MISCIMARRA,

MEMBER

KENTY HIROZAWA,

MEMBER

LAUREN McFERRAN,

MEMBER

¹ The National Labor Relations Board has delegated its authority in this proceeding to a three-member panel.

Filed: 11/05/2018 Page 11 of 208

UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD

LONG BEACH MEMORIAL MEDICAL CENTER, INC. d/b/a LONG BEACH MEMORIAL MEDICAL CENTER & MILLER CHILDREN'S AND WOMEN'S HOSPITAL LONG BEACH

and

CALIFORNIA NURSES ASSOCIATION/NATIONAL NURSES UNITED (CNANNU) Cases 21-CA-157007

DATE OF SERVICE March 24, 2016

AFFIDAVIT OF SERVICE OF BOARD ORDER

I, the undersigned employee of the National Labor Relations Board, being duly sworn, depose and say that on the date indicated above I served the above-entitled document(s) upon the persons at the addresses and in the manner indicated below. Persons listed below under "E-Service" have voluntarily consented to receive service electronically, and such service has been effected on the same date indicated above.

CERTIFIED & REGULAR MAIL

MICAH BERUL, LEGAL COUSEL CALIFORNIA NURSE ASSOCIATION/NATIONAL NURSES UNITED (CNA/NNU) 155 GRAND AVENUE OAKLAND, CA 94612

REGULAR MAIL

ANDREW PREDILETTO, ASSISTANT
DIRECTOR COLLECTIVE BARGAINING
CALIFORNIA NURSES
ASSOCIATION/NATIONAL NURSES UNITED
(CNA/NNU)
225 WST BROADWAY, SUITE 500
GLENDALE, CA 91204

CERTIFIED & REGULAR MAIL

KATHLEEN F. PATERNO, ESQ. EPSTEIN, BECKER, GREEN, P.C. 1925 CENTURY PARK EAST, SUITE 500 LOS ANGELES, CA 90067-2700

REGULAR MAIL

CYNTHIA HANNA, LABOR REPRESENTATIVE CALIFORNIA NURSES ASSOCIATION/NATIONAL NURSES UNITED (CNA/NNU) 225 WEST BROADWAY, SUITE 500 GLENDALE, CA 91204

CERTIFIED & REGULAR MAIL

ADAM C. ABRAHMS, ESQ. EPSTEIN BECKER & GREEN, P.C. 1925 CENTURY PARK EAST, SUITE 500 LOS ANGELES, CA 90067-2706

REGULAR MAIL

LONG BEACH MEMORIAL MEDICAL CENTER, INC D/B/A LONG BEACH MEMORIAL MEDICAL CENTER & MILLER CHILDREN'S & WOMEN'S HOSPITAL LONG BEACH 2801 ATLANTIC AVENUE LONG BEACH, CA 90806

USCA Case #18-1125

Document #1758750

Filed: 11/05/2018 Page 12 of 208

E-SERVICE

REGION 21, LOS ANGELES, CALIFORNIA NATIONAL LABOR RELATIONS BOARD 888 S FIGUEROA ST FL 9 LOS ANGELES, CA 90017-5449

| Subscribed and sworn before me this | DESIGNATED AGENT | *** |
|-------------------------------------|---------------------------------|-----|
| 24 th day of March 2016. | L. Allen | |
| | MATIONAL LABOREDE ATIONO DO ADD | |
| (a) | NATIONAL LABOR RELATIONS BOARD | |

Filed: 11/05/2018 Page 13 of 208

UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD REGION 21

LONG BEACH MEMORIAL MEDICAL CENTER INC. D/B/A LONG BEACH MEMORIAL MEDICAL CENTER & MILLER CHILDREN'S AND WOMEN'S HOSPITAL LONG BEACH

and

Case 21-CA-157007

CALIFORNIA NURSES ASSOCIATION / NATIONAL NURSES UNITED (CNA/NNU)

AFFIDAVIT OF SERVICE OF ORDER RESCHEDULING HEARING

I, the undersigned employee of the National Labor Relations Board, being duly sworn, say that on March 1, 2016, I served the above-entitled document(s) by regular mail upon the following persons, addressed to them at the following addresses:

Micah Berul, Legal Counsel California Nurse Association/National Nurses United (CNA/NNU) 155 Grand Avenue Oakland, CA 94612

Cynthia Hanna, Labor Representative California Nurses Association/National Nurses United (CNA/NNU) 225 West Broadway, Suite 500 Glendale, CA 91204 Adam C. Abrahms, Attorney at Law Kat Paterno, Attorney at Law Epstein Becker & Green, P.C. 1925 Century Park East, Suite 500 Los Angeles, CA 90067-2706

Long Beach Memorial Medical Center, Inc d/b/a Long Beach Memorial Medical Center & Miller Children's & Women's Hospital Long Beach 2801 Atlantic Avenue Long Beach, CA 90806

March 1, 2016

Date

Aide Carretero, Designated Agent of NLRB

Name

le Carete

Filed: 11/05/2018 Page 14 of 208

UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD REGION 21

LONG BEACH MEMORIAL MEDICAL CENTER INC. D/B/A LONG BEACH MEMORIAL MEDICAL CENTER & MILLER CHILDREN'S AND WOMEN'S HOSPITAL LONG BEACH

and

Case 21-CA-157007

CALIFORNIA NURSES ASSOCIATION / NATIONAL NURSES UNITED (CNA/NNU)

ORDER RESCHEDULING HEARING

IT IS HEREBY ORDERED that the hearing in the above-entitled matter is rescheduled from March 14, 2016 at 1:00 pm to 1:00 pm on April 19, 2016 at Hearing Room 902, 888 S. Figueroa Street, Ninth Floor, Los Angeles, CA 90017-5449. The hearing will continue on consecutive days until concluded.

Dated: March 1, 2016

Olivia Garcia, Regional Director

National Labor Relations Board, Region 21

888 S Figueroa Street, Ninth Floor

Los Angeles, CA 90017-5449

Page 15 of 208

STATEMENT OF SERVICE

Filed: 11/05/2018

I hereby certify that a copy of the Counsel for the General Counsel's Opposition to Long Beach Memorial Medical Center, Inc.'s Motion for Summary Judgment has been submitted by E-filing to the Executive Secretary of the National Labor Relations Board, on the 22nd day of February 2016, and that each party was served with a copy of the same document by e-mail.

I hereby certify that a copy of the Counsel for the General Counsel's Opposition to Long Beach Memorial Medical Center, Inc.'s Motion for Summary Judgment was served by e-mail, on the 22nd day of February, 2016, on the following parties:

Adam C. Abrahms, Attorney at Law Epstein Becker & Green, P.C. aabrahms@ebglaw.com

Kathleen Paterno, Attorney at Law Epstein, Becker, Green, P.C kpaterno@ebglaw.com

Micah Berul, Legal Counsel California Nurse Association/National Nurses United (CNA/NNU) mberul@nationalnursesunited.org

Respectfully submitted,

Aide Carretero

Secretary to the Regional Attorney

National Labor Relations Board, Region 21

Page 16 of 208

UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD Washington D.C.

LONG BEACH MEMORIAL MEDICAL CENTER, INC. D/B/A LONG BEACH MEMORIAL MEDICAL CENTER & MILLER CHILDREN'S AND WOMEN'S HOSPITAL LONG BEACH

And

Case 21-CA-157007

Filed: 11/05/2018

CALIFORNIA NURSES
ASSOCIATION/NATIONAL NURSES UNITED
(CNA/NNU)

COUNSEL FOR THE GENERAL COUNSEL'S OPPOSITION TO LONG BEACH MEMORIAL MEDICAL CENTER, INC.'S MOTION FOR SUMMARY JUDGMENT

Under Board Rule 102.24(b), Counsel for the General Counsel, herein General Counsel, files this opposition to Respondent Long Beach Memorial Medical Center, Inc. D/B/A Long Beach Memorial Medical Center & Miller Children's and Women's Hospital Long Beach's (herein Respondent or the Hospital) motion for summary judgment. This opposition is based on the following:

I. Procedural History and Factual Background

On July 28, 2015, September 16, 2015, and October 19, 2015, respectively, the California Nurses Association/National Nurses United (CNA/NNU) (herein Union) filed its original and first and second amended charges against "MemorialCare Health System, d/b/a Long Beach Memorial Medical Center." The original and amended charges all identify "MemorialCare Health System, d/b/a Long Beach Memorial Medical Center's" address as 2801 Atlantic Avenue.

¹ The public website for Respondent identifies Respondent as "MemorialCare Health System, Long Beach-Memorial."

Filed: 11/05/2018

Long Beach, California 90806, which is Respondent's place of business and address for service Accordingly copies of the original and amended charges were all served upon Respondent at its place of business. On August 12, 2015, Respondent filed a notice of appearance on behalf of Respondent alone, and advised the Region that it should direct all future inquiries and correspondence to Respondent's counsel.

As noted by Respondent in its Motion, Memorial Health System (MHS) is the parent corporation of multiple healthcare facilities, including Respondent. MHS's primary place of business is located at 17360 Brookhurst Street, Fountain Valley, California 92708. At no point did the Region serve copies of the charge or amended charges on MHS at the Brookhurst Street address.

In addition as duly noted by Respondent in its Motion, for approximately fourteen (14) years, the Union has represented approximately 2,000 registered nurses at Respondent's Long Beach facility and had a direct collective-bargaining relationship with Respondent during this time period. The Union does not have a collective-bargaining relationship with MHS. Although the Complaint underlying this matter does not allege any 8(a)(5) allegations, the Union's original and amended charges all included 8(a)(5) allegations.

On September 4, 2015, the Region sent a letter to Respondent's Counsel requesting evidence in response to the Union's first amended charge. In this letter, the Region described the allegations and in doing so named certain locations, supervisors and conduct specific to Respondent. Following receipt of the Region's letter, Respondent's Counsel contacted the Region by phone and e-mail requesting clarification as to the allegations made by the Union. At no point in this initial correspondence did Respondent raise any issue with the way Respondent was named in the original and first amended charges. Moreover Respondent's specific questions

USCA Case #18-1125

regarding the allegations clearly demonstrated that Respondent was aware that the allegations in the first amended charge pertained to Respondent and not to MHS.²

Filed: 11/05/2018

On October 2, 2015, Respondent's Counsel filed a position statement in response to the Union's first amended charge. In that position statement, Respondent stated as follows:

As an initial matter, we assume the Charge has been filed against the Hospital and not against MHS. The charge lists only the Hospital's address and was not served upon MHS. Also both the Region and the Union are well aware that MHS and the Hospital are two distinct and separate legal entities, and the Union is surely aware that it has no relationship with MHS that would allow it to allege an 8(a)(5) violation against it.

In the remaining 18 pages of its October 2, 2015, position statement Respondent Counsel then proceeded to address its factual and legal arguments in response to the Union's allegations and in doing so clearly demonstrated with specificity its cognizance that it was Respondent's conduct at issue in this matter and not that of MHS.

After the Union filed its second amended charge against Respondent, on November 2, 2015, the Region sent a letter requesting Respondent's evidence in response to the second amended charge. Again in this letter, the Region described the new allegations and in doing so named certain locations, supervisors and conduct specific to Respondent. After receipt of this letter, Respondent's counsel contacted the Region by phone requesting clarification that the charge was only against Respondent and not against MHS. The Region confirmed to Respondent's counsel both by phone and in a subsequent e-mail on November 10, 2015, that the charge was indeed only against Respondent and not against MHS and that the Union was not alleging MHS and Respondent as joint employers or otherwise trying to involve MHS in this

² General Counsel will provide copies of the correspondence and other documents referenced throughout should the Board find such documentation necessary in making a determination in this matter.

matter but had simply named Respondent the way it did in its charge, because that was what the Union believed to be Respondent's proper name.³

On November 12, 2015, Respondent's Counsel submitted a position statement in response to the Union's second amended charge. In this position statement, Respondent's Counsel argued that the Union had yet again incorrectly named Respondent in its charge and asserted that because the Union had incorrectly named Respondent yet again it would only respond to the Union's allegations on behalf of MHS and not on behalf of Respondent, despite the fact that Respondent was well aware that MHS was never a party to the Union's allegations. Despite its contention that it would only respond to the Union's allegations on behalf of MHS, in its November 12, 2015, position statement Respondent's Counsel did respond substantively on behalf of Respondent to the Union's allegations in its second amended charge.

At the end of November 2015, the Region authorized complaint on the allegations in the second amended charge which are now included in the underlying complaint in this matter and the Union withdrew the remaining portions of the charge. Thereafter the Region provided Respondent's counsel with a proposed settlement agreement.

On December 22, 2015, the Region discussed the proposed settlement with Respondent's counsel but the parties were unable to come to any agreement over the terms of the settlement agreement. On December 29, 2015, the Region issued its complaint in this matter and in its complaint corrected Respondent's name to its correct legal name, Long Beach Memorial Medical Center, Inc., D/B/A Long Beach Memorial Medical Center & Miller Children's and Women's Hospital.

³ Ironically the Union filed an additional charge against Respondent in Case 21-CA-164479, on November 17, 2015, in which it named Respondent exactly the same way it named Respondent in this matter. Respondent who was represented by the same counsel as in this matter, never once raised Respondent's misnomer in the charge as an issue but rather cooperated in the Region's investigation and eventually reached a non-Board settlement with the Union on January 7, 2016, which led to the Union's withdrawal of the charge.

Filed: 11/05/2018

II. Respondent's Motion for Summary Judgment should be Dismissed as Respondent had Notice of the Charge and an Opportunity to Respond to the Charge Despite the Fact that Respondent was Incorrectly Named in the Charge

Despite any of its contentions and mischaracterizations of fact to the contrary,

Respondent and its counsel are well aware, and always have been, since the beginning of the

Region's investigation, that the Union's charges, are against Respondent. This is true despite the

fact that the Union did not use Respondent's precise legal name in its charges. Any effort to

dissuade the Board of this fact is a waste of the time and the resources of all parties involved.

Although the precise legal name was not included in the Union's charge, the Hospital's primary name, and the name recognized by the public (Long Beach Memorial Medical Center) is included in the charges. In addition the Hospital's address is listed on all three of the Union's charges and Respondent was served with copies of the charge and amended charges at this address. Respondent's Counsel filed a notice of appearance in this matter immediately on behalf of Respondent upon receipt of the charge. Moreover Respondent's counsel was provided the opportunity to respond to the allegations in the charges and did respond substantively to those allegations on behalf of Respondent. In its initial October 2 position statement, Respondent Counsel even stated its cognizance that the charge was against Respondent and not MHS.

Therefore Respondent has at all times been on notice of the allegations against it, has been given an opportunity to participate in the investigation and defend itself against the Union's allegations, and has participated in and defended itself in this proceeding. Respondent has not been prejudiced in any manner and has been afforded due process throughout the Region's investigation. A "misnomer of a respondent in a charge or complaint is not sufficient ground to quash the complaint where respondent had actual notice of the charge and complaint and files an

Page 21 of 208

Filed: 11/05/2018

answer thereto." Sewell-Allen Big Star, Inc., 294 NLRB 312, 328 (1989). In that case the ALJ noted that the respondent, as was Respondent in this matter, was served with a charge at its correct address, had actual notice of the charge filed against it, and answered, participated in and defended the action throughout. Id.

In Peterson Construction Co., supra at 851, the Board noted that "where the error is one of misnomer and the proper Respondent has actual notice of the charge and of the obvious misnomer, to hold the statutory requirements of service are not met is to project legalism to an unwarranted length." In Peterson Construction Co., the Board emphasized in its discussion that the respondent had not been misled or prejudiced by the proceeding. The Board has upheld these principles in subsequent cases including American Geriatric Enterprises, 235 NLRB 1532 (1978); Rosco Concrete Pipe Co., 219 NLRB 915 (1975); American Steamship Co., 222 NLRB 1226, 1231 (1976).

Respondent was made aware multiple times throughout the proceedings who the charges were against. For Respondent to claim now, after it has been served and responded to the Union's allegations, that it did not have adequate notice of the charges made against it and that it has been prejudiced and not been given due process throughout these proceedings, is quite simply preposterous, unnecessarily litigious and a waste of the time and resources of all the parties involved.

⁴ Citing to Peterson Construction Co., 106 NLRB 850 (1953); NLRB v. Process & Pollution Control Co., 588 F.2d 786 fn. 1 at 788 and 789 (10th Cir. 1978)

⁵ In its Motion, Respondent cites to various provisions of the Board's Casehandling Manual and its Rules and Regulations in support of its arguments, but fails to cite to any Board cases to support its arguments.

Page 22 of 208

Filed: 11/05/2018

III. Respondent's Motion for Summary Judgment is Inappropriate as this Matter Presents Material Issues of Fact and Law

A motion for summary judgment will succeed where upon review of all the pleadings and submissions by the parties, there are no material facts or issues of law in dispute to be resolved by a hearing before an administrative law judge (ALJ). Lake Charles Memorial Hospital, 240 NLRB 1330, 1331 (1979). As an initial matter, Respondent's motion fails to meet the standard for obtaining summary judgment because there are material issues of fact and law in dispute.

As established above, Respondent has clearly been put on notice as to the Union's allegations, has responded substantively to the Union's allegations, and has not been prejudiced by the Region's proceedings in this matter or denied due process of law. Accordingly despite Respondent's frivolous and disingenuous contentions in its motion, Respondent is not entitled to summary judgment.

There remain both issues of material fact and law in dispute, which must be resolved at hearing. Namely the Complaint in this matter alleges in paragraph 6 that Respondent has maintained an unlawful rule and in paragraphs 7 (a) and (b) alleges that employees were prohibited from wearing badge reel holders with Union insignia while Respondent permitted employees to wear badge reel holders with other insignia. In its Answer Respondent admits that it maintained the rule alleged in paragraph 6 but denies that the rule is unlawful. In its Answer Respondent denies that it prohibited employees from wearing badge reel holders with Union insignia as described in paragraphs 7(a) and (b).

Thus Respondent's Answer to the Complaint creates material issues of fact and law that need to be litigated before an ALJ. This is a matter that should be heard by an ALJ, and a full record developed to determine whether Respondent's arguments have any merit. Therefore, Respondent's motion should be denied.

Filed: 11/05/2018

IV. Conclusion

In conclusion, the General Counsel respectfully submits that Respondent's motion should be denied; a notice to show cause should not be issued, and the hearing scheduled for March 14, 2016, should not be postponed indefinitely. Section 102.24(b) of the Board's Rules and Regulations states that, "[t]he Board in its discretion may deny the motion where the motion itself fails to establish the absence of a genuine issue, or where the opposing party's pleadings, opposition, and/or response indicate on their face that a genuine issue may exist." Based on the pleadings, the motion, and this Opposition to the motion, genuine issues of law and fact exist which require a hearing. Therefore, Respondent's motion should be denied.

Respectfully submitted,

/s/ Lindsay R. Parker

Lindsay R. Parker, Counsel for the General Counsel Molly Kagel, Counsel for the General Counsel National Labor Relations Board, Region 21 888 South Figueroa Street, Ninth Floor Los Angeles, CA 90017

Dated at Los Angeles, California, this 22nd day of February, 2016.

Filed: 11/05/2018 Page 24 of 208

UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD REGION 21

| In the Matter of: |) | | |
|------------------------------------------------------------|---|------|--------------|
| LONG BEACH MEMORIAL MEDICAL |) | | |
| CENTER, INC., d/b/a LONG BEACH MEMORIAL MEDICAL CENTER AND |) | Case | 21-CA-157007 |
| MILLER CHILDREN'S & WOMEN'S |) | | |
| HOSPITAL LONG BEACH, |) | | |
| Employer, |) | | |
| and |) | | |
| CALIFORNIA NURSES ASSOCIATION/ |) | | |
| NATIONAL NURSES UNITED (CNA/NNU), |) | | |
| Charging Party | | | |
| | | | |

CALIFORNIA NURSES ASSOCIATION/NATIONAL NURSES UNITED'S OPPOSITION TO EMPLOYER'S MOTION FOR SUMMARY JUDGMENT

CALIFORNIA NURSES ASSOCIATION/
NATIONAL NURSES UNITED (CNA/NNU)
LEGAL DEPARTMENT
Micah Berul
2000 Franklin Street
Oakland, CA 94612
Telephone (510) 273-2290
Fax (510) 663-4822
Attorneys for Charging Party CNA/NNU

Pursuant to Section 102.24(b) of the Board's Rules and Regulations, Charging Party

California Nurses Association/National Nurses United hereby submits its Opposition to the

Motion for Summary Judgment (MSJ) filed by Respondent Long Beach Memorial Medical

Center d/b/a Long Beach Memorial Medical Center & Miller Children's and Women's Hospital

Long Beach.

Respondent's MSJ disingenuously asserts that the Board lacks authority to issue complaint based on Respondent having been purportedly incorrectly named as a party to the unfair labor practice charge in this case. Respondent's website names Respondent as MemorialCare Health System and describes Long Beach Memorial Medical Center as one of MemorialCare's medical centers. Charging Party, accordingly, made clear that the charge was directed at Long Beach Memorial Medical Center by naming the Employer as MemorialCare Health System d/b/a Long Beach Memorial Medical Center. See website:

Respondent knew full well who the charge was against, just over a month prior to moving for summary judgment in this case, having entered into a non-Board settlement with the Charging Party in Case 21-CA-164479, which named Respondent identically as in the instant case. See attached Exhibits 1 and 2.

Even assuming there was any misnomer regarding the Employer's name in the charge in this Case, complaints are, of course, routinely drafted to name a Respondent by its correct legal name after a charge is filed and found to be meritorious. Moreover, misnomers do not constitute grounds for dismissal of a complaint. See, e.g., *Peterson Construction Co.*, 106 NLRB 850 (1953) ("Where, as here, the error is one of misnomer and the proper Respondent has actual notice of the charge and of the obvious misnomer, to hold that the statutory requirements of service are not met is to project legalism to an unwarranted length.")

Page 26 of 208

Charging Party also hereby joins in Counsel for General Counsel's Opposition to Long Beach Memorial Medical Center, Inc.'s Motion for Summary Judgment. Respondent's MSJ is a frivolous procedural attempt to avoid a finding concerning its clearly unlawful conduct as alleged in the Complaint. Accordingly, Charging Party respectfully urges the Board to deny Respondent's MSJ.

DATED: February 22, 2016

Respectfully submitted,

CALIFORNIA NURSES ASSOCIATION/ NATIONAL NURSES UNITED (CNA/NNU)

Filed: 11/05/2018

Micah Berul, In-House Legal Counsel Attorney for Charging Party CNA/NNU

Filed: 11/05/2018

Page 27 of 208

FORM EXEMPT UNDER 44 U.S.C 3532

INTERNET FORM NURR-501

UNITED STATES OF AMERICA

DO NOT WRITE IN THIS SPACE

| (2-08) | CHARGE AGAINST EMPL | S BOARD DVED | Case | Dale Filed |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|----------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | DIVINGE NOMINO! CHIEF | .0147 | 21-CA-1 | |
| NSTRUCTIONS; | TO PERSONAL CHARACTER STATE OF THE STATE OF | | | NAME OF THE PARTY |
| an original topacter | Regional Director for the region in which | en ine alleged unfair labor p R AGAINST WHOM CH | racites occurration is a | scavering. |
| a. Name of Employe | i. EMPLOTE | IN VIOLUMO I ANUIONI CL | זאמטב ום פאטטט | 27 H4783 H-22 |
| • | | h All | | b. Tel. No. 562-933-2000 |
| MEMORIAL A | ealth System, d/b/a Long Beacl | u Mewoust Medicai (| enter | a. Cell No. |
| | 2 | | | |
| The state of the s | The state of the s | | | E Fex No. |
| 2801 Atlantic Av | olly, state, and ZIP code) | a. Employer Repres | | |
| Long Beach, CA | | Barry Arbuckle, | 3 / Z.t.t. | a. a-Mall |
| Long Death, OA | 80000 | President and C | ,EO | 10. |
| | | : | | h. Number of workers employed |
| T stronger | | المحاصرة المحاصرة المحاصرة المحاصرة | a | 10,000 + |
| Acute Care Hosp | | j. Identify principal p Healthcare | | |
| k. The above-named | employer has engaged in and is engag | ing in unfair labor practice | s within the meaning | of saction B(s), subsections (1) and (fist |
| aubsections) (5) | the second second second second | Ordine | | al Labor Relations Act, and these untair labor |
| practices are pract | lices affacting commerce within the met | ening of the Act, or these u | or my region. Achir ishor principal | are nutait stadions affecting compares |
| within the meaning | of the Act and the Postal Reorganizati | lon Act. | i | and bisotions alleading calificates |
| 2. Basis of the Charg | a (set forth a clear and concise statem | ent of the facts constituting | the alleged unfair la | hor practices! |
| | | | | lves, has been falling and refusing to |
| bargain collective | elv and in good faith with the Ca | illomia Nurses Asso | ciation (the Linior | i) by, inter alla, announcing as a fait |
| accompil that it w | III outsource Union barnaining | unit work on January | 4 2016 The Ea | aployer's failure to bargain concerning |
| this decision or it | s effects constitutes a mid-term | contract modification | within the mean | ing of Section 8(d) of the Act |
| | | | · widin) (ilo iliodi) | ing of decitors a(a) by the Act. |
| By these and oth | er acts, the above-named Emn | lover through its offic | rere aconte and | representatives, has interfered with. |
| restrained and co | perced its employees in the exe | rcise of the rights out | ranteed in Section | on 7 of the Act |
| | The second secon | and of the rights gar | Maillean in Odolic | an / Of the Act. |
| | | 140 | | |
| 2 5 11 22 2 2 2 | Street to Wilson | NIESTALWIEDESS SANSANSSESSONSSESSONS | | Charles and the control of |
| California Nurses | illing charge (if labor organization, give Association/National Nurses | Inited (CNAJNNU) | name and number) | |
| 1-0-10-10-10-10-10-10-10-10-10-10-10-10- | N. C. T. T. C. | 11 | | |
| 4a. Address (Streat a | nd number, city, state, and ZIP code) | 20.1620 | | 4b. Tel, No. 840-373 3300 |
| | | 4 | | 46. 181, No. \$10-273-2200 |
| 2000 Franklin Str | | | J. 184 | 4c. Coll No. |
| Oakland, CA 946 | 12 | | 79 1 | Land to the second |
| | | * | , | 4d. Fax No. 510-683-4822 |
| | | *\mathred{\chi_0} \text{\$\frac{\chi_0}{\chi_1\chi_0}} | **; | 4e. e-Mail |
| | | | | 7.73 % |
| 5 Cull name of nation | nai or international labor organization o | iggari a Nikis isa — samid? Cilil | 43 ' | 4 |
| organization) AFL-(| | I AUHOU IT IS BUT BUINBIE OF C | guettineut nilit l(d 00 : | illid in when charbe is gled by a lapot |
| Art-(| 210 | | | |
| | 6. DECLARATION | ON | | Tel, No. |
| I declare that I have re | ad the above charge and that the stateme | ints are true to the best of my | knowledge and belief. | 510-273-2292 |
| 11/1/10 | $\Omega_{\lambda,0}$ | | , | |
| By I La | A LL | Micah Berul, In-He | ouse Counsel | Office, If any, Cell No. |
| (signature of represe | idadva ar parson making chorge) | (Print/lype name and title of | | Provide Na |
| = 20 200e988 Es | | | | Fax No. 510-663-4822 |
| | | | | e-Mall |
| 2000 Frank | lin Street, Öakland, CA 94612 | A1 | 11/17/15 | mberul@calnurses.org |
| MOCINE . | | Si delegation | (data) | Mooral@oantaloca.org |
| WILLFUL FALSE ST | TATEMENTS ON THIS CHARGE CAN | BE PUNISHED BY FINE | AND IMPRISONMEN | IT III S. COOF, TITLE 18, SECTION 1001) |

PRIVACY ACT STATEMENT

Sulfchallon of the information on this form is authorized by the National Labor Rolations Act [NLRA], 29 U.S.C. § 151 of seq. The principal use of the information is assist the National Labor Rolations Board (NLRR) in processing unifor labor practice and related proceedings or lifegion. The rolline uses for the information are fully set to the Fourier Register. 71 Fed. Reg. 78942-43 (Dec. 13, 2006). The NLRB with further explain these uses upon reguest. Disclosure of this information to the NLRB is voluntary; however, fullow to supply the information will engise the NLRB to deciding to invoke its processes.

ехнівіт 1

PICC LINE SERVICE AGREEMENT

WHEREAS on November 4, 2015 Long Bench Memorial Medical Center ("Hospital") notified the California Narses Association ("Union") that it intended to stop directly performing PICC Line Expansibilities which would result in the elimination of three bargaining unit position;

WHEREAS on November 3, 2015 the Union filed a contractual grievance ("Grievance") and on November 17, 2105 the Union filed an unfair labor practice in NLRB Case No. 21-CA-0164479 ("PICC DLP"), both concerning the potential subcontracting of the PICC Line service; and

WHEREAS the parties have met, conferred and exchanged information and proposals concerning the subcontracting of the PICC Line Service and the transition of the impacted employees.

In light of the above, and as a result of the discussions, the parties AGREE AS FOLLOWS:

- 1. The Hospital shall assist the two remaining PICC Line RNs [] and [] in finding, as soon as possible, another appropriate vacant position of their choosing for which they are qualified.
- 2. To accommodate and permit time for the affected RNs to obtain another position, the Hospital will keep the PRC Line Unit operational until the date the last PICC Line RN is transferred into another position, however, if a PICC Line RN does not select an appropriate vacant position for which the is qualified prior to Pebruary 4, 2016, the Hospital may, in accordance with Article 21.8.4. transfer the RN into a vacancy determined by Hospital provided it has the same shift and number of regularly scheduled hours as her easient assignment.
- 3. In the event the Hospital decides to reopen the PICC Line Unit or create a PICC Line position(s), any RNs who are currently employed by the Hospital at the time of the position opening and who were employed in the Unit as of November 4, 2105 shall be granted preference to apply for any such position prior to other internal or external candidates.
- 4. By signing this Agreement the Union is withdrawing the Grievance and further agrees to withdraw the PICC ULP no later than January 15, 2016.

This Agreement is mutually entered into by both the Union and the Hospital in resolution of the decisions, action and issues specified herein.

AUREED.

LIMITES:

CIVA

Diceter

1///15_

MOSBOTA

Br. SHANIN

Date

EXHIBIT

Filed: 11/05/2018 F

Page 29 of 208

PROOF OF SERVICE

The undersigned hereby declares under penalty of perjury that I am a citizen of the United States, over the age of eighteen years, and not a party to the within action; that my business address is 155 Grand Ave., Oakland, California 94612.

On the date below, I served a true copy of the following document:

CALIFORNIA NURSES ASSOCIATION/NATIONAL NURSES UNITED'S OPPOSITION TO EMPLOYER'S MOTION FOR SUMMARY JUDGMENT

via E-filing addressed as follows:

Gary Shinners, Executive Secretary National Labor Relations Board 1015 Half St. SE Washington, D.C. 20570

Via Email addressed as follows:

Olivia Garcia, Regional Director National Labor Relations Board, Region 21 888 S. Figueroa St., Ninth Floor Los Angeles, CA 90017 Olivia Garcia@NLRB.gov

Lindsay R. Parker, Counsel for the General Counsel Molly Kagel, Counsel for the General Counsel National Labor Relations Board, Region 21 888 S. Figueroa St., Ninth Floor Los Angeles, CA 90017 Lindsay.Parker@NLRB.gov Molly.Kagel@NLRB.gov

Adam C. Abrahms
Kathleen F. Paterno
Epstein Becker & Green, P.C.
1925 Century Park East, STE. 500
Los Angeles, CA 90067
aabrahms@ebglaw.com
kpaterno@ebglaw.com

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

DATED: February 22, 2016

Tym Tsehnearin

UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD

LONG BEACH MEMORIAL MEDICAL CENTER, INC. dba LONG BEACH MEMORIAL MEDICAL CENTER AND MILLER CHILDREN'S & WOMEN'S HOSPITAL LONG BEACH,

Respondent,

CALIFORNIA NURSES ASSOCIATION / NATIONAL NURSES UNITED (CNA/NNU),

Charging Party.

Case No. 21-CA-157007

DECLARATION OF ADAM C. ABRAHMS IN SUPPORT OF LONG BEACH MEMORIAL MEDICAL CENTER, INC.'S MOTION FOR SUMMARY JUDGMENT & MEMORANDUM OF POINTS AND **AUTHORITIES**

DECLARATION OF ADAM C. ABRAHMS

- I, Adam C. Abrahms, declare as follows:
- I am an attorney duly licensed to practice law in the State of California. I am a 1. partner with the law firm Epstein Becker & Green, P.C., counsel of record for Long Beach Memorial Medical Center d/b/a Long/Beach Memorial Medical Center and Miller Children's & Women's Hospital Long Beach (collectively "LBMMC"). I have personal knowledge of the facts stated in this declaration, and if called as a witness, I could and would competently testify thereto.
- 2. LBMMC is a hospital with approximately 5,000 employees. The California Nurses Association ("Union") has represented the Hospital's registered nurses for about fourteen (14) years. The current collective bargaining agreement between the parties became effective July 1, 2012 and remains effective until March 31, 2016.
 - 3. Memorial Health Services ("MHS") is the parent corporation of LBMMC.
- MHS and LBMMC are separate and distinct legal entities. Each have separate governing Boards of Directors, separate Chief Executive Officers (MHS' CEO is Barry

FIRM:34692983v1

Arbuckle) and other executives, as well as separate finances, among other things. LBMMC has a completely different CEO and other executives, etc. I, and my law firm, represent both MHS and LBMMC separately. Both Region 21 ("Region") and the Union were well aware of all these facts before the filing of the original Charge at issue.

Filed: 11/05/2018

- 5. Attached hereto as Exhibit 1 is a true and correct copy of Charge filed by the Union in Case 21-CA-157007 on July 28, 2015. In the Charge, the Union named "MemorialCare Health System, D/B/A Long Beach Memorial Medical Center" as the Employer/charged party. No such legal entity exists. The Charge listed Barry Arbuckle as the President and CEO of the employer/charged party (Barry Arbuckle is not the President and CEO of LBMMC) and stated that the employer/charged party had "10,000+" employees (LBMMC only has about 5,000). The allegations in the Charge were devoid of any identifiable facts.
- 6. On September 4, 2015, the Region sent a letter requesting a statement of position and setting forth a plethora of facts that were not only not implicated in the Charge, but also served to cause great confusion regarding which entity the allegations were being asserted against. Upon receiving the Region's letter, counsel for MHS and LBMMC sought clarification from the Region regarding which entity the Union was alleging violated the Act, as well as clarification regarding the convoluted allegations themselves, and requested that the Region have the Union amend the Charge to identify and correctly name the intended charged party.
- Attached hereto as Exhibit 2 is a true and correct copy of the First Amended Charge filed by the Union in Case 21-CA-157007 on September 16, 2015. While the Union used the opportunity to amend to include all sorts of new allegations that were not even inferred in its original Charge, the First Amended Charge failed to amend the name of charged party.
- 8. Instead, the First Amended Charge continued to name "MemorialCare Health System d/b/a Long Beach Memorial Med. Ctr." as the charged party, continued to name Barry Arbuckle as the CEO and President, and continued to claim the charged party had 10,000 employees. From the context of the investigation, the Charge remained directed at the parent corporation, MHS.

Page 32 of 208

- The First Amended Charge alleged violations of Sections 8(a)(1) and 8(a)(5) of 9. National Labor Relations Act, as amended, (the "Act"). The Union does not have a collective bargaining agreement with MHS or any relationship with MHS whatsoever, yet the allegations seemed to infer MHS was the (or an) intended party. Thus, counsel for LBMMC and MHS made further attempts to clarify the Union's allegations and the party it was alleging them against, noting that if the Union was making allegations against LBMMC, the Charge should be amended to reflect that. No such amendments were made.
- 10. Consequently, on October 2, 2015, counsel for LBMMC and MHS filed an 18page statement of position. On the very first page of the statement, counsel immediately pointed out that the charged party's name was incorrect, and that no such entity existed. The statement also provided the correct legal name for both LBMMC and MHS.
 - 11. The first page of the statement of position submitted on October 2, 2015, stated:

The Charge has incorrectly named the employer as "MemorialCare Health System d/b/a Long Beach Memorial Med. Ctr." The correct name of the Hospital is "Long Beach Memorial Medical Center, Inc. d/b/a Long Beach Memorial Medical Center and Miller Children's & Women's Hospital Long Beach," and the correct name of the Hospital's parent corporation, is "Memorial Health Services" ("MHS").

The statement of position also noted that "both the Region and the Union are well aware that MHS and the Hospital are two distinct and separate legal entities, and the Union is surely aware that it has no relationship with MHS that would allow it to allege an 8(a)(5) violation against it."

- 12. Attached hereto as Exhibit 3 is a true and correct copy of Second Amended Charge filed by the Union in Case 21-CA-157007 on October 19, 2015. However, yet again, the only amendments made were to the Union's own allegations in an attempt to keep its meritless allegations alive.
- 13. The Second Amended Charge, like the two (2) before it, continued to name "MemorialCare Health System d/b/a Long Beach Memorial Med. Ctr." as the charged party, continued to name Barry Arbuckle as the CEO and President, and continued to claim the charged

party had 10,000 employees. Based on this Second Amendment, especially in light of the investigation, the Charge continued to be directed solely to MHS.

- 14. The Region requested a second position statement be filed by November 12, 2015.
- Despite repeated communications and requests for clarification/amendment by counsel for LBMMC and MHS regarding which entity the Union's allegations were against, the assigned Board agent, Lindsay Parker, on November 10, 2015—just two (2) days before the second position statement was due—further confused the issue by indicating that while the Charge was directed against MHS, the Union was not making any joint employer or alter ego allegations, and in fact, the Union actually intended to bring the allegations against LBMMC.

 Attached hereto as Exhibit 4 is a true and correct copy of the November 10, 2015 correspondence exchange between me and Ms. Parker.
- Health System d/b/a Long Beach Memorial Med. Ctr.," it became clear—two (2) days before the second position statement was due—that the Union had not only incorrectly named the charged party but had also filed the Charge against the wrong entity. I responded to Ms. Parker's November 10 e-mail by stating that given that the Charge had been filed and maintained against the wrong entity (MHS), we would address and clarify that issue for the Region in a position statement, which we did. See Exhibit 4. I also noted that if, in light of the information provided in the November 12, 2015 position statement, the Union wished to withdraw and re-file or finally amend the Charge to name the correct party and its correct legal name, we would be happy to respond to any additional questions or provide any additional statements of position the Region may request thereafter. *Id*.
- 17. On November 12, 2015, we submitted another statement of position on behalf of MHS only, in response to the Second Amended Charge and again made it clear that the Charge had incorrectly named the wrong employer. It stated:

As the Region is aware, the Second Amended Charge filed by the California Nurses Association ("Union" or "CNA") has incorrectly named the employer as "MemorialCare Health System d/b/a Long Beach Memorial

Med. Ctr." The correct name of the legal entity is "Memorial Health Services" ("MHS"). MHS is the parent corporation of Long Beach Memorial Medical Center, Inc. Long Beach Memorial Medical Center, Inc. owns and operates the hospital with which the Union has a relationship, Long Beach Memorial Medical Center and Miller Children's & Women's Hospital Long Beach ("Hospital"). MHS and the Hospital are separate and distinct legal entities, and each have separate governing Boards of Directors, separate Chief Executive Officers and other executives and separate finances, among other things.

- 18. The Union and the Region were both fully aware that the Union had incorrectly named and directed the Charge against the wrong the charged party and that there were two entities potentially implicated by the both the face of the Charge and the allegations. Despite this, the Union was given two (2) opportunities to liberally amend its own allegations in its Charge, and yet the Union, on both occasions, completely failed to amend the Charge to identify LBMMC as the charged party or to provide LBMMC's correct legal name.
- 19. More important, once the Region provided counsel for LBMMC and MHS confirmation on November 10, 2015 that MHS was not the intended charged party, the Region still did not require the Union to amend the Charge to identify and correctly name the intended charged party. Rather, it let the erroneously filed Charge stand.
- 20. At no time prior to the issuance of the Complaint did the Region or the Union withdraw and re-file or amend the Charge to name LBMMC as the charged party and provide its correct legal name. Thus, LBMMC had never been a party to any of the Charge in Case 21-CA-157007.
- 21. Attached hereto as Exhibit 5 is a true and correct copy of the Complaint and Notice of Hearing issued in Case 21-CA-157007 on December 29, 2015. The Complaint named "Long Beach Memorial Medical Center, Inc. D/BA Long Beach Memorial Medical Center & Miller Children's and Women's Hospital Long Beach" as the Respondent, even though LBMMC was never a named or properly charged party in the Charge or Case.
- 22. Attached hereto as Exhibit 6 is a true and correct copy of the Answer to the Complaint filed by LBMMC on January 11, 2016.

///

Filed: 11/05/2018

Page 35 of 208

I declare under penalty of perjury that the foregoing is true and correct. Executed this 15 day of February, 2016, at Los Angeles, California.

ADAM C. ABRAHMS

FIRM:34692983v1

Filed: 11/05/2018 Page 36 of 208

EXHIBIT 1

Filed: 11/05/2018

Page 37 of 208

ENDIS EXEMPT UNITED AND SIZE P 1547

INTERNET FORM NLRB-501 (2-08) UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

| | ACMIS ENGINE I GROEK 44 0/9'E 48 15 |
|--------------|-------------------------------------|
| DO NOT WRITE | IN THIS SPACE |
| Case | Date Filed |
| 21-CA-157007 | 07-28-15 |

| INSTRUCTIONS: File on original with NLRB Regional Director for the region to white) 1. EMPLOYER | | | | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|
| 1. EMPLOYER | | | | |
| | AGAINST WHOM CHARGE IS BROUGHT | | | |
| a. Name of Employer | | b. Tel, No. 562-933-2000 | | |
| MemorialCare Health System, d/b/a Long Beach Memorial Medical Center | | c. Cell No. | | |
| | | | | |
| | T. E. C. E. C. C. | (. Fax No. | | |
| d. Address (Street, city, state, and ZIP code) 2801 Atlantic Avenue | e. Employer Representative Barry Arbuckle, Ph.D. | g. e-Mail | | |
| Long Beach, CA 90806 | 3. C. IANTI | | | |
| Cong Beach, CA 90000 | President and CEO | h, Number of workers employed | | |
| | | 10,000 + | | |
| Type of Establishment (factory, mine, wholesaler, etc.) Acute Care Hospital | J. Identify principal product or service Healthcare | | | |
| k. The above-named employer has engaged in and is engagin | ng in unfair labor practices within the meaning of | section 8(a), subsections (1) and (list | | |
| subsections) (5) | of the National I | Labor Relations Act, and these unfair labor | | |
| practices are practices affecting commerce within the mean | | | | |
| within the meaning of the Act and the Postal Reorganizatio | - | a surair biociona amanii g antimiarea | | |
| 2. Basis of the Charge (set forth a clear and concise statemen | | comptions) | | |
| Within the past six months, the Employer has uni | - 100-100 W | | | |
| • | | hess code policy that offiawiony | | |
| interferes, restrains and coerces employees' right | | | | |
| By these and other acts, the above-named Emplo | | | | |
| | | | | |
| restrained and coerced its employees in the exerc | cise of the fights guaranteed in Section | 7 of the Act. | | |
| | | | | |
| | | A | | |
| | | A | | |
| | | 20 | | |
| | | 20 PH | | |
| 3. Full name of party filing charge (if labor organization, give i | full name, including local name and number) | 20 PI J | | |
| 3. Full name of party filing charge (if labor organization, give if California Nurses Association/National Nurses Un | full name, including local name and number) | 20 | | |
| | full name, including local name and number) hited (CNA/NNU) | 20 PH 4:59 | | |
| 3. Full name of party-filing charge (if labor organization, give if California Nurses Association/National Nurses Unda. Address (Street and number, city, state, and ZIP code) | full name, including local name and number) hited (CNA/NNU) | 20 PH 4:5 | | |
| | full name, including local name and number) hited (CNA/NNU) | 50 bil #: 25 | | |
| 4a. Address (Street and number, city, state, and ZIP code) | full name, including local name and number) hited (CNA/NNU) | 4b. Tel. No. \$10-273-2200 | | |
| 4a. Address (Street and number, city, state, and ZIP code) 2000 Franklin Street | full name, including local name and number) hited (CNA/NNU) | 2) 13 Pi 7 27 27 27 4b. Tel, No. 510-273-2200 | | |
| 4a. Address (Street and number, city, state, and ZIP code) 2000 Franklin Street | full name, including local name and number) hited (CNA/NNU) | 4b. Tel. No. \$10-273-2200 | | |
| 4a. Address (Street and number, city, state, and ZIP code) 2000 Franklin Street Oakland, CA 84612 | | 4b. Tel. No. 510-273-2200 4c. Cell No. 4d. Fax No. 510-863-4822 4e, e-Mail | | |
| 4a. Address (Street and number, city, state, and ZIP code) 2000 Franklin Street Oakland, CA 94612 5. Full name of national or international labor organization of v | | 4b. Tel. No. 510-273-2200 4c. Cell No. 4d. Fax No. 510-863-4822 4e, e-Mail | | |
| 4a. Address (Street and number, city, state, and ZIP code) 2000 Franklin Street Oakland, CA 84512 5. Full hame of national or international labor organization of a | | 4b. Tel. No. 510-273-2200 4c. Cell No. 4d. Fax No. 510-863-4822 4e, e-Mail | | |
| 4a. Address (Street and number, city, state, and ZIP code) 2000 Franklin Street Oakland, CA 84612 5. Full name of national or international labor organization of v | which it is an alfiliate or constituent unit (to be fille | 4b. Tel. No. 510-273-2200 4c. Cell No. 4d. Fax No. 510-863-4822 4e, e-Mail | | |
| 4a. Address (Street and number, city, state, and ZIP code) 2000 Franklin Street Oakland, CA 94512 5. Full name of national or international labor organization of organization) AFL-CIO 5. DECLARATION | which it is an alfiliate or constituent unit (to be fille N Is are inte to the best of my knowledge and ballat. | 4b. Tel. No. 510-273-2200 4c. Cell No. 4d. Fax No. 510-863-4822 4e, e-Mail Tel. Np. 510-273-2292 | | |
| 4a. Address (Street and number, city, state, and ZIP code) 2000 Franklin Street Oakland, CA 94512 5. Full name of national or international labor organization of organization) AFL-CIO 5. DECLARATION | which it is an amiliate or constituent unit (to be fille N Is are true to the best of my knowledge and ballat, Micah Berut, In-House Counsel | 4b. Tel. No. 510-273-2200 4c. Cell No. 4d. Fax No. 510-863-4822 4e. c-Mail | | |
| 4a. Address (Street and number, city, state, and ZIP code) 2000 Franklin Street Oakland, CA 84612 5. Full 'name of national or international labor organization of vorganization) AFL-CIO 6. DECLARATION I declare that I have read the above charge and that the statement | which it is an alfiliate or constituent unit (to be fille N Is are inte to the best of my knowledge and ballat. | 4b. Tel. No. \$10-273-2200 4c. Cell No. 4d. Fax No. 510-863-4822 4e. c-Mail Tel. No. 510-273-2292 Office, if any, Cell No. | | |
| 4a. Address (Street and number, city, state, and ZIP code) 2000 Franklin Street Oakland, CA 94612 5. Full name of netional or international labor organization of organization) AFL-CIO 6. DECLARATION By | which it is an affiliate or constituent unit (to be filled N Is are interested by knowledge and ballet, Micah Berul, In-House Counsel (Printlypo name and little or office, if any) | 4b. Tel. No. 510-273-2200 4c. Cell No. 4d. Fax No. 510-863-4822 4e. e-Mail Tel. No. 510-273-2292 Office, if any, Cell No. 510-810-7791 | | |
| 4a. Address (Street and number, city, state, and ZIP code) 2000 Franklin Street Oakland, CA 94612 5. Full name of netional or international labor organization of organization) AFL-CIO 6. DECLARATION By | which it is an amiliate or constituent unit (to be fille N Is are true to the best of my knowledge and ballat, Micah Berut, In-House Counsel | 4b. Tel. No. 510-273-2200 4c. Cell No. 4d. Fax No. 510-863-4822 4e, e-Mail Tel. Np. 510-273-2292 Office, if any, Cell No. 510-610-7791 Fax No. 510-663-4822 | | |

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CÓDE, TITLE 18, SECTION 1601)
PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Lator Relations Act (NLRA), 29 U.S.C. § 151 of seq. The principal use of the information is to assist the National Lator Relations Board (NLRA) in processing unfair lator practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Oec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

JA 39

 Filed: 11/05/2018 Page 38 of 208

EXHIBIT 2

Filed: 11/05/2018 Page 39 of 208

Form NLRB - 501 (2-08)

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD FIRST AMENDED CHARGE AGAINST EMPLOYER INSTRUCTIONS:

DO NOT WRITE IN THIS SPACE

Casu

Date Filed

21-CA-157007

Q9-16-15

| and the second of the second of the second | | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| File an original of this charge with NLRB Regional | | | |
| <u> </u> | EMPLOYER AGAINST WHOM CHARGE IS BI | | |
| a. Name of Employer MEMORIALCARE HEALTH SYSTEM, D/B/A LONG BEACH MEMORIAL MEDICAL CENTER | | b. Tel. No: (562)933-2000 c. Cell No. | |
| | | C, Cell No. | |
| d. Address (street, city, state ZIP code) 2801 ATLANTIC AVENUE, LONG | | | |
| BEACH, CA 90806 | President and CEO | g. e-Mail | |
| 6 E | lo . | h. Dispute Location (City and State) LONG BEACH, CA | |
| i. Type of Establishment (factory, nursing home, hotel) |). Principal Productior Service | k. Number of Workers at dispute location | |
| Acute Care Hospital | Healthcare | | |
| The above-named employer has engaged in and the National Labor Relations Act, and these unfair labor practices are unfair practices affecting comme | abor practices are practices affecting commercial | a within the meaning of the Act, or those unfair | |
| 2. Basis of the Charge (set forth a clear and concise Within the past six months, the Employ unlawfully interferes, restrains and coescode policy with regard to union insigning good faith with the Union, and without the mid-term modification. Such conduct violand is continuing to date. By these and employees in the exercise of their Sect 3. Full name of party filing charge (If labor organization). 3. Full name of party filing charge (If labor organization). 4. Address (street and number, city, state, and ZIF 2000 FRANKLIN STREET, OAKLAND, | e stalement of the facts constituting the alleged er has: promulgated and maintained roes employees' right to wear union in a; and unilaterally implemented the dr he Union's consent, despite the fact the clates Section 8(a)(5), and additionally other acts, the Employer has been in ion 7 rights. Jon, give full name, including local name and in INATIONAL NURSE UNITED (CNAME code) | an overly broad dress code policy that signla; disparately enforced the dress ress code policy without bargaining in that the dress code policy without bargaining in that the dress code policy change was a y independently violates Section 8(a)(1), terfering, restraining and coercing without the dress code policy change was a y independently violates Section 8(a)(1), terfering, restraining and coercing without the dress code policy change was a y independently violates Section 8(a)(1), terfering, restraining and coercing without the dress code policy that seem that the dress code policy change was a y independently violates Section 8(a)(1), terfering, restraining and coercing without the dress code policy change was a y independently violates Section 8(a)(1), terfering, restraining and coercing without the dress code policy change was a y independently violates Section 8(a)(1), terfering, restraining and coercing without the dress code policy change was a y independently violates Section 8(a)(1), terfering, restraining and coercing without the dress code policy change was a y independently violates. | |
| | (510)610-7791 4d. Fix No. (510)663-4622 4e. e-Mail mberul@calnurses.org | | |
| 5. Full name of national or international labor organication) | canon of which it is an ambate of constituent an | n (in he illied in when charge in filed by a labor | |
| DECLARATION I declare that I have read the above charge and my knowledge and belief. | 4) ¥ | Tel. No. (510)273-2200 | |
| ex muit | MICAH BERUL IN-HOUSE COUNSEL. | Office, if any, Cell No. (510)610-7791 | |
| (signature of representative of person multip, the | itge) Frint Name and Title | Fax No. (510)663-4822 C | |
| Address: 2000 FRANKLIN STREET, OAKLAND, CA 94612 | Date: 9/16/15 | s-Mail mberul@calnurses.øfg | |

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE IS SECTION 1001)
PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

USCA Case #18-1125 Document #1758750 Filed: 11/05/2018 Page 40 of 208

EXHIBIT 3

Filed: 11/05/2018

Page 41 of 208

| X) | | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------|------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| INTERNET UNITED STATES OF AMERI | | DONO | T WRITE IN THIS SPACE |
| SECOND AMENDED CHARGE AGAINST EMPLO | | Case | Date Filed |
| ACTIVITY OF ACTIVITY OF ACTIVITY AND ACTIVIT | N-Std | 21-CA-157007 | 10 10 15 |
| NSTRUCTIONS: File an orliginal with NLRB Registral Director for the miglion in which | the alloyed unfair inher p | ractice accurred or is necu | i. 10-19-15 |
| | AGAINST WHOM CI | ARGE IS BROUGHT | |
| a. Name of Employer | NA | | b. Tel No. 562-933-2000 |
| MemorialCare Health System, d/b/a Long Beach | Memorial Medicai (| Center | c. Gell No. |
| | | | f. Fax No. |
| d. Address (Street, city, state, and ZIP code) 2801 Atlantic Avenue | e: Employer Repres Barry Arbuckle, | | g. e-Mall |
| Long Beach, CA 90806 | President and C | | g. e-wan |
| | | | h. Number of workers employed |
| i. Type of Establishment (factory, mine, wholesaler, etc.) | j. Identify principal p | roduct or sender | 10,000 + |
| Acute Care Hospital | Healthcare | | |
| k. The above named employer has engaged in and is engagin | g in unfair labor practice | s within the mounting of s | ection 8(a), subsections (1) and (list |
| subsections) (5) | | | abor Relations Act, and these unfair labor |
| practices are practices affecting commerce within the mean within the meaning of the Act and the Postal Reorganization | | ınfair labor practices are | unfair practices affecting commerce |
| 2. Basis of the Charge (set forth a clear and concise statemen | t of the facts constituting | the alleged unfair labor | practices) |
| Within the past six months, the Employer has: pro | mulgated and main | tained an overly bro | ad dress code policy that |
| unlawfully interferes, restrains and coerces emplo | yees' right to wear | unlon insignia; dispa | rately enforced the dress code |
| policy with regard to union insignia; unilaterally im | plemented the dres | s code policy withou | it bargaining in good faith with the |
| Union, and without the Union's consent, despite the | | | |
| and on or about October 7, 2015, harassed a Nur | • | | - |
| policy. Such conduct violates Section 8(a)(5), and | d additionally indepe | endently violates Se | ction 8(a)(1), and is continuing to |
| date. | , | | |
| By these and other acts, the Employer has been i Section 7 rights. | nterrering, restrainir | ig and coercing emp | ployees in the exercise of their |
| | · | | |
| Full name of party-ling charge (it liabor organization, give it California Nurses Association/National Nurses United Inc. | ited (CNA/NNU) | name and number). | |
| 4a. Address (Street and number, oity, stele, and ZIP code) | | | 45. Tel. No. 510-273-2200 |
| 2000 Franklin Street | | | Annual Property of the Control of th |
| Oakland, CA 94612 | | | 4c. Gell Na: |
| | | | 4d. Fax No: 510-663-4822 |
| | | | 4e, o-Mail |
| | | 0 1 | I |
| 5. Full name of national or international labor organization of organization) AFL-CIO | vhich it is an affiliate or c | onstituent unit (to be filler | d in when charge is illed by a labor, |
| a projection | | | |
| 6. DECLARATIO I declare that I have read the above charge and that the statement | | | Tal Ma |
| mre | N s are Irue to the best of m | knowledge and belief. | Tel. No. 510-273, 2292 |
| By J. L. C. | s are true to the best of my Micah Berut, In-H | ouse Counsel | Tel. No. 510-273-2292 Office, If any, Cell.No. 510-610-7791 |
| (xignature of representative or parson making charge) | s are true to the best of my | ouse Counsel | 510-273-2292 Office, If any, Cell No. |
| (xignature of representative or person making charge). | s are true to the best of my Micah Berut, In-H | ouse Counsel or office, if any) | 510-273-2292 Office, If any, Cell.No. 510-610-7791 Fax No. 510-663-4822 e-Mail |
| | s are true to the best of my Micah Berut, In-H | ouse Counsel | 510-273-2292 Office, if any, Cell No. 510-610-7791 Fax No. 510-663-4822 |

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE FUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)

PRIVACY ACT STATEMENT

Solicilation of the information on this form is authorized by the National Later Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Later Relations Board (NLRB) in processing untal labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in ... Federal Register, 71 Fed. Reg. 74942-43 (Oec. 13, 2006). The NLRB will further explain those uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

Filed: 11/05/2018 Page 42 of 208

EXHIBIT 4

Page 43 of 208

Filed: 11/05/2018

Adam C. Abrahms

From:

Adam C. Abrahms <AAbrahms@ebglaw.com>

Sent:

Tuesday, November 10, 2015 4:25 PM

To:

Parker, Lindsay

Cc:

Kat Paterno

Subject:

RE: Long Beach

Thank you Lindsay.

Given that the Charge is filed and now maintain against the wrong entity we will submit a position statement by Thursday clarifying that issue. Once the Region receives our position statement, and should the Union not withdraw or again amend, we will be happy to respond to any additional questions the Region may have.

Thank you again for your professional courtesy and cooperation.

Adam

EPSTEIN BECKER GREEN

Adam C. Abrahms | <u>Bio</u> t 310.557.9559 | f 310.943.3367 AAbrahms@ebglaw.com

1925 Century Park East
Suite 500 | Los Angeles, CA 90067-2506
t 310.556.8861 | www.ebglaw.com
Visit our Management Memo Blog
Think Green. Please consider the environment before you print this message. Thank you.

CONFIDENTIACITY NOTE! This communication is intended only for the person or entige; which it is addressed and may contain information that is universed, confidential or off-envise protected from disclosure. Dissemination, distribution stopping of this continuous intended distribution stopping of this continuous intended distribution or an employee or agent responsible for delivering the message to the intended description. It is prohibited, if you have received this communication in error, please all the Help Desk of Epstein Becker & Green, P.C. at (219, 351-4701) and destroy the original message and all copies.

Pursuant to the DAN-SPAM, Act this communication may be considered avoids ment or officiation. If you could be receive future marketing and promotional mailings, please submit your request via email to ebgus@ebglaw.com or via postal chall to Epstein Becker & Green, P.C. Attr. Tark sting Department, 250 Park Avenue, New York, NY 10177. Be sure to include; our email addres. "Submitting your request via postal mail."

From: Parker, Lindsay [mailto:Lindsay.Parker@nlrb.gov]

Sent: Tuesday, November 10, 2015 10:13 AM

To: Adam C. Abrahms

Cc: Kat Paterno Subject: Long Beach

Adam:

I spoke with Union Counsel this morning and as I suspected and relayed to you, he confirmed that in filing this charge, the Union did not intend to make any joint employer allegations or to include Memorial Health System as a Charged Party. He said in drafting the charge, he included what he believed to be the legal name of the hospital. Accordingly the charge is only against Long Beach Memorial Medical.

USCA Case #18-1125

Document #1758750

Filed: 11/05/2018 Page 44 of 208

Thanks,

Lindsay R. Parker Field Attorney National Labor Relations Board, Region 21 888 S. Figueroa Street, Ninth Floor Los Angeles, California 90017 Dir. (213) 894-5224

Fax: (213) 894-2778

USCA Case #18-1125 D

Document #1758750

Filed: 11/05/2018 Page 45 of 208

EXHIBIT 5

Filed: 11/05/2018

Page 46 of 208

C

ACA-

EPSTEIN BECKER & GREEN

DEC 3 1 2015

UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD REGION 21

LONG BEACH MEMORIAL MEDICAL CENTER, INC. D/B/A LONG BEACH MEMORIAL MEDICAL CENTER & MILLER CHILDREN'S AND WOMEN'S HOSPITAL LONG BEACH

and

Case 21-CA-157007

CALIFORNIA NURSES ASSOCIATION/ NATIONAL NURSES UNITED (CNA/NNU)

COMPLAINT AND NOTICE OF HEARING

This Complaint and Notice of Hearing is based on a charge filed by California Nurses
Association/National Nurses United (CNA/NNU) (Union). It is issued pursuant to Section 10(b)
of the National Labor Relations Act (the Act), 29 U.S.C. § 151 et seq., and Section 102.15 of the
Rules and Regulations of the National Labor Relations Board (the Board) and alleges that
Memorialcare Health System, D/B/A Long Beach Memorial Medical Center, whose correct
name is Long Beach Memorial Medical Center, Inc. D/B/A Long Beach Memorial Medical
Center & Miller Children's and Women's Hospital (Respondent) has violated the Act as
described below.

- 1. (a) The charge in this proceeding was filed by the Union on July 28, 2015, and a copy was served on Respondent by regular mail on July 30, 2015.
- (b) The first amended charge in this proceeding was filed by the Union on September 16, 2015, and a copy was served on Respondent by regular mail on September 17, 2015.

- (c) The second amended charge in this proceeding was filed by the Union on October 19, 2015, and a copy was served on Respondent by regular mail on October 21, 2015.
- 2. (a) At all material times, Respondent, a health-care institution, whose primary facility with adjacent buildings is located at or within the proximity of 2801 Atlantic Avenue, Long Beach, California, herein the Long Beach facility, has been engaged in providing health-care services and has been an independent nonprofit subsidiary corporation of Memorial Care Health System (MHS).
- (b) In conducting its operations described above in paragraph 2(a), during the 12-month period ending October 30, 2015, a representative period, Respondent derived gross revenues in excess of \$250,000, and purchased and received at its Long Beach, California facility goods valued in excess of \$50,000 directly from points outside the State of California.
- 3. At all material times, Respondent has been an employer engaged in commerce within the meaning of Section 2(2), (6) and (7) of the Act, and a health-care institution within the meaning of Section 2(14) of the Act.
- 4. At all material times, the Union has been a labor organization within the meaning of Section 2(5) of the Act.
- 5. At all material times, the following individuals have held the positions set forth opposite their respective names and have been supervisors of Respondent within the meaning of Section 2(11) of the Act and agents of Respondent within the meaning of Section 2(13) of the Act:

Shawn Kang

Executive Human Resources Director

Filed: 11/05/2018 Page 48 of 208

Cynthia Rocha

Human Resources Director

Colleen Coonan

Director of General Pediatrics

Robin Johnson

Assistant Unit Manager

6. Since at least July 1, 2015, Respondent has maintained the following rule which is contained in Respondent's Dress Code and Grooming Standards Policy/Procedure #318 (dated March 3, 2014):

"Only MHS approved pins, badges, and professional certifications may be worn."

- 7. (a) About July 9, 2015, Respondent, by Colleen Coonan, in the Children's Department of the Long Beach facility, prohibited an employee from wearing a badge reel holder containing Union insignia while permitting employees to wear badge reel holders containing other insignia.
- (b) About October 7, 2015, Respondent, by Robin Johnson, in the Outpatient Surgery Department of the Long Beach facility, prohibited an employee from wearing a badge holder containing Union insignia while permitting employees to wear badge reel holders containing other insignia.
- 8. By the conduct described above in paragraphs 6 and 7, Respondent has been interfering with, restraining, and coercing employees in the exercise of the rights guaranteed in Section 7 of the Act in violation of Section 8(a)(1) of the Act.
- 9. The unfair labor practices of Respondent described above affect commerce within the meaning of Section 2(6) and (7) of the Act.

ANSWER REQUIREMENT

Filed: 11/05/2018

Respondent is notified that, pursuant to Sections 102.20 and 102.21 of the Board's Rules and Regulations, it must file an answer to the complaint. The answer must be received by this office on or before January 12, 2016, or postmarked on or before January 11, 2016.

Respondent should file an original and four copies of the answer with this office and serve a copy of the answer on each of the other parties.

An answer may also be filed electronically through the Agency's website. To file electronically, go to www.nlrb.gov, click on E-File Documents, enter the NLRB Case Number, and follow the detailed instructions. The responsibility for the receipt and usability of the answer rests exclusively upon the sender. Unless notification on the Agency's website informs users that the Agency's E-Filing system is officially determined to be in technical failure because it is unable to receive documents for a continuous period of more than 2 hours after 12:00 noon (Eastern Time) on the due date for filing, a failure to timely file the answer will not be excused on the basis that the transmission could not be accomplished because the Agency's website was off-line or unavailable for some other reason. The Board's Rules and Regulations require that an answer be signed by counsel or non-attorney representative for represented parties or by the party if not represented. See Section 102.21. If the answer being filed electronically is a pdf document containing the required signature, no paper copies of the answer need to be transmitted to the Regional Office. However, if the electronic version of an answer to a complaint is not a pdf file containing the required signature, then the E-filing rules require that such answer containing the required signature continue to be submitted to the Regional Office by traditional means within three (3) business days after the date of electronic filing. Service of the answer on each of the other parties must still be accomplished by means allowed under the Board's Rules and Regulations. The answer may not be filed by facsimile transmission. If no answer is filed, or

Filed: 11/05/2018 Page 50 of 208

if an answer is filed untimely, the Board may find, pursuant to a Motion for Default Judgment, that the allegations in the complaint are true.

NOTICE OF HEARING

PLEASE TAKE NOTICE THAT on March 14, 2016, at 1:00 p.m. PST at the National Labor Relations Board, Region 21, 888 South Figueroa Street, Ninth Floor, Hearing Room 902, Los Angeles, CA, and on consecutive days thereafter until concluded, a hearing will be conducted before an administrative law judge of the National Labor Relations Board. At the hearing, Respondent and any other party to this proceeding have the right to appear and present testimony regarding the allegations in this complaint. The procedures to be followed at the hearing are described in the attached Form NLRB-4668. The procedure to request a postponement of the hearing is described in the attached Form NLRB-4338.

DATED at Los Angeles, California, this 29th day of December 2015.

Attachments

Olivia Garcia, Regional Director

National Labor Relations Board, Region 21 888 South Figueroa Street, Ninth Floor

Los Angeles, CA 90017-5449

USCA Case #18-1125

Document #1758750

Filed: 11/05/2018 Page 51 of 208

EXHIBIT 6

Filed: 11/05/2018 Page 52 of 208

UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD REGION 21

LONG BEACH MEMORIAL MEDICAL CENTER INC. d/b/a LONG BEACH MEMORIAL MEDICAL CENTER AND MILLER CHILDREN'S & WOMEN'S HOSPITAL LONG BEACH

-and-

CALIFORNIA NURSES
ASSOCIATION/NATIONAL NURSES UNITED
(CNA/NNU)

Case 21-CA-157007

LONG BEACH MEMORIAL MEDICAL CENTER, INC.'S ANSWER TO THE COMPLAINT

Adam C. Abrahms, Esq.
Kathleen F. Paterno, Esq.
Epstein Becker & Green, P.C.
1925 Century Park East, Ste. 500
Los Angeles, CA 90067
Attorneys for Long Beach Memorial Medical
Center, Inc.

Page 53 of 208

Pursuant to Sections 102.20 and 102.21 of the Board's Rules and Regulations,

Long Beach Memorial Medical Center, Inc., which owns and operates Long Beach Memorial

Medical Center and Miller Children's & Women's Hospital Long Beach (collectively

"LBMMC"), by its attorneys Epstein Becker & Green, P.C., hereby answers the Complaint and

Notice of Hearing ("Complaint") in the above-captioned matter as follows:

Filed: 11/05/2018

Preamble: With respect to the allegations in the first sentence of the Preamble

Paragraph of the Complaint, LBMMC denies knowledge or information sufficient to form a

belief as to the truth of the allegations and therefore denies the allegations. With respect to the

allegations in the second sentence of the Preamble, LBMMC denies that it is a proper respondent
to this action. LBMMC, upon information and belief, denies that there is any legal entity in
existence named "Memorialcare Health System, D/B/A Long Beach Memorial Medical Center"
and thus also denies that such nonexistent entity's "correct name" is "Long Beach Memorial
Medical Center, Inc. D/B/A Long Beach Memorial Medical Center & Miller Children's and
Women's Hospital." Upon information and belief, LBMMC admits that the correct legal name
of Long Beach Memorial Medical Center, Inc.'s parent corporation is Memorial Health Services
("MHS"), which LBMMC admits, upon information and belief, is a nonprofit corporation with
its headquarters in Fountain Valley, California. LBMMC admits that it is a separate and distinct
legal entity from MHS, and both LBMMC and MHS have separate governing Boards of
Directors, separate executive officers, separate finances, separate legal addresses, etc.

LBMMC denies that it is a proper respondent in this action, and it also denies that it has in any way violated the National Labor Relations Act, as amended, (the "Act") as is alleged in the Preamble of the Complaint.

Page 54 of 208

1(a). The Charge in Case 21-CA-157007 filed by the Union on July 28, 2015, was not filed against LBMMC, and thus LBMMC was not properly served. LBMMC denies that it is a proper respondent in this action and denies the allegations contained in Paragraph 1(a) of the Complaint

Filed: 11/05/2018

- 1(b). The First Amended Charge in Case 21-CA-157007 filed by the Union on September 16, 2015, was not filed against LBMMC, and thus LBMMC was not properly served. LBMMC denies that it is a proper respondent in this action and denies the allegations contained in Paragraph 1(b) of the Complaint.
- 1(c). The Second Amended Charge in Case 21-CA-157007 filed by the Union on October 19, 2015 was not filed against LBMMC, and thus LBMMC was not properly served. LBMMC denies that it is a proper respondent in this action and denies the allegations contained in Paragraph 1(c) of the Complaint.
- 2(a). LBMMC admits all the allegations in Paragraph 2(a) of the Complaint, except LBMMC denies that it is a proper respondent in this action or that it is an independent nonprofit subsidiary corporation of "Memorial Care Health System," which upon information and belief, is merely a d/b/a of LBMMC's parent corporation, MHS.
- 2(b). LBMMC denies that it is a proper respondent in this action, but admits the remaining allegations contained in Paragraph 2(b) of the Complaint.
- 3. LBMMC denies that it is a proper respondent in this action, but admits the remaining allegations contained in Paragraph 3 of the Complaint.
- 4. Upon information and belief, LBMMC admits the allegations contained in Paragraph 4 of the Complaint.

- 5. LBMMC denies that it is a proper respondent in this action. Regarding the allegations contained in Paragraph 5 of the Complaint, LBMMC denies that Shawn Kang has been employed at all material times by LBMMC as Executive Human Resources Director but admits that Shawn Kang has been employed at all material times by LBMMC as Executive Director, Human Resources. Regarding the allegations contained in Paragraph 5 of the Complaint, LBMMC denies that Cinthya Rocha has been employed at all material times by LBMMC as Human Resources Director but admits that Cinthya Rocha has been employed at all material times by LBMMC as Director, Human Resources. LBMMC admits all remaining allegations contained in Paragraph 5 of the Complaint.
- 6. LBMMC denies that it is a proper respondent in this action. LBMMC admits that it has adopted and maintained "Dress Code and Grooming Standards" Policy/Procedure # 318 (dated March 3, 2014) and admits that such Policy contains various rules and guidelines, including but not limited to the rule alleged in Paragraph 6 of the Complaint, some of which are applicable to some LBMMC employees at certain times.
 - 7(a). LBMMC denies the allegations contained in Paragraph 7(a) of the Complaint.
 - 7(b). LBMMC denies the allegations contained in Paragraph 7(b) of the Complaint.
- 8. LBMMC states that Paragraph 8 contains legal conclusions to which no response is required. To the extent that a response may be required, LBMMC denies the allegations contained in Paragraph 8 of the Complaint.
- 9. LBMMC states that Paragraph 9 contains legal conclusions to which no response is required. To the extent that a response may be required, LBMMC denies the allegations contained in Paragraph 9 of the Complaint.

Filed: 11/05/2018 Page 56 of 208

AFFIRMATIVE DEFENSES

- 1. LBMMC has never been properly named as a party in this action, was never properly and legally served, and thus LBMMC has not been afforded adequate Due Process.
- 2. The Complaint in its entirety, and each of the Paragraphs alleged therein, must be dismissed as the Complaint was not issued in accordance with, and is thus not compliant with, NLRB Rules, Regulations and/or Manuals, and/or federal law.
- 3. The allegations contained in the Complaint alleging violations of the Act, particularly those allegations improperly brought against LBMMC, fail to state a cause of action upon which relief can be granted under the Act.
- 4. The allegations contained in the Complaint seek relief that is improper and is not authorized under the Act.
- 5. All actions engaged in by LBMMC were for legitimate reasons that were not motivated by, or pretexts for, an unlawful animus.

WHEREFORE, LBMMC respectfully requests that the Complaint be dismissed in its entirety.

Respectfully submitted,

EPSTEIN, BECKER & GREEN, P.C.

TZ-41) D.D.

Kathleen F. Paterno

Adam C. Abrahms, Esq. Kathleen F. Paterno, Esq. Epstein Becker & Green, P.C. 1925 Century Park East, Ste, 500.

Los Angeles, CA 90067

Attorneys for Long Beach Memorial Medical

Center, Inc.

Filed: 11/05/2018 Page 57 of 208

CERTIFICATE OF SERVICE

I, Ellie Cook, hereby certify, under penalty of perjury, that I am not a party to this action,
I am over 18 years of age, and on January 11, 2016 I caused a true copy of the attached Long

Beach Memorial Medical Center, Inc.'s Answer to the Complaint to be served by U.S. Mail upon the following individuals:

Micah Berul, Legal Counsel California Nurse Association/ National Nurses United (CNA/NNU) 2000 Franklin Street Oakland, CA 94612

Cynthia Hanna, Labor Representative California Nurse Association/ National Nurses United (CNA/NNU) 222 W. Broadway, Suite 500 Glendale, CA 91204

I served the document described above on January 11, 2016.

| | under penalty of perjury under the la | aws of the State of California that the |
|-------------------|---------------------------------------|-----------------------------------------|
| foregoing is true | e and correct. | Σ \sim \sim |
| 1/11/16 | Ellie Cook | Collie Coult |
| DATE | (TYPE OR PRINT NAME) | (SIGNATURE OF DECLARANT) |

Filed: 11/05/2018 Page 58 of 208

UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD

LONG BEACH MEMORIAL MEDICAL CENTER INC. d/b/a LONG BEACH MEMORIAL MEDICAL CENTER AND MILLER CHILDREN'S & WOMEN'S HOSPITAL LONG BEACH

-and-

CALIFORNIA NURSES ASSOCIATION/NATIONAL NURSES UNITED (CNA/NNU)

Case 21-CA-157007

LONG BEACH MEMORIAL MEDICAL CENTER, INC.'S MOTION FOR SUMMARY JUDGMENT & MEMORANDUM OF POINTS AND AUTHORITIES

Adam C. Abrahms, Esq.
Kathleen F. Paterno, Esq.
Epstein Becker & Green, P.C.
1925 Century Park East, Ste. 500
Los Angeles, CA 90067
Attorneys for Long Beach Memorial Medical Center, Inc.

Filed: 11/05/2018 Page 59 of 208

TABLE OF CONTENTS

| | | × | | | | | ,- |
|------------------|------------------------------------|---------------|--------------------|-------------|---------------------------------------------|-------|----|
| I., | INTRODUCTION | 14.67 PMG | ķē [©] ē3 | 464 | (Append | 4 | |
| II. | FACTUAL BACKGROUND | | ्य के के के के | 1989 | *1 00 4 00 7 77 | 3 | |
| m. | ANALYSIS. | Dings g | | | .eee,e | 8 | |
| 1. | Because the Charge Did Not Corre | ctly Name L | BMMC a | s a Char | ged Party, | the | |
| | Allegations in the Charge are Inap | plicable to L | BMMC. | | | 8 | |
| 2. | Because LBMMC Was Not Correct | tly Named as | s a Party | to the Ch | arge, the l | Board | |
| | Does Not Have the Authority to Iss | ue a Compla | int Agair | st LBM | MC. | _11 | |
| [V _{ii} | CONCLUSION | | *** | ji e e il | 797 (MO) (MO) (MO) (MO) (MO) (MO) (MO) (MO) | 12 | |

Filed: 11/05/2018 Page 60 of 208

TABLE OF AUTHORITIES

| | Pages |
|---------------------------------------------------|-----------|
| Statutes | |
| 29 U.S.C. § 156 | 11, 12 |
| 29 U.S.C. § 152 | 11, 12 |
| | |
| Regulations | |
| NLRB Rules and Regulations, 29 C.F.R. § 102.11 | 9, 11 |
| NLRB Rules and Regulations, 29 C.F.R. § 102.12(a) | 8, 10, 11 |
| NLRB Rules and Regulations, 29 C.F.R. § 102.24 | 1 |
| | |
| Rules | |
| NLRB Casehandling Manual § 10052.1 | 9, 10 |
| NLRB Casehandling Manual § 10056 | 9, 10 |
| NLRB Casehandling Manual § 10260 | 11 |
| NLRB Casehandling Manual § 10062.6 | 9, 10 |
| NI RB Casehandling Manual & 10264 3 | 12 |

Page 61 of 208

Filed: 11/05/2018

Long Beach Memorial Medical Center d/b/a Long Beach Memorial Medical Center and Miller Children's & Women's Hospital Long Beach ("Hospital" or "LBMMC"), pursuant to the National Labor Relations Board ("Board" or "NLRB") Rules and Regulations Section 102.24, hereby moves for summary judgment on the Complaint and Notice of Hearing ("Complaint") issued by Region 21 ("Region") because the undisputed facts establish that initial charge filed by the California Nurses Association ("Union"), and the two amendments it filed thereafter, in Case 21-CA-157007 (collectively "Charge"), did not name LBMMC as the employer/charged party, despite both the Union and the Region being fully aware that LBMMC was not so named. The requested relief of dismissing the Complaint in its entirety is appropriate. The National Labor Relations Act, as amended (the "Act") and Board Rules and Procedures, which are mirrored in the Board's guidelines and processes, make clear that because LBMMC was not named as the charged party in the Charge, LBMMC is not properly named as a Respondent and cannot be a party to the Complaint as a matter of law. On these grounds, LBMMC is therefore entitled to summary judgment.

In support of this Motion for Summary Judgment, LBMCC submits the below Memorandum of Points and Authorities, as well as the Declaration of Adam C. Abrahms.

I. <u>INTRODUCTION</u>

This firm separately represents both LBMMC and its parent corporation Memorial Health Services ("MHS"). LBMMC and MHS are separate and distinct legal entities. For approximately fourteen (14) years, the Union has represented approximately 2,000 registered nurses at LBMMC. The Union has no relationship with MHS whatsoever. Nonetheless, when

Page 62 of 208

the Union filed the Charge at issue in the Complaint, it appeared to be filed against to the wrong entity, namely MHS.

Filed: 11/05/2018

Counsel for LBMMC and MHS notified the Region that the Charge had been clearly erroneously directed to MHS, and specifically asked that the Charge be amended to include the correct legal name of the charged party and to identify the entity against which the allegations were being made.

However, when the Union amended the Charge, it did *not* amend the name of the charged party. Rather, its amendments were limited solely to its self-serving expansive, new allegations that casted doubt over which entity the Union was actually filing the First Amended Charge against.

Thus, the First Amended Charge remained directed at MHS with no attempt to name LBMMC as a party.

Hence, a statement of position was filed that clearly stated that the Union had filed the Charge against the wrong party and had incorrectly named that party, provided the correct legal names of both LBMMC and MHS and described that they were two separate legal entities.

Thereafter, the Union filed a Second Amended Charge. The Union's Second Amended Charge, like the two (2) before it, continued to list the wrong name and party, while liberally amending its allegations. Counsel pressed the Region, repeatedly noting that there was no basis to bring a Charge against MHS and if the Union intended LBMMC to be a party, the Union should amend the Charge to name LBMMC. All the Union and Region needed to do was to make a simple amendment to the Charge to name the right employer, but no such amendment was ever made. Consequently, throughout the Region's investigation, the Charge was directed at MHS. Only after the investigation concluded, and without any Charge against it, did Counsel for the General Counsel improperly, and without due process, attempt to bootstrap LBMMC into the

¹ The name the Union listed for the employer/charged party is a nonexistent legal entity. However, the name most closely resembles the corporate name of MHS, listed MHS' President and CEO and listed the entity as having over 10,000 employees, a number far exceeding LBMMC's and closely resembling the number affiliated with MHS.

Page 63 of 208

Filed: 11/05/2018

Complaint for the first time, naming LBMMC under the disingenuous guise of a name change. As LBMMC was never named as a charged party in Case 21-CA-157007, LBMMC is not a proper party/respondent to the Complaint pursuant to Federal law and Board rules and procedures.

II. FACTUAL BACKGROUND

LBMMC is a hospital with approximately 5,000 employees. The Union has represented the Hospital's registered nurses for about fourteen (14) years. The current collective bargaining agreement ("CBA") between the parties became effective July 1, 2012 and remains effective until March 31, 2016. (See ¶ 2 of the Declaration of Adam C. Abrahms ("Abrahms Decl.")).

Memorial Health Services ("MHS") is the parent corporation of LBMMC.² (See ¶ 3 of Abrahms Decl.). MHS and the Hospital are separate and distinct legal entities. Each have separate governing Boards of Directors, separate Chief Executive Officers (MHS' CEO is Barry Arbuckle) and other executives, as well as separate finances, among other things. LBMMC has a completely different CEO and other executives, etc. This firm represents both MHS and LBMMC separately. Both the Region and the Union were well aware of all these facts before the filing of the original Charge at issue. (See ¶ 4 of Abrahms Decl.).

On July 28, 2015, the Union filed a Charge in Case 21-CA-157007 and named "MemorialCare Health System, D/B/A Long Beach Memorial Medical Center" as the Employer/charged party. (See ¶ 5 of Abrahms Decl.; see also Ex. 1 of Abrahms Decl.). No such legal entity exists. The Charge listed Barry Arbuckle as the President and CEO of the employer/charged party (Barry Arbuckle is not the President and CEO of LBMMC) and stated that the employer/charged party had "10,000+" employees (LBMMC only has about 5,000). The allegations in the Charge were devoid of any identifiable facts. (See ¶ 5 of Abrahms Decl.).

² MHS is also the parent corporation of other hospitals and facilities that are separate and distinct legal entities from LBMMC.

Page 64 of 208

Filed: 11/05/2018

On September 4, 2015, the Region sent a letter requesting a statement of position and setting forth a plethora of facts that were not only not implicated in the Charge, but also served to cause great confusion regarding which entity the allegations were being asserted against. Upon receiving the Region's letter, counsel for MHS and LBMMC sought clarification from the Region regarding which entity the Union was alleging violated the Act, as well as clarification regarding the convoluted allegations themselves, and requested that the Region have the Union amend the Charge to identify and correctly name the intended charged party. (See § 6 of Abrahms Decl.).

Shortly thereafter, on September 16, 2015, the Union filed its First Amended Charge. (See ¶ 7 of Abrahms Decl.; see also Ex. 2 of Abrahms Decl.). While the Union used the opportunity to amend to include all sorts of new allegations that were not even inferred in its original Charge, the First Amended Charge failed to amend the name of the charged party. (See ¶ 7 of Abrahms Decl.).

Instead, the First Amended Charge continued to name "MemorialCare Health System d/b/a Long Beach Memorial Med. Ctr." as the charged party, continued to name Barry Arbuckle as the CEO and President, and continued to claim the charged party had 10,000 employees. From the context of the investigation, the Charge remained directed at the parent corporation, MHS. (See ¶ 8 of Abrahms Decl.).

The First Amended Charge alleged violations of Sections 8(a)(1) and 8(a)(5) of National Labor Relations Act, as amended, (the "Act"). The Union does not have a collective bargaining agreement with MHS or any relationship with MHS whatsoever, yet the allegations seemed to infer MHS was the (or an) intended party. Thus, counsel for LBMMC and MHS made further attempts to clarify the Union's allegations and the party it was alleging them against, noting that if the Union was making allegations against LBMMC, the Charge should be amended to reflect that. No such amendments were made. (See ¶ 9 of Abrahms Decl.).

Consequently, on October 2, 2015, counsel for LBMMC and MHS filed an 18-page statement of position. On the very first page of the statement, counsel immediately pointed out

Filed: 11/05/2018

that the charged party's name was incorrect, and that no such entity existed. The statement also provided the correct legal name for both LBMMC and MHS. (See ¶ 10 of Abrahms Decl.).

The first page of the statement of position submitted on October 2, 2015, stated:

The Charge has incorrectly named the employer as "MemorialCare Health System d/b/a Long Beach Memorial Med. Ctr." The correct name of the Hospital is "Long Beach Memorial Medical Center, Inc. d/b/a Long Beach Memorial Medical Center and Miller Children's & Women's Hospital Long Beach," and the correct name of the Hospital's parent corporation, is "Memorial Health Services" ("MHS").

(See ¶ 11 of Abrahms Decl.). The statement of position also noted that "both the Region and the Union are well aware that MHS and the Hospital are two distinct and separate legal entities³, and the Union is surely aware that it has no relationship with MHS that would allow it to allege an 8(a)(5) violation against it." *Id*.

On October 19, 2015, the Union was permitted to file a Second Amended Charge. (See ¶ 12 of Abrahms Decl.; see also Ex. 3 of Abrahms Decl.). However, yet again, the only amendments made were to the Union's own allegations in an attempt to keep its meritless allegations alive. Id.

The Second Amended Charge, like the two (2) before it, continued to name "MemorialCare Health System d/b/a Long Beach Memorial Med. Ctr." as the charged party, continued to name Barry Arbuckle as the CEO and President, and continued to claim the charged party had 10,000 employees. Based on this Second Amendment, especially in light of the investigation, the Charge continued to be directed solely to MHS. (See ¶ 13 of Abrahms Decl.).

The Region requested a second position statement be filed by November 12, 2015. (See ¶ 14 of Abrahms Decl.).

³ Lindsay Parker, the Board agent assigned to Case 21-CA-157007, was well aware of the distinction between the legal entities. She had been the Counsel for the General Counsel assigned to Consolidated Cases 21-CA-127866, 21-CA-137149 & 21-CA-133037 in which the issue had been raised in LBMMC's and MHS' separately filed Answers providing and correcting the legal names and explaining they were separate legal entities. As Counsel for the General Counsel, she pursued separate theories of liability and separate allegations against the parties in that consolidated action, ultimately reaching separate settlements with each party.

Page 66 of 208

Filed: 11/05/2018

Despite repeated communications and requests for clarification/amendment by counsel for LBMMC and MHS regarding which entity the Union's allegations were against, the assigned Board agent, Lindsay Parker, on November 10, 2015—just two (2) days before the second position statement was due—further confused the issue by indicating that while the Charge was directed against MHS, the Union was not making any joint employer or alter ego allegations and, in fact, the Union actually intended to bring the allegations against LBMMC. (See ¶ 15 of Abrahms Decl.; see also Ex. 4 of Abrahms Decl.).

As all three (3) incarnations of the Union's Charge had named "MemorialCare Health System d/b/a Long Beach Memorial Med. Ctr.," it became clear—two (2) days before the second position statement was due—that the Union had not only incorrectly named the charged party but had also filed the Charge against the wrong entity. Counsel for MHS and LBMMC responded by stating that given that the Charge had been filed and maintained against the wrong entity (MHS), Counsel would address and clarify that issue for the Region in its position statement, which it did. Counsel also noted that if, in light of the information provided in the November 12, 2015 position statement, the Union wished to withdraw and re-file or finally amend the Charge to name the correct party and its correct legal name, counsel would be happy to respond to any additional questions or provide any additional statements of position the Region may request thereafter. (See ¶ 16 of Abrahms Decl.; see also Ex. 4 of Abrahms Decl.).

On November 12, 2015, counsel, on behalf of MHS only, submitted another statement of position in response to the Second Amended Charge and again made it clear that the Charge had incorrectly named the wrong employer. (See ¶ 17 of Abrahms Decl.). It stated:

As the Region is aware, the Second Amended Charge filed by the California Nurses Association ("Union" or "CNA") has incorrectly named the employer as "MemorialCare Health System d/b/a Long Beach Memorial Med. Ctr." The correct name of the legal entity is "Memorial Health Services" ("MHS"). MHS is the parent corporation of Long Beach Memorial Medical Center, Inc. Long Beach Memorial Medical Center, Inc. owns and operates the hospital with which the Union has a relationship, Long Beach Memorial Medical Center and Miller Children's & Women's Hospital Long Beach ("Hospital"). MHS and the Hospital are separate and distinct

Filed: 11/05/2018 Page 67 of 208

legal entities, and each have separate governing Boards of Directors, separate Chief Executive Officers and other executives and separate finances, among other things.

(See ¶ 17 of Abrahms Decl.).

The Union and the Region were both fully aware that the Union had incorrectly named and directed the Charge against the wrong the charged party and that there were two entities potentially implicated by the both the face of the Charge and the allegations. Despite this, the Union was given two (2) opportunities to liberally amend its own allegations in its Charge, and yet the Union, on both occasions, completely failed to amend the Charge to identify LBMMC as the charged party or to provide LBMMC's correct legal name. (See ¶ 18 of Abrahms Decl.).

More important, once the Region provided counsel for LBMMC and MHS confirmation on November 10, 2015 that MHS was not the intended charged party, the Region still did not require the Union to amend the Charge to identify and correctly name the intended charged party. Rather, it let the erroneously filed Charge stand. (See ¶ 19 of Abrahms Decl.).

That the Union chose to name the charged party as "MemorialCare Health System d/b/a Long Beach Memorial Med. Ctr.," named Barry Arbuckle as the President and CEO and listed the Charged employer as having 10,000 employees—and then refused to amend the name when it was on notice of the errors and was provided multiple opportunities to amend, strongly suggests the that Union intentionally created and used the fictional name to purposely cause confusion as to which entity allegedly violated the Act, to waste the parties' time and resources and to conveniently enable the Union to cast its net as broadly as possible for as long as possible. This type of false and misleading pleading is contrary to Federal law and Board Rules and Guidelines for precisely this reason.

At no time prior to the issuance of the Complaint did the Region or the Union withdraw and re-file or amend the Charge to name LBMMC as the charged party and provide its correct legal name. Thus, LBMMC had never been a party to the Charge in Case 21-CA-157007. (See ¶ 20 of Abrahms Decl.).

Page 68 of 208

Filed: 11/05/2018

Yet, on December 29, 2015, a Complaint was issued in Case 21-CA-157007 naming "Long Beach Memorial Medical Center, Inc. D/BA Long Beach Memorial Medical Center & Miller Children's and Women's Hospital Long Beach" as the Respondent, even though LBMMC was never a properly charged party in the Charge or Case. (See ¶ 21 of Abrahms Decl.; see also Ex. 5 of Abrahms Decl.). Rather than making a simple amendment to name the proper party in the Charge, the Region, in a not-so-subtle attempt to cover the error, unacceptably attempted to bootstrap LBMMC into the Complaint in its Preamble as follows: "Memorialcare Health System, D/B/A Long Beach Memorial Medical Center, whose correct name is Long Beach Memorial Medical Center, Inc. D/B/A Long Beach Memorial Medical Center & Miller Children's and Women's Hospital (Respondent) has violated the Act as described below."

On January 11, 2016, LBMMC filed an Answer to the Complaint. (See ¶ 22 of Abrahms Decl.); see also Ex. 6 of Abrahms Decl.). The Answer stated in its first and second paragraphs of its Affirmative Defenses that "LBMMC has never been properly named as a party in this action" and that the Complaint must be dismissed as it "was not issued in accordance with, and is thus not compliant with NLRB Rules, Regulations and/or manuals, and/or federal law."

III. ANALYSIS

Because none of the three (3) incarnations of the Charge filed in Case 21-CA-157007 listed LBMMC and/or its legally correct name as the employer/charged party, as a matter of law, LBMMC is not a proper respondent to the Complaint.

1. Because the Charge Did Not Correctly Name LBMMC as a Charged Party, the Allegations in the Charge are Inapplicable to LBMMC.

Pursuant to the NLRB Rules and Regulations, a "charge shall contain the following: (a)

The full name and address of the person against whom the charge is made (hereinafter referred to as the respondent)." NLRB Rules and Regulations, 29 C.F.R. § 102.12(a). The NLRB Rules and Regulations also state: "Such charges shall be in writing and signed, and either shall be sworn to before a notary public, Board agent, or other person duly authorized by

Filed: 11/05/2018 Page 69 of 208

law to administer oaths and take acknowledgments or shall contain a declaration by the person signing it, under penalty of perjury that its contents are true and correct (see 28 U.S.C. Sec. 1746)." NLRB Rules and Regulations, 29 C.F.R. § 102.11.

Pursuant to the NLRB Casehandling Manual ("Manual"), Board agents are tasked at the earliest stages to review and investigate the basic contents of a charge. Upon receipt of a charge, Board agents are asked to "Review the charge form to assure that it is correct on its face, i.e., that the charge contains the <u>correct and full name of the parties</u>." NLRB Casehandling Manual § 10052.1 (emphasis added).

The Manual also states: "If the charge appears meritorious and there is concern about whether the <u>correct party</u> has been alleged," Board agents are directed to investigate and actually conduct online research "to ensure the <u>correct legal name</u> of the charged party is used in future proceedings." *Id.* at § 10056 (emphasis added).

Further, according to the Manual: "The Board agent should seek an amended charge when necessary to correct the names of parties." Id. at § 10062.6.

The initial Charge and the subsequent amendments made to the Charge in Case 21-CA-157007 all incorrectly named the charged party as "MemorialCare Health System d/b/a Long Beach Memorial Med. Ctr."

Counsel for LBMMC and MHS repeatedly, both verbally and in writing, explained to the Region that (1) no such entity exists, (2) the correct name of the Hospital is "Long Beach Memorial Medical Center, Inc. d/b/a Long Beach Memorial Medical Center and Miller Children's & Women's Hospital Long Beach," and (3) the correct name of the Hospital's parent corporation, is "Memorial Health Services." Moreover, counsel for LBMMC and MHS repeatedly and specifically requested that the Charge be properly amended to specify which entity the Union's allegations were against, as it appeared the Union had wrongly directed the Charge to MHS.

The most reasonable reading of the erroneous name is that the Charge was being filed against MHS; the naming of Barry Arbuckle as the President and CEO and the statement that the

Page 70 of 208

Filed: 11/05/2018

employer has 10,000 employees makes this conclusion all the more reasonable. The Union is certainly aware after its 14-year relationship with the Hospital that the Hospital does not have anywhere *near* 10,000 employees. And the Union is equally aware that Barry Arbuckle is not the Hospital's CEO. However, because MHS has no relationship whatsoever with the Union, the incorrect naming of the charged party, along with the convoluted allegations, caused confusion as to which entity the allegations were being brought against.

Both the Union and the Region were aware that the Charge incorrectly named and was directed to the wrong party. Counsel for MHS and LBMMC made repeated requests for amendments and clarification regarding the intended charged party. Yet when the Region allowed the Union to amend its Charge on two occasions, the Region did not require the Union, nor did the Union make any attempt, to correctly identify the intended charged party and/or provide the correct legal name of such Party, as is required by both federal law and the Board's own guidelines and procedures. See NLRB Rules and Regulations, 29 C.F.R. § 102.12(a); see also NLRB Casehandling Manual §§ 10052.1; 10056; 10062.6. The Region did, however, allow the Union to take full advantage of the two amendment opportunities to generously amend its own substantive allegations.

Additionally, the Board agent had direct and clear notice that there was a "concern whether the correct party has been alleged." *Id.* at § 10056. There was no need for her to even conduct any kind or "investigation" as the Manual instructs as to whether the correct party or "correct legal name" of such party had been alleged in the Charge. *Id.* Counsel for LBMMC and MHS had repeatedly directly notified the agent both verbally and in writing that Charge was clearly erroneously directed to MHS, and further, willingly provided her with the correct legal names of both parties and requested amendment and clarification. Despite the repeated requests to the Region to have the Union amend the Charge to identify the intended party and to use the correct legal name of the charged party, no such amendment was ever made.

Filed: 11/05/2018 Page 71 of 208

2. Because LBMMC Was Not Correctly Named as a Party to the Charge, the Board Does Not Have the Authority to Issue a Complaint Against LBMMC.

The issue of naming the correct party in the charge is directly related to the naming of the respondent in a complaint. The Manual states:

A complaint must be well founded in all respects since it constitutes the exercise of the General Counsel's final authority. Sec. 3(d) of the Act. If there is a concern about whether the correct party has been alleged. the steps outlined in Section 10056 should be undertaken prior to the issuance of a complaint.

NLRB Casehandling Manual at § 10260 (emphasis added).

Pursuant to NLRB Casehandling Manual Section 10264.3, "The <u>legally correct name</u> of the respondent(s) <u>must</u> be used in the charge <u>and</u> complaint." (Emphasis added).

Federal law mirrors the Manual's mandate that both the charge and the complaint name the same party, and that the party's name and information in the charge be legally correct—under penalty of perjury. See NLRB Rules and Regulations, 29 C.F.R. §§ 102.11; 102.12(a).

Section 10(b) of the Act states: "Whenever it is charged that any person has engaged in or is engaging in such unfair labor practice, the Board, or any agent or agency designated by the Board for such purposes, shall have the power to issue and cause to be served upon such person a complaint stating the charges in that respect. "29 U.S.C. § 156 (emphasis added). Section 6 of the Act defines the term "person" as "one or more individuals, labor organizations, partnerships, associations, corporations, legal representatives, trustees in bankruptcy, or receivers." 29 U.S.C. § 152 (emphasis added).

The fictitiously named charged party in Case 21-CA-157007 certainly does not fall within the definition of a "person" under Section 2 of the Act, as it is not even the name of an existing legal entity.

More important, LBMMC was never correctly named as the employer/charged party on the Charge or any of its amendments in Case 21-CA-157007. Accordingly, pursuant to the Act itself, neither the Board, nor any of its agents, have "the power to issue" a complaint against

LBMMC. 29 U.S.C. § 156. The Act only authorizes the Board to do so only after such "person" was "charged" with committing an unfair labor practice. *Id.* LBMMC has not been so charged in this instance. *Id.*

Filed: 11/05/2018

The Counsel for the General Counsel's awkward attempt to name LBMMC as the respondent in the Preamble of the Complaint via sloppy bootstrapping is offensive and wholly insufficient. The Counsel for the General Counsel, the Region and the Union should not be allowed to circumvent Federal law and Board procedures in order to cover their errors, particularly, as is the case here, when both the Region and the Union had an abundance of notice as to the correct legal names and separate legal identities of LBMMC and MHS even far *before* the filing of the Charge. As the legally correct name of LBMMC was not used "in the charge and complaint" as is required by both Federal law and Board procedures, LBMMC is entitled to summary judgment as a matter of law. NLRB Casehandling Manual § 10264.3; see also 29 U.S.C. § 152.

IV. CONCLUSION

The law governing this case is clear and the material facts are undisputed. Therefore, LBMMC requests that the Board dismiss the Complaint in its entirety.

Respectfully submitted,

EPSTEIN, BECKER & GREEN, P.C.

Adam C. Abrahms, Esq.

Adam C. Abrahms, Esq.
Kathleen F. Paterno, Esq.
Epstein Becker & Green, P.C.
1925 Century Park East, Ste. 500
Los Angeles, CA 90067
Attorneys for Long Beach Memorial Medical Center, Inc.

CERTIFICATE OF SERVICE

I hereby certify that I served the attached copy of Long Beach Memorial Medical

Center, Inc.'s Motion for Summary Judgment, Memorandum of Points and Authorities,

and Declaration of Adam C. Abrahams in Support of Such Motion and Memorandum in

Case No. 21-CA-157007 on the parties listed below on the 15th day of February, 2016.

VIA E-FILE

Gary Shinners, Executive Secretary National Labor Relations Board 1015 Half Street SE Washington, D.C. 20570-0001 www.nlrb.gov

VIA E-MAIL

Micah Berul, Legal Counsel California Nurse Association/ National Nurses United (CNA/NNU) 2000 Franklin Street Oakland, CA 94612 mberul@calnurses.org

Cynthia Hanna, Labor Representative California Nurse Association/National Nurses United (CNA/NNU) 222 W. Broadway, Suite 500 Glendale, CA 91204 channa@calnurses.org

Olivia Garcia, Regional Director National Labor Relations Board Region 21 888 S. Figueroa St., Ninth Floor Los Angeles, California 90017-5449 Olivia.Garcia@nlrb.gov

Adam C. Abrahms, Esq.

Epstein Becker & Green, P.C. 1925 Century Park East, Ste. 500 Los Angeles, CA 90067

Counsel for Long Beach Memorial Medical Center,

Inc.

Filed: 11/05/2018 Page 74 of 208

UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD REGION 21

LONG BEACH MEMORIAL MEDICAL CENTER INC. d/b/a LONG BEACH MEMORIAL MEDICAL CENTER AND MILLER CHILDREN'S & WOMEN'S HOSPITAL LONG BEACH

-and-

CALIFORNIA NURSES ASSOCIATION/NATIONAL NURSES UNITED (CNA/NNU) Case 21-CA-157007

LONG BEACH MEMORIAL MEDICAL CENTER, INC.'S ANSWER TO THE COMPLAINT

Adam C. Abrahms, Esq.
Kathleen F. Paterno, Esq.
Epstein Becker & Green, P.C.
1925 Century Park East, Ste. 500
Los Angeles, CA 90067
Attorneys for Long Beach Memorial Medical Center, Inc.

Page 75 of 208

Filed: 11/05/2018

Pursuant to Sections 102.20 and 102.21 of the Board's Rules and Regulations,
Long Beach Memorial Medical Center, Inc., which owns and operates Long Beach Memorial
Medical Center and Miller Children's & Women's Hospital Long Beach (collectively
"LBMMC"), by its attorneys Epstein Becker & Green, P.C., hereby answers the Complaint and
Notice of Hearing ("Complaint") in the above-captioned matter as follows:

Preamble: With respect to the allegations in the first sentence of the Preamble.

Paragraph of the Complaint, LBMMC denies knowledge or information sufficient to form a belief as to the truth of the allegations and therefore denies the allegations. With respect to the allegations in the second sentence of the Preamble, LBMMC denies that it is a proper respondent to this action. LBMMC, upon information and belief, denies that there is any legal entity in existence named "Memorialcare Health System, D/B/A Long Beach Memorial Medical Center" and thus also denies that such nonexistent entity's "correct name" is "Long Beach Memorial Medical Center, Inc. D/B/A Long Beach Memorial Medical Center & Miller Children's and Women's Hospital." Upon information and belief, LBMMC admits that the correct legal name of Long Beach Memorial Medical Center, Inc.'s parent corporation is Memorial Health Services ("MHS"), which LBMMC admits, upon information and belief, is a nonprofit corporation with its headquarters in Fountain Valley, California. LBMMC admits that it is a separate and distinct legal entity from MHS, and both LBMMC and MHS have separate governing Boards of Directors, separate executive officers, separate finances, separate legal addresses, etc.

LBMMC denies that it is a proper respondent in this action, and it also denies that it has in any way violated the National Labor Relations Act, as amended, (the "Act") as is alleged in the Preamble of the Complaint.

Page 76 of 208

- 1(a). The Charge in Case 21-CA-157007 filed by the Union on July 28, 2015, was not filed against LBMMC, and thus LBMMC was not properly served. LBMMC denies that it is a proper respondent in this action and denies the allegations contained in Paragraph 1(a) of the Complaint
- 1(b). The First Amended Charge in Case 21-CA-157007 filed by the Union on September 16, 2015, was not filed against LBMMC, and thus LBMMC was not properly served. LBMMC denies that it is a proper respondent in this action and denies the allegations contained in Paragraph 1(b) of the Complaint.
- 1(c). The Second Amended Charge in Case 21-CA-157007 filed by the Union on October 19, 2015 was not filed against LBMMC, and thus LBMMC was not properly served. LBMMC denies that it is a proper respondent in this action and denies the allegations contained in Paragraph 1(c) of the Complaint.
- 2(a). LBMMC admits all the allegations in Paragraph 2(a) of the Complaint, except LBMMC denies that it is a proper respondent in this action or that it is an independent nonprofit subsidiary corporation of "Memorial Care Health System," which upon information and belief, is merely a d/b/a of LBMMC's parent corporation, MHS.
- 2(b). LBMMC denies that it is a proper respondent in this action, but admits the remaining allegations contained in Paragraph 2(b) of the Complaint.
- 3. LBMMC denies that it is a proper respondent in this action, but admits the remaining allegations contained in Paragraph 3 of the Complaint.
- 4. Upon information and belief, LBMMC admits the allegations contained in Paragraph 4 of the Complaint.

Page 77 of 208

- 5. LBMMC denies that it is a proper respondent in this action. Regarding the allegations contained in Paragraph 5 of the Complaint, LBMMC denies that Shawn Kang has been employed at all material times by LBMMC as Executive Human Resources Director but admits that Shawn Kang has been employed at all material times by LBMMC as Executive Director, Human Resources. Regarding the allegations contained in Paragraph 5 of the Complaint, LBMMC denies that Cinthya Rocha has been employed at all material times by LBMMC as Human Resources Director but admits that Cinthya Rocha has been employed at all material times by LBMMC as Director, Human Resources. LBMMC admits all remaining allegations contained in Paragraph 5 of the Complaint.
- 6. LBMMC denies that it is a proper respondent in this action. LBMMC admits that it has adopted and maintained "Dress Code and Grooming Standards" Policy/Procedure # 318 (dated March 3, 2014) and admits that such Policy contains various rules and guidelines, including but not limited to the rule alleged in Paragraph 6 of the Complaint, some of which are applicable to some LBMMC employees at certain times.
 - LBMMC denies the allegations contained in Paragraph 7(a) of the Complaint. 7(a).
 - 7(b). LBMMC denies the allegations contained in Paragraph 7(b) of the Complaint.
- 8. LBMMC states that Paragraph 8 contains legal conclusions to which no response To the extent that a response may be required, LBMMC denies the allegations contained in Paragraph 8 of the Complaint.
- 9. LBMMC states that Paragraph 9 contains legal conclusions to which no response To the extent that a response may be required, LBMMC denies the allegations contained in Paragraph 9 of the Complaint.

Page 78 of 208

AFFIRMATIVE DEFENSES

Filed: 11/05/2018

- 1. LBMMC has never been properly named as a party in this action, was never properly and legally served, and thus LBMMC has not been afforded adequate Due Process.
- The Complaint in its entirety, and each of the Paragraphs alleged therein, must be dismissed as the Complaint was not issued in accordance with, and is thus not compliant with, NLRB Rules, Regulations and/or Manuals, and/or federal law.
- 3. The allegations contained in the Complaint alleging violations of the Act, particularly those allegations improperly brought against LBMMC, fail to state a cause of action upon which relief can be granted under the Act.
- 4. The allegations contained in the Complaint seek relief that is improper and is not authorized under the Act.
- 5. All actions engaged in by LBMMC were for legitimate reasons that were not motivated by, or pretexts for, an unlawful animus.

WHEREFORE, LBMMC respectfully requests that the Complaint be dismissed in its entirety.

Respectfully submitted,

EPSTEIN, BECKER & GREEN, P.C.

y: _____

Kathleen F. Paterno

Adam C. Abrahms, Esq.
Kathleen F. Paterno, Esq.
Epstein Becker & Green, P.C.
1925 Century Park East, Ste. 500
Los Angeles, CA 90067
Attorneys for Long Beach Memorial Medical Center, Inc.

Filed: 11/05/2018 Page 79 of 208

CERTIFICATE OF SERVICE

I, Ellie Cook, hereby certify, under penalty of perjury, that I am not a party to this action, I am over 18 years of age, and on January 11, 2016 I caused a true copy of the attached Long Beach Memorial Medical Center, Inc.'s Answer to the Complaint to be served by U.S. Mail upon the following individuals:

Micah Berul, Legal Counsel California Nurse Association/ National Nurses United (CNA/NNU) 2000 Franklin Street Oakland, CA 94612

Cynthia Hanna, Labor Representative California Nurse Association/ National Nurses United (CNA/NNU) 222 W. Broadway, Suite 500 Glendale, CA 91204

I served the document described above on January 11, 2016.

| I declare und foregoing is true and | ler penalty of perjury under the l d correct. | laws of the State of California that the |
|----------------------------------------|--------------------------------------------------|------------------------------------------|
| 1/11/16 DATE | Ellie Cook (TYPE OR PRINT NAME) | (SIGNATURE OF DECLARANT) |

Filed: 11/05/2018 Page 80 of 208

BEFORE THE NATIONAL LABOR RELATIONS BOARD REGION 21

LONG BEACH MEMORIAL MEDICAL CENTER INC. D/B/A LONG BEACH MEMORIAL MEDICAL CENTER & MILLER CHILDREN'S AND WOMEN'S HOSPITAL LONG BEACH

and

Case 21-CA-157007

CALIFORNIA NURSES ASSOCIATION / NATIONAL NURSES UNITED (CNA/NNU)

AFFIDAVIT OF SERVICE OF: Complaint and Notice of Hearing (with forms NLRB-4338 and NLRB-4668 attached)

I, the undersigned employee of the National Labor Relations Board, being duly sworn, say that on **December 29, 2015**, I served the above-entitled document(s) by **certified or regular mail**, as noted below, upon the following persons, addressed to them at the following addresses:

Epstein Becker & Green, P.C. Attn. Adam C. Abrahms, Attorney at Law Attn. Kat Paterno, Attorney at Law 1925 Century Park East, Suite 500

Los Angeles, CA 90067-2706

Long Beach Memorial Medical Center, Inc. d/b/a Long Beach Memorial Medical Center & Miller Children's Hospital Long Beach 2801 Atlantic Avenue Long Beach, CA 90806

Micah Berul, Legal Counsel
California Nurse Association / National Nurses
United (CNA/NNU)
2000 Franklin Street
Oakland, CA 94612

Cynthia Hanna, Labor Representative
California Nurses Association/National Nurses
United (CNA/NNU)
225 West Broadway, Suite 500
Glendale, CA 91204

REGULAR MAIL

CERTIFIED MAIL, RETURN RECEIPT REQUESTED 7015 0920 0001 7976 1746

REGULAR MAIL

CERTIFIED MAIL 7015 0920 0001 7976 1753

December 29, 2015

Date

Aide Carretero, Designated Agent of NLRB

Name

Signature

Postage & Fees Paid USPS Permit No. G-10

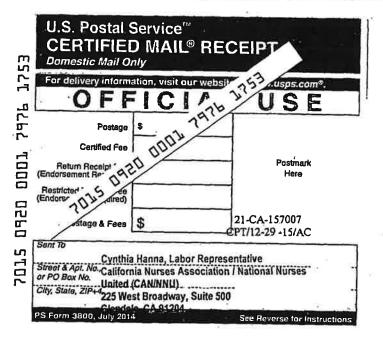
Sender: Please print your name, address, and ZIP+4° in this box

UNITED STATES GOVERNMENT
NATIONAL LABOR RELATIONS BOARD
REGION 21
888 SOUTH FIGUEROA STREET, 9TH FLOOR
LOS ANGELES, CA 90017-5449

USPS TRACKING#



9590 940일"배케마토얼"팬널널부란데너테케나라마네네



| 1746 | U.S. Postal S CERTIFIEI Domestic Mail O |) [\ v v | ЛΑI | L@ | 1 | | |
|----------------|-------------------------------------------------------------------------------------------------------------------|----------------|---------------|-------------|-------|------|----------------------------------|
| <u>-1</u> | OFF | ŀ | C | I | A | SIG | us at www.usps.com®. |
| 1787 1000 DSPO | Postage Certified Fee Return Receipt Fee (Endorsement Required) Restricted Delivery Fee (Endorsement Required) | \$ | | | | | Postmark Here |
| | Total Postage & Fees | \$ | | | | | 11-CA-157007/- T/12-29 -15/AC |
| 701.5 | or PO Box No Long Be | ach l 's an | Memo d Wor | rial neo | Medic | al C | antor loc dhe |

Page 82 of 208

UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD REGION 21

LONG BEACH MEMORIAL MEDICAL CENTER, INC. D/B/A LONG BEACH MEMORIAL MEDICAL CENTER & MILLER CHILDREN'S AND WOMEN'S HOSPITAL LONG BEACH

and

Case 21-CA-157007

Filed: 11/05/2018

CALIFORNIA NURSES ASSOCIATION/ NATIONAL NURSES UNITED (CNA/NNU)

COMPLAINT AND NOTICE OF HEARING

This Complaint and Notice of Hearing is based on a charge filed by California Nurses Association/National Nurses United (CNA/NNU) (Union). It is issued pursuant to Section 10(b) of the National Labor Relations Act (the Act), 29 U.S.C. § 151 et seq., and Section 102.15 of the Rules and Regulations of the National Labor Relations Board (the Board) and alleges that Memorialcare Health System, D/B/A Long Beach Memorial Medical Center, whose correct name is Long Beach Memorial Medical Center, Inc. D/B/A Long Beach Memorial Medical Center & Miller Children's and Women's Hospital (Respondent) has violated the Act as described below.

- 1. (a) The charge in this proceeding was filed by the Union on July 28, 2015, and a copy was served on Respondent by regular mail on July 30, 2015.
- (b) The first amended charge in this proceeding was filed by the Union on September 16, 2015, and a copy was served on Respondent by regular mail on September 17, 2015.

- (c) The second amended charge in this proceeding was filed by the Union on October 19, 2015, and a copy was served on Respondent by regular mail on October 21, 2015.
- 2. (a) At all material times, Respondent, a health-care institution, whose primary facility with adjacent buildings is located at or within the proximity of 2801 Atlantic Avenue, Long Beach, California, herein the Long Beach facility, has been engaged in providing health-care services and has been an independent nonprofit subsidiary corporation of Memorial Care Health System (MHS).
- (b) In conducting its operations described above in paragraph 2(a), during the 12-month period ending October 30, 2015, a representative period, Respondent derived gross revenues in excess of \$250,000, and purchased and received at its Long Beach. California facility goods valued in excess of \$50,000 directly from points outside the State of California.
- 3. At all material times, Respondent has been an employer engaged in commerce within the meaning of Section 2(2), (6) and (7) of the Act, and a health-care institution within the meaning of Section 2(14) of the Act.
- 4. At all material times, the Union has been a labor organization within the meaning of Section 2(5) of the Act.
- 5. At all material times, the following individuals have held the positions set forth opposite their respective names and have been supervisors of Respondent within the meaning of Section 2(11) of the Act and agents of Respondent within the meaning of Section 2(13) of the Act:

Shawn Kang Executive Human Resources Director

Filed: 11/05/2018

Page 84 of 208

Cynthia Rocha

Human Resources Director

Colleen Coonan

Director of General Pediatrics

Robin Johnson

Assistant Unit Manager

6. Since at least July 1, 2015, Respondent has maintained the following rule which is contained in Respondent's Dress Code and Grooming Standards Policy/Procedure #318 (dated March 3, 2014):

"Only MHS approved pins, badges, and professional certifications may be worn."

- 7. (a) About July 9, 2015, Respondent, by Colleen Coonan, in the Children's Department of the Long Beach facility, prohibited an employee from wearing a badge reel holder containing Union insignia while permitting employees to wear badge reel holders containing other insignia.
- (b) About October 7, 2015, Respondent, by Robin Johnson, in the Outpatient Surgery Department of the Long Beach facility, prohibited an employee from wearing a badge holder containing Union insignia while permitting employees to wear badge reel holders containing other insignia.
- 8. By the conduct described above in paragraphs 6 and 7, Respondent has been interfering with, restraining, and coercing employees in the exercise of the rights guaranteed in Section 7 of the Act in violation of Section 8(a)(1) of the Act.
- 9. The unfair labor practices of Respondent described above affect commerce within the meaning of Section 2(6) and (7) of the Act.

Filed: 11/05/2018 Page 85 of 208

ANSWER REQUIREMENT

Respondent is notified that, pursuant to Sections 102.20 and 102.21 of the Board's Rules and Regulations, it must file an answer to the complaint. The answer must be <u>received by this</u>

office on or before January 12, 2016, or postmarked on or before January 11, 2016.

Respondent should file an original and four copies of the answer with this office and serve a copy of the answer on each of the other parties.

An answer may also be filed electronically through the Agency's website. To file electronically, go to www.nlrb.gov, click on E-File Documents, enter the NLRB Case Number, and follow the detailed instructions. The responsibility for the receipt and usability of the answer rests exclusively upon the sender. Unless notification on the Agency's website informs users that the Agency's E-Filing system is officially determined to be in technical failure because it is unable to receive documents for a continuous period of more than 2 hours after 12:00 noon (Eastern Time) on the due date for filing, a failure to timely file the answer will not be excused on the basis that the transmission could not be accomplished because the Agency's website was off-line or unavailable for some other reason. The Board's Rules and Regulations require that an answer be signed by counsel or non-attorney representative for represented parties or by the party if not represented. See Section 102.21. If the answer being filed electronically is a pdf document containing the required signature, no paper copies of the answer need to be transmitted to the Regional Office. However, if the electronic version of an answer to a complaint is not a pdf file containing the required signature, then the E-filing rules require that such answer containing the required signature continue to be submitted to the Regional Office by traditional means within three (3) business days after the date of electronic filing. Service of the answer on each of the other parties must still be accomplished by means allowed under the Board's Rules and Regulations. The answer may not be filed by facsimile transmission. If no answer is filed, or

if an answer is filed untimely, the Board may find, pursuant to a Motion for Default Judgment, that the allegations in the complaint are true.

Filed: 11/05/2018

NOTICE OF HEARING

PLEASE TAKE NOTICE THAT on March 14, 2016, at 1:00 p.m., PST at the National Labor Relations Board, Region 21, 888 South Figueroa Street, Ninth Floor, Hearing Room 902, Los Angeles, CA, and on consecutive days thereafter until concluded, a hearing will be conducted before an administrative law judge of the National Labor Relations Board. At the hearing, Respondent and any other party to this proceeding have the right to appear and present testimony regarding the allegations in this complaint. The procedures to be followed at the hearing are described in the attached Form NLRB-4668. The procedure to request a postponement of the hearing is described in the attached Form NLRB-4338.

DATED at Los Angeles, California, this 29th day of December 2015.

Attachments

Olivia Garcia, Regional Director

National Labor Relations Board, Region 21

888 South Figueroa Street, Ninth Floor

Los Angeles, CA 90017-5449

Filed: 11/05/2018 Page 87 of 208

FORM NLRB 4338 (6-90)

UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD NOTICE

Case 21-CA-157007

The issuance of the notice of formal hearing in this case does not mean that the matter cannot be disposed of by agreement of the parties. On the contrary, it is the policy of this office to encourage voluntary adjustments. The examiner or attorney assigned to the case will be pleased to receive and to act promptly upon your suggestions or comments to this end.

An agreement between the parties, approved by the Regional Director, would serve to cancel the hearing. However, unless otherwise specifically ordered, the hearing will be held at the date, hour, and place indicated. Postponements will not be granted unless good and sufficient grounds are shown and the following requirements are met:

- (1) The request must be in writing. An original and two copies must be filed with the Regional Director when appropriate under 29 CFR 102.16(a) or with the Division of Judges when appropriate under 29 CFR 102.16(b).
- (2) Grounds must be set forth in detail;
- (3) Alternative dates for any rescheduled hearing must be given;
- (4) The positions of all other parties must be ascertained in advance by the requesting party and set forth in the request; and
- (5) Copies must be simultaneously served on all other parties (listed below), and that fact must be noted on the request.

Except under the most extreme conditions, no request for postponement will be granted during the three days immediately preceding the date of hearing.

Epstein Becker & Green, P.C. Attn. Adam C. Abrahms, Attorney at Law Attn. Kat Paterno, Attorney at Law 1925 Century Park East, Suite 500 Los Angeles, CA 90067-2706

Long Beach Memorial Medical Center, Inc. d/b/a Long Beach Memorial Medical Center & Miller Children's Hospital Long Beach 2801 Atlantic Avenue Long Beach, CA 90806

Micah Berul, Legal Counsel
California Nurse Association / National
Nurses United (CNA/NNU)
2000 Franklin Street
Oakland, CA 94612

Cynthia Hanna, Labor Representative California Nurses Association/National Nurses United (CNA/NNU) 225 West Broadway, Suite 500 Glendale, CA 91204

Page 88 of 208

Procedures in NLRB Unfair Labor Practice Hearings

Filed: 11/05/2018

The attached complaint has scheduled a hearing that will be conducted by an administrative law judge (ALJ) of the National Labor Relations Board who will be an independent, impartial finder of facts and applicable law. You may be represented at this hearing by an attorney or other representative. If you are not currently represented by an attorney, and wish to have one represent you at the hearing, you should make such arrangements as soon as possible. A more complete description of the hearing process and the ALJ's role may be found at Sections 102.34, 102.35, and 102.45 of the Board's Rules and Regulations. The Board's Rules and regulations are available at the following link: www.nlrb.gov/sites/default/files/attachments/basic-page/node-1717/rules and regs part 102.pdf.

The NLRB allows you to file certain documents electronically and you are encouraged to do so because it ensures that your government resources are used efficiently. To e-file go to the NLRB's website at www.nlrb.gov, click on "e-file documents," enter the 10-digit case number on the complaint (the first number if there is more than one), and follow the prompts. You will receive a confirmation number and an e-mail notification that the documents were successfully filed.

Although this matter is set for trial, this does not mean that this matter cannot be resolved through a settlement agreement. The NLRB recognizes that adjustments or settlements consistent with the policies of the National Labor Relations Act reduce government expenditures and promote amity in labor relations and encourages the parties to engage in settlement efforts.

I. BEFORE THE HEARING

The rules pertaining to the Board's pre-hearing procedures, including rules concerning filing an answer, requesting a postponement, filing other motions, and obtaining subpoenas to compel the attendance of witnesses and production of documents from other parties, may be found at Sections 102.20 through 102.32 of the Board's Rules and Regulations. In addition, you should be aware of the following:

- Special Needs: If you or any of the witnesses you wish to have testify at the hearing have special needs and require auxiliary aids to participate in the hearing, you should notify the Regional Director as soon as possible and request the necessary assistance. Assistance will be provided to persons who have handicaps falling within the provisions of Section 504 of the Rehabilitation Act of 1973, as amended, and 29 C.F.R. 100.603.
- Pre-hearing Conference: One or more weeks before the hearing, the ALJ may conduct a telephonic prehearing conference with the parties. During the conference, the ALJ will explore whether the case may be settled, discuss the issues to be litigated and any logistical issues related to the hearing, and attempt to resolve or narrow outstanding issues, such as disputes relating to subpoenaed witnesses and documents. This conference is usually not recorded, but during the hearing the ALJ or the parties sometimes refer to discussions at the pre-hearing conference. You do not have to wait until the prehearing conference to meet with the other parties to discuss settling this case or any other issues.

II. DURING THE HEARING

The rules pertaining to the Board's hearing procedures are found at Sections 102.34 through 102.43 of the Board's Rules and Regulations. Please note in particular the following:

- Witnesses and Evidence: At the hearing, you will have the right to call, examine, and cross-examine witnesses and to introduce into the record documents and other evidence.
- Exhibits: Each exhibit offered in evidence must be provided in duplicate to the court reporter and a
 copy of each of each exhibit should be supplied to the ALJ and each party when the exhibit is offered

Filed: 11/05/2018 Page 89 of 208

Form NLRB-4668 (6-2014)

in evidence. If a copy of any exhibit is not available when the original is received, it will be the responsibility of the party offering such exhibit to submit the copy to the ALJ before the close of hearing. If a copy is not submitted, and the filing has not been waived by the ALJ, any ruling receiving the exhibit may be rescinded and the exhibit rejected.

- Transcripts: An official court reporter will make the only official transcript of the proceedings, and all citations in briefs and arguments must refer to the official record. The Board will not certify any transcript other than the official transcript for use in any court litigation. Proposed corrections of the transcript should be submitted, either by way of stipulation or motion, to the ALJ for approval. Everything said at the hearing while the hearing is in session will be recorded by the official reporter unless the ALJ specifically directs off-the-record discussion. If any party wishes to make off-the-record statements, a request to go off the record should be directed to the ALJ.
- Oral Argument: You are entitled, on request, to a reasonable period of time at the close of the hearing for oral argument, which shall be included in the transcript of the hearing. Alternatively, the ALJ may ask for oral argument if, at the close of the hearing, if it is believed that such argument would be beneficial to the understanding of the contentions of the parties and the factual issues involved.
- <u>Date for Filing Post-Hearing Brief</u>: Before the hearing closes, you may request to file a written brief or proposed findings and conclusions, or both, with the ALJ. The ALJ has the discretion to grant this request and to will set a deadline for filing, up to 35 days.

III. AFTER THE HEARING

The Rules pertaining to filing post-hearing briefs and the procedures after the ALJ issues a decision are found at Sections 102.42 through 102.48 of the Board's Rules and Regulations. Please note in particular the following:

- Extension of Time for Filing Brief with the ALJ: If you need an extension of time to file a post-hearing brief, you must follow Section 102.42 of the Board's Rules and Regulations, which requires you to file a request with the appropriate chief or associate chief administrative law judge, depending on where the trial occurred. You must immediately serve a copy of any request for an extension of time on all other parties and furnish proof of that service with your request. You are encouraged to seek the agreement of the other parties and state their positions in your request.
- ALJ's Decision: In due course, the ALJ will prepare and file with the Board a decision in this matter. Upon receipt of this decision, the Board will enter an order transferring the case to the Board and specifying when exceptions are due to the ALJ's decision. The Board will serve copies of that order and the ALJ's decision on all parties.
- Exceptions to the ALJ's Decision: The procedure to be followed with respect to appealing all or any part of the ALJ's decision (by filing exceptions with the Board), submitting briefs, requests for oral argument before the Board, and related matters is set forth in the Board's Rules and Regulations, particularly in Section 102.46 and following sections. A summary of the more pertinent of these provisions will be provided to the parties with the order transferring the matter to the Board.

Filed: 11/05/2018 Page 90 of 208

UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD

MEMORIAL CARE HEALTH SYSTEM, D/B/A LONG BEACH MEMORIAL MEDICAL CENTER

Charged Party

and

Case 21-CA-157007

CALIFORNIA NURSES ASSOCIATION/ NATIONAL NURSE UNITED (CNA/NNU)

Charging Party

AFFIDAVIT OF SERVICE OF SECOND AMENDED CHARGE AGAINST EMPLOYER

I, the undersigned employee of the National Labor Relations Board, being duly sworn, say that on October 21, 2015, I served the above-entitled document(s) by regular mail upon the following persons, addressed to them at the following addresses:

MEMORIALCARE HEALTH SYSTEM, D/B/A LONG BEACH MEMORIAL MEDICAL CENTER 2801 ATLANTIC AVENUE LONG BEACH, CA 90806

ADAM C. ABRAHMS, ATTORNEY AT LAW EPSTEIN BECKER & GREEN, P.C. 1925 CENTURY PARK EAST, SUITE 500 LOS ANGELES, CA 90067-2706

| Octo | 1 | 1 | 1 | ~ | ^ . | |
|-------|----------------------------------------|---|---|-------|-----|--|
| LICTO | ne+ | , | ľ | - / 1 | | |
| | $\mathbf{v}_{\mathbf{v}_{\mathbf{I}}}$ | 4 | | ~ | v. | |

Date

Mara Estudillo, Designated Agent of NLRB
Name

(

Signature



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD



REGION 21 888 S Figueroa St Fl 9 Los Angeles, CA 90017-5449

Agency Website: www.nlrb.gov Telephone: (213)894-5200 Fax: (213)894-2778 Download NLRB Mobile App

October 21, 2015

MEMORIALCARE HEALTH SYSTEM, D/B/A LONG BEACH MEMORIAL MEDICAL CENTER 2801 ATLANTIC AVENUE LONG BEACH, CA 90806

Re:

MEMORIALCARE HEALTH SYSTEM,

D/B/A LONG BEACH MEMORIAL

MEDICAL CENTER Case 21-CA-157007

Dear Sir or Madam:

Enclosed is a copy of the second amended charge that has been filed in this case.

<u>Investigator</u>: This charge is being investigated by Field Attorney LINDSAY PARKER whose telephone number is (213)894-5224. If the agent is not available, you may contact Regional Attorney WILLIAM PATE, JR. whose telephone number is (213)894-5206.

Presentation of Your Evidence: As you know, we seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations in the second amended charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

<u>Procedures</u>: Your right to representation, the means of presenting evidence, and a description of our procedures, including how to submit documents, was described in the letter sent to you with the original charge in this matter. If you have any questions, please contact the Board agent.

Very truly yours,

/s/OLIVIA GARCIA Regional Director

Enclosure: Copy of second amended charge

cc:

ADAM C. ABRAHMS, ATTORNEY AT LAW

EPSTEIN BECKER & GREEN, P.C.

1925 CENTURY PARK EAST, SUITE 500

LOS ANGELES, CA 90067-2706

OG/hta



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD



REGION 21 888 S Figueroa St Fl 9 Los Angeles, CA 90017-5449 Agency Website: www.nlrb.gov Telephone: (213)894-5200 Fax: (213)894-2778 Download -NLRB Mobile App

October 21, 2015

MICAH BERUL, LEGAL COUNSEL CALIFORNIA NURSE ASSOCIATION/NATIONAL NURSES UNITED (CNA/NNU) 2000 FRANKLIN STREET OAKLAND, CA 94612

Re:

MEMORIALCARE HEALTH SYSTEM,

D/B/A LONG BEACH MEMORIAL

MEDICAL CENTER Case 21-CA-157007

Dear Mr. Berul:

We have docketed the second amended charge that you filed in this case.

<u>Investigator</u>: This charge is being investigated by Field Attorney LINDSAY PARKER whose telephone number is (213)894-5224. If the agent is not available, you may contact Regional Attorney WILLIAM PATE, JR. whose telephone number is (213)894-5206.

<u>Presentation of Your Evidence</u>: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. If you have additional evidence regarding the allegations in the second amended charge and you have not yet scheduled a date and time for the Board agent to obtain that evidence, please contact the Board agent to arrange to present that evidence. If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed.

<u>Procedures</u>: Your right to representation, the means of presenting evidence, and a description of our procedures, including how to submit documents, was described in the letter sent to you with the original charge in this matter. If you have any questions, please contact the Board agent.

Very truly yours,

/s/OLIVIA GARCIA Regional Director

Enclosure: Copy of second amended charge

cc: (See next page.)

USCA Case #18-1125 Document #1758750 Filed: 11/05/2018 Page 93 of 208

MEMORIALCARE HEALTH SYSTEM, - 2 - D/B/A LONG BEACH MEMORIAL MEDICAL CENTER Case 21-CA-157007

October 21, 2015

cc: CYNTHIA HANNA, LABOR REPRESENTATIVE

ANDREW PREDILETTO, ASSISTANT DIRECTOR

COLLECTIVE BARGAINING

CALIFORNIA NURSES ASSOCIATION/NATIONAL

NURSES UNITED (CNA/NNU)

225 WEST BROADWAY, SUITE 500

GLENDALE, CA 91204

OG/hta

FORM NURB-501

USCA Case #18-1125

Document #1758750

FORM NERS-501 UNITED STATES OF AMERICA
NATIONAL LABOR RELATIONS BOARD
SECOND AMENDED CHARGE AGAINST EMPLOYER

| Filed: 11/05/2018 | Page SAFONOZO US.C 351 |
|-------------------|------------------------|
| | |

| 10012700 | PUBM ENEMP FUNDER 44 0.5,6 3512 |
|--------------|---------------------------------|
| DO NOT W | RITE IN THIS SPACE |
| Case | Date Filed |
| 21-CA-157007 | 10-19-15 |

| NSTRUCTIONS: | F | CA-157007 | 10-19-15 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|
| ile an original with NLRB Regional Director for the region in which | the alleged unfair labor practice of AGAINST WHOM CHARGE | curred or is occurring. | 71 At 27 Land 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 |
| Name of Employer MemorialCare Health System, d/b/a Long Beach | | IS BROUGH) | . Tel. No. 562-933-2000. |
| | | C | Cell No. |
| d. Address (Street, city, state, and ZIP code) | e. Employer Representative | | Fax No. |
| 2801 Atlantic Avenue Long Beach, CA 90806 | Barry Arbuckle, Ph.D. President and CEO | g | e-Mail |
| And the second s | | i h | n. Number of workers employed 10,000 + |
| i. Type of Establishment (factory, mine, wholesaler, etc.) Acute Care Hospital | j, Identify principal product o Healthcare | r service | 2 |
| k. The above-named employer has engaged in and is engagin subsections) (5) | | | 8(a), subsections (1) and (list elations Act, and these unfair labor |
| practices are practices affecting commerce within the mean within the meaning of the Act and the Postal Reorganization | ning of the Act, or these unfair lab | or practices are unfair p | rations act, and these uniar labor ractices affecting commerce |
| unlawfully interferes, restrains and coerces employed policy with regard to union insignia; unilaterally in Union, and without the Union's consent, despite the and on or about October 7, 2015, harassed a Number of Section 8 (a) (5), and date. By these and other acts, the Employer has been Section 7 rights. 3. Full name of party filing charge (if labor organization, given California Nurses Universes). | nplemented the dress code he fact that the dress code rse Representative while d d additionally independent interfering, restraining and | policy without bary policy change was sparately enforcing y violates Section coercing employee | gaining in good faith with the s a mid-term modification; g the unlawful dress code 8(a)(1), and is continuing to |
| 4a. Address (Street and number, city, state, and ZIP code) | And the same of th | (4) | Tel. No. 510-273-2200 |
| 2000 Franklin Street | | | 510-273-2200 Sell No. |
| Oakland, CA 94612 | | ADIGUUD* | |
| | | | Fax No. 510-663-4822 |
| € | 1 | 46.4 | é-Mail |
| 5. Full name of national or international labor organization of organization). AFL-CIO | which it is an affiliate or constitue | nt unit <i>(to be filled in wh</i> | en charge is filed by a labor, |
| 6. DECLARATION I declare that I have read the above charge and that the statement | N is are true to the best of my knowled | Tel: | No. 510-273-2292-7 |
| By MC | Micah Berul, In-House C | ounsel 51 | ce, if any, CelLNo. 0-610-7791 |
| (signalute of representative or person making charge) | (Print/type name and tille or office, if | Çax | No. 510-663-4822 N |
| 2000 Franklin Street, Oakland, CA 94612 | 10/ | 19/2015 e-Ma (dàté): mb | ail erul@calnurses.org |

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

Filed: 11/05/2018 Page 95 of 208

UNITED STATES OF AMERICA

BEFORE THE NATIONAL LABOR RELATIONS BOARD

MEMORIAL CARE HEALTH SYSTEM, D/B/A LONG BEACH MEMORIAL MEDICAL CENTER

Charged Party

and

Case 21-CA-157007

CALIFORNIA NURSES ASSOCIATION/ NATIONAL NURSE UNITED (CNA/NNU)

Charging Party

AFFIDAVIT OF SERVICE OF FIRST AMENDED CHARGE AGAINST EMPLOYER - (RE-SERVED CORRECTED COPY)

I, the undersigned employee of the National Labor Relations Board, being duly sworn, say that on September 17, 2015, I served the above-entitled document(s) by regular mail upon the following persons, addressed to them at the following addresses:

MEMORIALCARE HEALTH SYSTEM, D/B/A LONG BEACH MEMORIAL MEDICAL CENTER 2801 ATLANTIC AVENUE LONG BEACH, CA 90806

ADAM C. ABRAHMS, ATTORNEY AT LAW EPSTEIN BECKER & GREEN, P.C. 1925 CENTURY PARK EAST, SUITE 500 LOS ANGELES, CA 90067-2706

| September | 17, | , 2015 | |
|-----------|-----|--------|--|
|-----------|-----|--------|--|

Date

Mara Estudillo, Designated Agent of NLRB

Name

Signature

Filed: 11/05/2018

Page 96 of 208



UNITED STATES GOVERNMENT (RE-SERVED CORRECTED COPY) NATIONAL LABOR RELATIONS BOARD



REGION 21 888 S Figueroa St Fl.9 Los Angeles, CA 90017-5449

Agency Website: www.nlrb.gov Telephone: (213)894-5200 Fax: (213)894-2778

NLRB Mobile App

September 16, 2015

MEMORIALCARE HEALTH SYSTEM, D/B/A LONG BEACH MEMORIAL MEDICAL CENTER 2801 ATLANTIC AVENUE LONG BEACH, CA 90806

Re:

MEMORIALCARE HEALTH SYSTEM,

D/B/A LONG BEACH MEMORIAL

MEDICAL CENTER Case 21-CA-157007

Dear Sir or Madam:

Enclosed is a copy of the first amended charge that has been filed in this case.

Investigator: This charge is being investigated by Field Attorney LINDSAY PARKER whose telephone number is (213)894-5224. If the agent is not available, you may contact Regional Attorney WILLIAM PATE, JR. whose telephone number is (213)894-5206.

Presentation of Your Evidence: As you know, we seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations in the first amended charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Procedures: Your right to representation, the means of presenting evidence, and a description of our procedures, including how to submit documents, was described in the letter sent to you with the original charge in this matter. If you have any questions, please contact the Board agent.

Very truly yours,

OLIVIA GARCIA

Regional Director

Enclosure: Copy of first amended charge

cc:

ADAM C. ABRAHMS, ATTORNEY AT LAW

EPSTEIN BECKER & GREEN, P.C.

1925 CENTURY PARK EAST, SUITE 500

LOS ANGELES, CA 90067-2706

OG/hta

Filed: 11/05/2018 Page 97 of 208

UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD

MEMORIALCARE HEALTH SYSTEM, D/B/A LONG BEACH MEMORIAL MEDICAL CENTER

Charged Party

and

Case 21-CA-157007

CALIFORNIA NURSES ASSOCIATION/ NATIONAL NURSE UNITED (CNA/NNU)

Charging Party

AFFIDAVIT OF SERVICE OF FIRST AMENDED CHARGE AGAINST EMPLOYER

I, the undersigned employee of the National Labor Relations Board, being duly sworn, say that on September 16, 2015, I served the above-entitled document(s) by regular mail upon the following persons, addressed to them at the following addresses:

MEMORIALCARE HEALTH SYSTEM, D/B/A LONG BEACH MEMORIAL MEDICAL CENTER 2801 ATLANTIC AVENUE LONG BEACH, CA 90806

| September 16, 2015 | Mara Estudillo, Designated Agent of NLRB |
|--------------------|------------------------------------------|
| Date | Name |
| | _ Mara Palvolille |
| | Signature |

NATIONAL TABOR RELATIONS BOARD



NLRB Mobile App

REGION 21 888 S Figueroa St FI 9 Los Angeles, CA 90017-5449

Telephone: (213)894-5200

Agency Website: www.nlrb.gov

Fax: (213)894-2778

Filed: 11/05/2018

September 16, 2015

MEMORIAL CARE HEALTH SYSTEM, D/B/A LONG BEACH MEMORIAL MEDICAL CENTER 2801 ATLANTIC AVENUE LONG BEACH, CA 90806

Re:

MEMORIAL CARE HEALTH SYSTEM,

D/B/A LONG BEACH MEMORIAL

MEDICAL CENTER Case 21-CA-157007

Dear Sir or Madam:

Enclosed is a copy of the first amended charge that has been filed in this case.

Investigator: This charge is being investigated by Field Attorney LINDSAY PARKER whose telephone number is (213)894-5224. If the agent is not available, you may contact Regional Attorney WILLIAM PATE, JR. whose telephone number is (213)894-5206.

Presentation of Your Evidence: As you know, we seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations in the first amended charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Procedures: Your right to representation, the means of presenting evidence, and a description of our procedures, including how to submit documents, was described in the letter sent to you with the original charge in this matter. If you have any questions, please contact the Board agent.

Very truly yours,

OLIVIA GARCIA

Regional Director

OG/hta

Enclosure: Copy of first amended charge

USCA Case #18-1125

Document #1758750

Filed: 11/05/2018

Page 99 of 208



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD



REGION 21 888 S Figueroa St Fl 9 Los Angeles, CA 90017-5449 Agency Website: www.nlrb.gov Telephone: (213)894-5200 Fax: (213)894-2778 Download NLRB Mobile App

September 16, 2015

MICAH BERUL, IN-HOUSE COUNSEL CALIFORNIA NURSE ASSOCIATION/NATIONAL NURSES UNITED (CNA/NNU) 2000 FRANKLIN STREET OAKLAND, CA 94612

Re:

MEMORIALCARE HEALTH SYSTEM,

D/B/A LONG BEACH MEMORIAL

MEDICAL CENTER Case 21-CA-157007

Dear Mr. Berul:

We have docketed the first amended charge that you filed in this case.

<u>Investigator</u>: This charge is being investigated by Field Attorney LINDSAY PARKER whose telephone number is (213)894-5224. If the agent is not available, you may contact Regional Attorney WILLIAM PATE, JR. whose telephone number is (213)894-5206.

<u>Presentation of Your Evidence</u>: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. If you have additional evidence regarding the allegations in the first amended charge and you have not yet scheduled a date and time for the Board agent to obtain that evidence, please contact the Board agent to arrange to present that evidence. If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed.

<u>Procedures</u>: Your right to representation, the means of presenting evidence, and a description of our procedures, including how to submit documents, was described in the letter sent to you with the original charge in this matter. If you have any questions, please contact the Board agent.

Very truly yours,

/s/OLIVIA GARCIA Regional Director

Enclosure: Copy of first amended charge

cc: (See next page.)

USCA Case #18-1125 Document #1758750

Case 21-CA-157007

MEMORIALCARE HEALTH SYSTEM, - 2 - D/B/A LONG BEACH MEMORIAL MEDICAL CENTER

Page 100 of 208 September 16, 2015

Filed: 11/05/2018

cc: CYNTHIA HANNA, LABOR REPRESENTATIVE

ANDREW PREDILETTO, ASSISTANT DIRECTOR

COLLECTIVE BARGAINING

CALIFORNIA NURSES ASSOCIATION/NATIONAL

NURSES UNITED (CNA/NNU) 225 WST BROADWAY, SUITE 500

GLENDALE, CA 91204

OG/hta-

Form NLRB - 501 (2:08)

INSTRUCTIONS:

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD FIRST AMENDED CHARGE AGAINST EMPLOYER

| DO NOT WRITE IN THIS SPACE | | | |
|----------------------------|------------|--|--|
| Gase | Date Filed | | |
| 21-CA-157007 | 09-16-15 | | |

| File an original of this charge with NLRB Regional | Director in which the alleged unfair labor practice of | occurred or is occurring | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|
| a. Name of Employer | EMPLOYER AGAINST WHOM CHARGE IS BROWN | The state of the s | | |
| MEMORIALCARE HEALTH SYSTEM | DIRIATIONIC REACH MEMORIAL | b. Tel. No. | | |
| | DIBIALLUNG DEACH MEMORIAL | (562)933-2000 | | |
| MEDICAL CENTER | c. Cell No. | | | |
| d. Address (street, city, state ZIP code) | e. Employer Representative | 1. Fax No. | | |
| 2801 ATLANTIC AVENUE, LONG | Barry Arbuckle, Ph.D. | | | |
| BEACH, CA 90806 | President and CEO | g. e-Mail | | |
| DE 1011, 071 00000 | F TOSIGOTE GENT OLO | 9.0 | | |
| J | i | h. Dispute Location (City and State) | | |
| | Ū | LONG BEACH, CA | | |
| i. Type of Establishment (factory, nursing home; | j. Principal Product or Service | k. Number of workers at dispute location | | |
| hotel) | | 10,000 | | |
| Acute Care Hospital | Healthcare | 10,000 | | |
| | 1 | J | | |
| I. The above-named employer has engaged in and | is engaging in unfair labor practices within the me | aning of section 8(a), subsections (1) and (5) of | | |
| the National Labor Relations Act, and these unfair | labor practices are practices affecting commerce w | within the meaning of the Act, or these unfair | | |
| labor practices are unfair practices affecting comm | erce within the meaning of the Act and the Postal I | Reorganization Act. | | |
| 2. Basis of the Charge (set forth a clear and concis | | | | |
| | yer has: promulgated and maintained an | | | |
| | rces employees' right to wear union insig | | | |
| code policy with regard to union insign | ia; and unilaterally implemented the dres | s code policy without bargaining in | | |
| | the Union's consent, despite the fact that | | | |
| mid-term modification. Such conduct v | iolates Section 8(a)(5), and additionally in | ndependently violates Section 8(a)(1) | | |
| and is continuing to date. By these and | state acte the Employer has been inter | forest restraining and posterior | | |
| and is continuing to date. By these and other acts, the Employer has been interfering, restraining and coercing | | | | |
| employees in the exercise of their Sec | lion, / rights. | | | |
| 2 5 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | and the state of t | | | |
| 3. Full name of party filing charge (if labor organiza | | | | |
| CALIFORNIA NURSES ASSOCIATIO | N/NATIONAL NURSE UNITED (CNA/NN | | | |
| 4a. Address (street and number, city, state, and ZII | | 4b. Tel. No. | | |
| 2000 FRANKLIN STREET, OAKLAND | CA 94612 | (510)273-2200 | | |
| M A | | 4c. Cell No. | | |
| | | (510)610-7791 | | |
| | | · 4d, Fax No. | | |
| | | (510)663-4822 | | |
| | | 4e. e-Mail | | |
| . S. A. Maria Carata and Carata a | and the same of the day williams to the same | mberul@calnurses.org, | | |
| 5. Full name of national or international labor organ | ization of which it is an affiliate or constituent unit (| (to be filled in when charge is filed by a labor | | |
| organization) | 3 6 | | | |
| Control of the section of the sectio | | | | |
| and 1 12 35 11 West W 1246-1004 241-102-1 | 976 - 40. 761 B | = % | | |
| 6. DECLARATION | | Tel. No. | | |
| NATIONAL PROPERTY OF THE PROPE | I declare that I have read the above charge and that the statements are true to the best of | | | |
| | | | | |
| my knowledge and belief. | and the second of the second o | (510)273-2200 | | |
| والمراجع المناسب المواجع المناسب المراجع والمناسب المراجع والمناسب المراجع والمناسب المناسب والمناسب | and the second of the second o | (510)273-2200 S | | |
| By: | MICAH BERUL IN-HOUSE | Office, if any, Cell No. 57 | | |
| By mush | MICAH BERUL IN-HOUSE COUNSEL | Office, if any, Cell No. (510)610-7791 | | |
| | MICAH BERUL IN-HOUSE COUNSEL | Office, if any, Cell No. (510)610-7791 | | |
| By: Wis A Constitute of person making ch | MICAH BERUL IN-HOUSE COUNSEL arge) Print Name and Title | Office, if any, Cell No. (510)610-7791 | | |
| ex mush | MICAH BERUL IN-HOUSE COUNSEL | Office, if any, Cell No. (510)610-7791 | | |

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)

PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary, however, failure to supply the information will cause the NLRB in decline to invoke its processes.

Filed: 11/05/2018 Page 102 of 208

UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD

MEMORIAL CARE HEALTH SYSTEM, D/B/A LONG BEACH MEMORIAL MEDICAL CENTER

Charged Party

and

Case 21-CA-157007

CALIFORNIA NURSES
ASSOCIATION/NATIONAL NURSE UNITED
(CNA/NNU)

Charging Party

AFFIDAVIT OF SERVICE OF CHARGE AGAINST EMPLOYER

I, the undersigned employee of the National Labor Relations Board, state under oath that on July 30, 2015, I served the above-entitled document(s) by post-paid regular mail upon the following persons, addressed to them at the following addresses:

MEMORIALCARE HEALTH SYSTEM, D/B/A LONG BEACH MEMORIAL MEDICAL CENTER 2801 ATLANTIC AVENUE LONG BEACH, CA 90806

| Jı | uly | 30 |), 2 | 015 |) |
|-----|-----|----|------|-----|---|
| J 1 | ury | 20 | , 4 | OIL | , |

Date

Mara Estudillo, Designated Agent of NLRB

D1.....





UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

Agency Website: www.nlrb.gov Telephone: (213)894-5200 Fax: (213)894-2778



July 30, 2015

MEMORIALCARE HEALTH SYSTEM, D/B/A LONG BEACH MEMORIAL MEDICAL CENTER 2801 ATLANTIC AVENUE LONG BEACH, CA 90806

888 S Figueroa St Fl 9

Los Angeles, CA 90017-5449

REGION 21

Re:

MEMORIALCARE HEALTH SYSTEM, D/B/A LONG BEACH MEMORIAL

MEDICAL CENTER
Case 21-CA-157007

Dear Sir or Madam:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney LINDSAY PARKER whose telephone number is (213)894-5224. If this Board agent is not available, you may contact Regional Attorney WILLIAM PATE, JR. whose telephone number is (213)894-5206.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

Presentation of Your Evidence: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be

Page 104 of 208

MEMORIALCARE HEALTH SYSTEM, - 2 - D/B/A LONG BEACH MEMORIAL MEDICAL CENTER
Case 21-CA-157007

July 30, 2015

considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

Filed: 11/05/2018

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor any request to place limitations on our use of position statements or evidence beyond those prescribed by the Freedom of Information Act and the Federal Records Act. Thus, we will not honor any claim of confidentiality except as provided by Exemption 4 of FOIA, 5 U.S.C. Sec. 552(b)(4), and any material you submit may be introduced as evidence at any hearing before an administrative law judge. We are also required by the Federal Records Act to keep copies of documents gathered in our investigation for some years after a case closes. Further, the Freedom of Information Act may require that we disclose such records in closed cases upon request, unless there is an applicable exemption. Examples of those exemptions are those that protect confidential financial information or personal privacy interests.

<u>Procedures</u>: We strongly urge everyone to submit all documents and other materials by E-Filing (not e-mailing) through our website, <u>www.nlrb.gov</u>. However, the Agency will continue to accept timely filed paper documents. Please include the case name and number indicated above on all your correspondence regarding the charge.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, www.nlrb.gov or from an NLRB office upon your request. NLRB Form 4541 offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

/S/OLIVIA GARCIA Regional Director

Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

OG/hta



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD



REGION 21 888 S Figueroa St Fl 9 Los Angeles, CA 90017-5449 Agency Website: www.nlrb.gov Telephone: (213)894-5200 Fax: (213)894-2778 Download NLRB Mobile App

July 30, 2015

MICAH BERUL, IN-HOUSE COUNSEL CALIFORNIA NURSE ASSOCIATION/NATIONAL NURSES UNITED (CNA/NNU) 2000 FRANKLIN STREET OAKLAND, CA 94612

Re:

MEMORIALCARE HEALTH SYSTEM,

D/B/A LONG BEACH MEMORIAL

MEDICAL CENTER Case 21-CA-157007

Dear Mr. Berul:

The charge that you filed in this case on July 28, 2015 has been docketed as case number 21-CA-157007. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney LINDSAY PARKER whose telephone number is (213)894-5224. If this Board agent is not available, you may contact Regional Attorney WILLIAM PATE, JR. whose telephone number is (213)894-5206.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, www.nlrb.gov, or at the Regional office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

Presentation of Your Evidence: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

Filed: 11/05/2018

Page 106 of 208

MEMORIALCARE HEALTH SYSTEM, - 2 - D/B/A LONG BEACH MEMORIAL MEDICAL CENTER
Case 21-CA-157007

July 30, 2015

<u>Procedures</u>: We strongly urge everyone to submit all documents and other materials by E-Filing (not e-mailing) through our website www.nlrb.gov. However, the Agency will continue to accept timely filed paper documents. Please include the case name and number indicated above on all your correspondence regarding the charge.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website www.nlrb.gov or from the Regional Office upon your request. *NLRB Form 4541, Investigative Procedures* offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

/S/OLIVIA GARCIA Regional Director

Enclosures

cc:

CYNTHIA HANNA, LABOR REPRESENTATIVE ANDREW PREDILETTO, ASSISTANT DIRECTOR COLLECTIVE BARGAINING CALIFORNIA NURSES ASSOCIATION/NATIONAL NURSES UNITED (CNA/NNU) 225 WST BROADWAY, SUITE 500 GLENDALE, CA 91204

OG/hta

USCA Case #18-1125

Document #1758750

Filed: 11/05/2018

Page 107 of 208

FORM EXEMPT UNDER 44 U.S.C 3512

INTERNET FORM NLRB-501 (2-08)

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD **CHARGE AGAINST EMPLOYER**

| DO NOT WRIT | E IN THIS SPACE |
|--------------|-----------------|
| Case | Date Filed |
| 21-CA-157007 | 07-28-15 |

| STRUCTIONS: | | 21-CA-1570 | 07 | 07-28-15 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| e an original with NLRB Regional Director for the region in which | | | ing. | |
| | R AGAINST WHOM CHA | RGE IS BROUGHT | 777 - 77 | |
| a. Name of Employer | | | b. Tel. N | ^{lo.} 562-933-2000 |
| MemorialCare Health System, d/b/a Long Beacl | n Memorial Medical Ce | nter | | |
| | | | c. Cell N | 0. |
| | | | f. Fax N | |
| 1. Address (Street, city, state, and ZIP code) | e. Employer Represer | ntative | - I'' / 3X II' | •• |
| 2801 Atlantic Avenue | Barry Arbuckle, P | h.D. | g. e-Mai | |
| Long Beach, CA 90806 | President and CE | 0 | 1 | |
| | | | h. Numb | per of workers employed 10,000 + |
| . Type of Establishment (factory, mine, wholesaler, etc.) Acute Care Hospital | j. Identify principal pro Healthcare | duct or service | | 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 - 11 |
| k. The above-named employer has engaged in and is engag | ing in unfair labor practices | within the meaning of se | ction 8(a), su | bsections (1) and (list |
| subsections) (5) | • | of the National I al | nor Palations | Act, and these unfair labo |
| | | | | |
| practices are practices affecting commerce within the me | aning of the Act, or these uni | fair labor practicos are u | | s anecuniu commicice |
| Within the past six months, the Employer has ur | ion Act. ent of the fects constituting to nilaterally implemented | he alleged unfair labor p an overly broad dre | ractices) | |
| | ion Act. ent of the facts constituting to nilaterally implemented ht to wear union insigni | he alleged unfair labor p an overly broad dre ia. ers, agents and repr | ractices) ess code pe | olicy that unlawfully |
| within the meaning of the Act and the Postal Reorganizate. 2. Basis of the Charge (set forth a clear and concise statem) Within the past six months, the Employer has urinterferes, restrains and coerces employees' rigingly these and other acts, the above-named Emprestrained and coerced its employees in the exercise. | ion Act. ent of the facts constituting to inlaterally implemented that to wear union insignitional to the constituting to the constitution of the rights guar in the constitution of the constitu | he alleged unfair labor p an overly broad dre ia. ers, agents and repr anteed in Section 7 | ractices) ess code pe | olicy that unlawfully |
| within the meaning of the Act and the Postal Reorganizate. Basis of the Charge (set forth a clear and concise statem Within the past six months, the Employer has uninterferes, restrains and coerces employees' riging these and other acts, the above-named Emprestrained and coerced its employees in the execution of party filing charge (if labor organization, give California Nurses Association/National Nurses L | ion Act. ent of the facts constituting to inlaterally implemented that to wear union insignitional to the constituting to the constitution of the rights guar in the constitution of the constitu | he alleged unfair labor p an overly broad dre ia. ers, agents and repr anteed in Section 7 | ractices) ess code pe | olicy that unlawfully |
| within the meaning of the Act and the Postal Reorganization. Basis of the Charge (set forth a clear and concise statem Within the past six months, the Employer has uninterferes, restrains and coerces employees' riging these and other acts, the above-named Emprestrained and coerced its employees in the execution of the executio | ion Act. ent of the facts constituting to inlaterally implemented that to wear union insignitional to the constituting to the constitution of the rights guar in the constitution of the constitu | he alleged unfair labor p an overly broad dre ia. ers, agents and repr anteed in Section 7 | rectices) ess code per esentatives of the Act | olicy that unlawfully |
| within the meaning of the Act and the Postal Reorganization. Basis of the Charge (set forth a clear and concise statem) Within the past six months, the Employer has urinterferes, restrains and coerces employees' riging these and other acts, the above-named Emprestrained and coerced its employees in the execution of the executio | ion Act. ent of the facts constituting to inlaterally implemented that to wear union insignitional to the constituting to the constitution of the rights guar in the constitution of the constitu | he alleged unfair labor p an overly broad dre ia. ers, agents and repr anteed in Section 7 | ess code possess c | olicy that unlawfully s, has interfered with |
| within the meaning of the Act and the Postal Reorganization. 2. Basis of the Charge (set forth a clear and concise statem) Within the past six months, the Employer has uninterferes, restrains and coerces employees' riging these and other acts, the above-named Emprestrained and coerced its employees in the execution of party filing charge (if labor organization, give California Nurses Association/National Nurses Laborated and Coerced its employees in the execution of party filing charge (if labor organization, give California Nurses Association/National Nurses Laborated and number, city, state, and ZIP code) 2000 Franklin Street | ion Act. ent of the facts constituting to inlaterally implemented that to wear union insignitional to the constituting to the constitution of the rights guar in the constitution of the constitu | he alleged unfair labor p an overly broad dre ia. ers, agents and repr anteed in Section 7 | ess code possess c | olicy that unlawfully s, has interfered with |
| within the meaning of the Act and the Postal Reorganizate. 2. Basis of the Charge (set forth a clear and concise statem) Within the past six months, the Employer has urinterferes, restrains and coerces employees' right by these and other acts, the above-named Emp | ion Act. ent of the facts constituting to inlaterally implemented that to wear union insignitional to the constituting to the constitution of the rights guar in the constitution of the constitu | he alleged unfair labor p an overly broad dre ia. ers, agents and repr anteed in Section 7 | ess code possess c | olicy that unlawfully |

" AFL-CIO

6. DECLARATION I declare that I have read the above charge and that the statements are true to the best of my knowledge and belief.

Micah Berul, In-House Counsel (signature of representative or person making charge)

Office, if any, Cell No. 510-610-7791 (Print/type name and title or office, if any)

Fax No. 510-663-4822

Tel. No.

2000 Franklin Street, Oakland, CA 94612 Address

07/28/2015 (dáte)

mberul@calnurses.org

510-273-2292

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) **PRIVACY ACT STATEMENT**

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

Filed: 11/05/2018 Page 108 of 208

FORM NLRB-31

SUBPOENA DUCES TECUM

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD

Custodian of Records Long Beach Memorial Medical Center d/b/a Long Beach Memorial Medical Center & Miller Children's and Women's Hospital

2801 Atlantic Avenue

Long Beach, CA 90806

As requested by Lindsay Parker, Counsel for General Counsel, Telephone (213) 894-5224

whose address is

888 S Figueroa Street, Ninth Floor

Los Angeles

CA 90017-5449

(Street)

(City)

(State)

(ZIP)

YOU ARE HEREBY REQUIRED AND DIRECTED TO APPEAR BEFORE an Administrative Law Judge

of the National Labor Relations Board

Hearing Room 902, 888 S Figueroa Street, Ninth Floor

in the City of Los Angeles, CA Monday, May 23, 2016

1:00 pm

or any adjourned

Long Beach Memorial Medical Center Inc. d/b/a Long Beach Memorial Medical Center & Miller Children's and Women's Hospital Long Beach

or rescheduled date to testify in Case 21-CA-157007

(Case Name and Number)

And you are hereby required to bring with you and produce at said time and place the following books, records, correspondence, and documents:

SEE ATTACHMENT

If you do not intend to comply with the subpoena, within 5 days (excluding intermediate Saturdays, Sundays, and holidays) after the date the subpoena is received, you must petition in writing to revoke the subpoena. Unless filed through the Board's E-Filing system, the petition to revoke must be received on or before the official closing time of the receiving office on the last day for filing. If filed through the Board's E-Filing system, it may be filed up to 11:59 pm in the local time zone of the receiving office on the last day for filling. Prior to a hearing, the petition to revoke should be filed with the Regional Director; during a hearing, it should be filed with the Hearing Officer or Administrative Law Judge conducting the hearing. See Board's Rules and Regulations, 29 C.F.R Section 102.31(b) (unfair labor practice proceedings) and/or 29 C.F.R. Section 102.66(c) (representation proceedings) and 29 C.F.R Section 102.111(a)(1) and 102.111(b)(3) (time computation). Failure to follow these rules may result in the loss of any ability to raise objections to the subpoena in court.

B-1-RP46JZ

Under the seal of the National Labor Relations Board, and by direction of the Board, this Subpoena is

Issued at Los Angeles, CA

Dated:

May 12, 2016

Chairman, National Labor Relations Board

NOTICE TO WITNESS. Witness fees for attendance, subsistence, and mileage under this subpoena are payable by the party at whose request the witness is subpoensed. A witness appearing at the request of the General Counsel of the National Labor Relations Board shall submit this subpoens with the voucher when claiming reimbursement.

PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing representation and/or unfair labor practice proceedings and related proceedings or litigation. The routine uses for the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not because of the information to the NLRB is not becaus the information may cause the NLRB to seek enforcement of the subpoens in federal court.

employer title, business address, home address, and telephone number) all persons known or believed to have the document or a copy thereof in their possession, custody or control.

Filed: 11/05/2018

- 7. If any document responsive to any request herein was destroyed, discarded, or otherwise disposed of for whatever reasons, identify the document (stating its date, author, subject, recipients and intended recipients), explain the circumstances surrounding the destruction, discarding, or disposal of the documents, including the timing of the destruction, discharging or disposal of the document, and identify all persons known or believed to have the document or a copy thereof in their possession, custody or control.
- 8. This request is continuing in character and if additional responsive documents come to your attention following the date of production, such documents must be promptly produced.
- 9. The term **Respondent** refers to Long Beach Memorial Medical Center Inc. D/B/A Long Beach Memorial Center & Miller Children's and Women's Hospital Long Beach its owners, officers, agents, representatives, successors and assigns, and any commonly owned or related entity thereto.
- 10. The term **Hospital** refers to the facility operated by Respondent at 2801 Atlantic Avenue, Long Beach, California.
- 11. The term **Union** refers to the California Nurses Association/National Nurses United (CNA/NNU).
- 11. <u>All documents</u> produced pursuant to this subpoena should be organized by the subpoena paragraph to which each document or set of documents is responsive.

DOCUMENTS TO BE PRODUCED:

- 1. A true and complete copy of Respondent's employee handbook(s) in effect from January 1, 2015, to the current date, covering the terms and conditions of employment of the employees employed by Respondent at the Hospital.
- 2. A true and correct copy of Respondent's Dress Code and Grooming Standards Policy/Procedure #318 (dated March 3, 2014), and any subsequent versions which are in effect through the current date.
- 3. Documents showing to which of Respondent's employees employed at the Hospital the policy/policies described in paragraph 2 are applicable.
- Documents showing the times in which the policy/policies described in paragraph 2 are applicable to Respondent's employees.
- 5. A true and correct copy of Respondent's PC-261.01 "Uniform and Infection Prevention Standards for Direct Care Providers" (October 2014), and any subsequent versions which are in effect through the current date.

| Memorial Health Services Policies and Procedures | Effective Date: Merch 3, 2014 |
|--------------------------------------------------|--------------------------------|
| Subject: DRESS CODE AND GROOMING STANDARDS | Approval Signature: |
| • | Barry Arbuckie President & CEO |
| Manual: Human Resources | Sponsor Signature: |
| Policy/Procedure # 318 | |
| | Lorraine Booth |
| | VP, People and Culture |

PURPOSE:

This Dress Code Policy is intended to establish standards of appropriate dress, appearance and grooming for those who work or volunteer at Memorial Health Services ("MHS") facilities, including off-site clinics and satellite work locations, at all times to promote an efficient, orderly and professionally operated organization.

Background

A significant factor in creating and maintaining the image of the Medical Center is the manner in which those who work and volunteer at the Medical Center are viewed by our patients, visitors, business associates, and the community. Dress, appearance and grooming play an important role in conveying an image of high quality, professional health care to the communities we serve and maintaining our excellent reputation. Our patients expect and deserve professionalism in performance, conduct and appearance.

SCOPE AND RESPONSIBILITY:

This Policy applies to MHS and its wholly-owned, tax-exempt subsidiaries.

POLICY:

The provisions of this Dress Code Policy apply to all those who work in any capacity for MHS, including, but not limited to, employees who work in uniforms and those who help MHS in non-employee categories such as students, volunteers, and contractors who work at MHS through assignments from other employers.

- A. Those who work at any MHS facility shall present a clean, neat, well-groomed appearance at all times while at work or conducting business at MHS. Attire shall be appropriate to the individual's occupation/profession and shall also represent the highest standard of personal hygiene, professionalism, and safety. Good judgment should be used when determining dress, hygiene and appearance. Those who are inappropriately dressed will be sent home and directed to return to work in appropriate attire. Time away from work will not be compensated and discipline may result.
- B. Uniforms furnished by MHS are to be worn in the appropriate size for the individual wearing them, and not altered or modified. Uniforms are to be worn only while at work or when conducting MHS business. They are not to be used as a substitute for personal attire.
- C. Professionalism and common sense should be used when dressing for work. While it is impossible to specifically identify all appropriate or inappropriate descriptions of dress and



Page 2 of 3

grooming, the following are examples of minimum requirements of dress and appearance. Management reserves the right to ultimately determine appropriateness of attire and appearance.

- 1 Identification badges shall be worn by everyone with name and picture facing out, at a level that can be readily seen.
- 2 Good personal hygiene is an essential element of professional demeanor and is a basic component of this code. Just as cleanliness of our facilities is of the utmost importance, so is each individual's grooming. All individuals are expected to be clean and neat at all times.
- 3 Hair on the head or face shall be clean and trimmed, and in the case of clinical staff, be pulled back in an appropriate manner when necessary so as not to interfere with job duties or pose a hazard. Color and style shall remain conservative.
- For those individuals not in uniform, professional, clean, and appropriately fitting clothing and shoes that are in good repair are required at all times. Cover gowns over non-scrub apparel are inappropriate outside patient care areas. Questions regarding apparel shall be decided by the individual's supervisor based on appropriate business standards established by the particular department. Clothing must cover the back, shoulders, thighs, midriff, and must not be excessively short or revealing.
- Those providing direct patient care must wear enclosed-toe shoes that provide protection from rolling equipment and chemical spills. (Casual, recreational-type sandals known as "flip-flops" are unacceptable in all areas of the facility.)
- Reinforced safety shoes, gloves, goggles, hard hats or other protective equipment may be required in appropriate circumstances related to safety and health.
- Jewelry and other accessories shall be minimized and may not be worn where safety or health standards might be compromised. Body piercing anywhere other than the ear shall not be displayed. Tattoos are not to be visible; except for those with direct patient care responsibilities in order to protect patients from potential fabric-born contaminants.
- 8 Perfume and cologne should be minimal in consideration of others.
- 9 Only MHS approved pins, badges, and professional certifications may be worn.
- D. The following guidelines govern the conditions under which MHS issued scrubs attire may be worn. These guidelines are in addition to the general dress code policy guidelines:
 - 1. MHS-owned scrub attire is issued to employees on a loan basis only.
 - The primary purpose of MHS issued scrubs attire is to protect the healthcare workers clothing from blood or other potentially infectious or hazardous materials and reduce the transmission of infection producing agents into areas where clean or aseptic procedures are performed. Therefore, the wearing of these scrubs by physicians, students and personnel is appropriate in the following areas only unless specifically authorized in other areas by Administration:

Operating Room
Outpatient Surgical Suite
Central Sterile Processing

Labor and Delivery
Pharmacy Sterile Products
Emergency Department admitting staff

Post Anesthesia Care Unit Cath Lab Pathology, (Surgical Pathology/Autopsy) Radiology – Special Procedures (tops only) (where applicable)

Filed: 11/05/2018 Page 112 of 208

Page 3 of 3

- Warming Jackets are to be worn by Peri-Operative Services and Labor & Delivery personnel only and are not to be removed from those restricted areas.
- 4. Unauthorized wearing or possession of MHS-provided scrubs off MHS premises without express authorization from his/her supervisor may be asked by security or management to return scrub attire immediately. Where appropriate, disciplinary action may be applied.

PROCEDURE:

RESPONSIBLE PERSON(S)/DEPT. PROCEDURE:

- It is the responsibility of the supervisor to consistently enforce compliance with dress standards.
- B. The supervisor will direct employees who do not meet dress standards to badge out (to non-pay status) to change clothing or to take other necessary action to correct deficiencies.
- C. The supervisor will take appropriate corrective or disciplinary action with employees who violate this policy.
- D. Human Resources will provide assistance in resolving questions or concerns regarding this policy. If individual situations arise regarding religious or medical concerns, contact Human Resources.

| Memorial Health Services Policies and Procedures | Effective Date: July 7, 2014 |
|--------------------------------------------------|---------------------------------------|
| Subject: DRESS CODE AND GROOMING STANDARDS | Approval Signature: |
| | Barry Arbuckie President & CEO |
| Manual: Human Resources | Sponsor Signature: |
| Policy/Procedure # 318 | Lorraine Booth VP, People and Culture |

PURPOSE:

This Dress Code Policy is intended to establish standards of appropriate dress, appearance and grooming for those who work or volunteer at Memorial Health Services ("MHS") facilities, including off-site clinics and satellite work locations, at all times to promote an efficient, orderly and professionally operated organization.

Background

A significant factor in creating and maintaining the image of MemorialCare is the manner in which those who work and volunteer are viewed by our patients, visitors, business associates, and the community. Dress, appearance and grooming play an important role in conveying an image of high quality, professional health care to the communities we serve and maintaining our excellent reputation.

SCOPE AND RESPONSIBILITY:

This Policy applies to MHS and its wholly-owned, tax-exempt subsidiaries. The provisions of this Dress Code Policy apply to all those who work in any capacity for MHS, including, but not limited to, employees, employees who work in uniforms and those who help MHS in non-employee categories such as students, volunteers, and contractors who work at MHS through assignments from other employers.

POLICY:

- A. Those who work at any MHS facility shall present a clean, neat, well-groomed appearance at all times while at work or conducting business at MHS. Attire shall be appropriate to the individual's occupation/profession and shall also represent the highest standard of personal hygiene, professionalism, and safety. Good judgment should be used when determining dress, hygiene and appearance. Those who are inappropriately dressed will be sent home and directed to return to work in appropriate attire. Time away from work will not be compensated and discipline may result.
- B. Uniforms furnished by MHS are to be worn in the appropriate size for the individual wearing them, and not altered or modified. Uniforms are to be worn only while at work or when conducting MHS business. They are not to be used as a substitute for personal attire.
- C. Professionalism and common sense should be used when dressing for work. While it is impossible to specifically identify all appropriate or inappropriate descriptions of dress and grooming, the following are examples of minimum requirements of dress and appearance. Management reserves the right to ultimately determine appropriateness of attire and appearance.



Page 2 of 3

- Identification badges shall be worn by everyone with name and picture facing out, at a level that can be readily seen.
- Good personal hygiene is an essential element of professional demeanor and is a
 basic component of this code. Just as cleanliness of our facilities is of the utmost\(^1\)
 importance, so is each individual's grooming. All individuals are expected to be clean
 and neat at all times.
- 3. Hair on the head or face shall be clean, trimmed, and the color and style shall be appropriate for a professional work environment. In the case of clinical staff hair should be pulled back in an appropriate manner when necessary so as not to interfere with job duties or pose a hazard.
- For those individuals not in uniform, employees shall wear professional business attire that is clean, and appropriately fitting. Workout clothes are not appropriate for work areas.
- Clothing must cover the back, shoulders, thighs, midriff, and must not be excessively short, tight or revealing.
- 6. Those providing direct patient care must wear enclosed-toe shoes that provide protection from rolling equipment and chemical spills. Shoes worn in administrative areas must also provide protection from injuries; such as sufficient straps to hold the shoe to the foot and reasonable heel height. Casual, recreational-type sandals known as "flip-flops" are unacceptable in all areas of the facility.
- Reinforced safety shoes, gloves, goggles, hard hats or other protective equipment may be required in appropriate circumstances related to safety and health.
- 8. Jewelry and other accessories shall be minimized and may not be worn where safety or health standards might be compromised. Body piercing anywhere other than the ear shall not be displayed. Tattoos are not to be visible; except for those with direct patient care responsibilities in order to protect patients from potential fabric-born contaminants.
- Perfume and cologne should be minimal in consideration of others.
- Only MHS approved plns, badges, and professional certifications may be worn.
- The following guidelines govern the conditions under which MHS issued scrubs attire may be worn.
 - 1. MHS-owned scrub attire is issued to employees on a loan basis only.
 - 2. The primary purpose of MHS issued scrubs attire is to protect the healthcare workers clothing from blood or other potentially infectious or hazardous materials and reduce the transmission of infection producing agents into areas where clean or aseptic procedures are performed. Therefore, the wearing of these scrubs by physicians, students and personnel is appropriate in the following areas only unless specifically authorized in other areas by Administration:

Operating Room
Outpatient Surgical Suite
Central Sterile Processing

Post Anesthesia Care Unit Cath Lab

Pathology,

(Surgical Pathology/Autopsy)
Radiology – Special Procedures

Labor and Delivery
Pharmacy Sterile Products
Emergency Department admitting staff

(tops only)
(where applicable)

Warming jackets are to be worn by Peri-Operative Services and Labor & Delivery personnel only and are not to be removed from those restricted areas.

Filed: 11/05/2018 Page 115 of 208

Page 3 of 3

4. Unauthorized wearing or possession of MHS-provided scrubs off MHS premises without express prior authorization from a supervisor is prohibited; violators may be asked by security or management to return scrub attire immediately or subject to disciplinary action.

PROCEDURE:

RESPONSIBLE PERSON(S)/DEPT. PROCEDURE:

- It is the responsibility of the supervisor to consistently enforce the standards set forth in this Policy.
- B. Supervisors will direct employees who do not meet the standards to badge out (to non-pay status) to change clothing or to take other necessary action to correct deficiencies.
- Supervisors will take appropriate corrective or disciplinary action with employees who violate this Policy.
- D. Human Resources will provide assistance in resolving questions or concerns regarding this Polley. If individual situations arise regarding religious or medical concerns, contact Human Resources for further guidance.

Filed: 11/05/2018

Page 116 of 208

REFERENCE:

PC-261.01

SUPERSEDES:

New

ORIGINATED:

October 2014

PAGE:

1 of 4

APPROVED:

Senior Vice President Patient Care Services

Branafferle

POLICIES/PROCEDURES LONG BEACH MEMORIAL

SUBJECT:

UNIFORM AND INFECTION PREVENTION STANDARDS FOR DIRECT CARE PROVIDERS

REVIEWED/REVISED:

By: Patient Care Services

New

(Updated for scrub colors May 2015)

AUTHORITY:

Society for Healthcare Epidemiology; The Joint Commission; California Code of Regulations, Title 22

PURPOSE:

- 1. The Uniform Policy is intended to establish standards of appropriate appearance for those who work in Patient Care Services at Long Beach Memorial, and Miller Children's and Women's Hospital Long Beach, including off-site clinics and satellite work locations, at all times to promote an efficient, orderly, safe and professionally operated organization.
- 2. BACKGROUND: The Medical Center is committed to the safest care of patients including the prevention and transmission of pathogens. Best-practice literature provides strong evidence for the attire of healthcare providers which may prevent hospital acquired infections. This policy provides clear guidance on the best method to prevent contamination by attire and its potential contribution to hospital acquired infections.
- 3. Additionally, perception of patients regarding appearance and attire has been well established in the literature. Patients may lack confidence and trust in individuals that are not easily identified as healthcare professionals. Promoting standard attire will assist patients in easily identifying their care providers and in promoting satisfaction. Dress, appearance and grooming play an important role in conveying an image of high quality, professional health care to the communities we serve and maintaining our excellent reputation.
- A. RATIONALE: The Medical Center is adopting a "Bare below the elbows" (BBE) approach to prevent hospital acquired infections in all patient care areas except for intra-operative areas. This practice is supported by biological plausibility and studies in laboratory and clinical settings. The BBE approach is shown to improve disinfection during hand washing. Because it is not feasible to disinfect or replace sleeves, lanyards, watches or jewelry between patients these items are part of the BBE prohibited items.



USCA Case #18-1125 Document #1758750 Filed: 11/05/2018 Page 117 of 208

Reference: PC-261.01

Page 2 of 4

SCOPE AND RESPONSIBILITY:

A. Scope:

The provisions of this Uniform Policy apply to providers in Patient Care Services who work in a job category where a standard uniform is required. Employees working in intra-operative areas or where scrub attire is provided should refer to department specific policies regarding appropriate attire and grooming standards.

B. Responsibility: Compliance with this policy is the responsibility of patient care services employees and management.

POLICY:

1. All staff working with patients, patient families or in public areas will be expected to dress in a professional manner as defined below. Employees, who come to the hospital for education or meetings, are to be dressed conservatively in business casual (including jeans or clothing made of denim) or MHS logo attire. Only appropriately fitted clothing, with no midriff showing is permitted. Shorts, ripped, torn or frayed pants (including jeans), tank tops, visible undergarments or other inappropriate clothing is not acceptable clothing in the hospital setting. Management reserves the right to ultimately determine appropriateness of appearance.

Direct Care Providers must wear the approved hospital uniform when on duty.

| Discipline | Scrub Color |
|-----------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| RNs | Navy Blue |
| Assistant Unit Managers | Navy Blue |
| RN Educators | Navy Blue Scrub or Business Casual with White Lab Coat |
| Clinical Nurse Specialists | Navy Blue Scrub or Business Casual with White Lab Coat |
| Nurse Practitioners | Navy Blue Scrub or Business Casual with White Lab Coat |
| Licensed Vocational Nurse | Hunter Green |
| Pharmacists, technicians and interns | Black |
| Imaging technologi sts/technicians | Pewter |
| Respiratory Care Practitioners | Pewter |
| Radiation Therapists | Pewter |
| Dosimetrists | Pewter |
| Emergency Department Technicians | Wine |
| Phlebotomists | Wine |
| Occupational, Physical, Recreational Speech and Rehabilitation Therapists and Assistants | Royal Blue |
| Patient Care Assistants, Care Associates, Patient Service Technicians, Certified Nursing Assistants | Teal |

 All uniform shirts, tops, cover jackets and white lab coats will be embroidered with the approved logo and discipline.

4. Cover jackets are available on the web site for ordering, according to practice. Other cover wear, i.e., OR cover gowns, hoodies, fleece vests, sweaters, logo jackets etc. are not acceptable to wear with uniform while working.

Reference: PC-261.01 Page 3 of 4

- 5. While in patient rooms for delivery of care, sleeves are not to extend below the elbow, with the exception of isolation gowns. Cover jackets and lab coats should not be worn during the delivery of care.
- 6. Knit shirts (T shirts) worn under scrubs are limited to white, grey, black or navy in color. Shirt sleeves are not to extend below the elbow.
- 7. Providers who work in pediatric areas may choose print tops from the approved vendor.
- 8. Shoes should be sturdy, closed toe, and skid resistant. Shoes should be conservative and neutral in color and design.
- The hospital intranet will have a uniform site where the following can be accessed:

 Link to the online Store Front for ordering
 Policy/Procedure: Uniform and Infection Prevention Policy for Direct Care Providers
 Policy/Procedure: Appearance, Grooming and Infection Prevention Standards for Direct Care Providers
 Frequently Asked Questions (FAQs) regarding the policies

PROCEDURE:

- A uniform catalog (showing styles and sizes) is available on all units for review.
- Orders are placed via the online Store Front Website (link TBA) by the employee.
- Each employee has access to the Store Front Website via their employee ID number and password.
- 4. Each fiscal year, each employee will receive a credit on the Store Front to purchase uniforms. The Human Resource department is responsible for updating the employee database in regards to new hires, terminations and transfers to new departments.
- 5. Ordering can be done any time during the fiscal year by the employee, via the online Store Front. Orders are shipped di rectly to the employee's home. No shipping/handling charges for orders when using "credit" doll ars for the first shipping only. Orders will be received within two weeks.
- 6. Staff may purchase additional uniforms on the Store Front website after they have spent their credit dollars. Any amount in excess of credit dollars is the employee's responsibility, including shipping/handling and tax. Credit card pay ments are acceptable from the employee if the amount exceeds the annual allowance.
- 7. Ohly hospital approved uniforms are available on the Store Front website for order.
- 8. Uniforms are available for sizing and style choices in assigned hospital locations. Information can be found in the on-line datalog found on the intranet or in the printed catalogs located on the units. Please see intranet uniform site for the most current locations.
- 9. Uniforms should be laundered at hom e using a warm-water cycle followed by a cycle in the dryer. A combination of washing at higher temperatures and tumble drying or ironing has been associated with elimination of gram-positive and gram-negative pathogenic bacteria.
- ENFORCEMENT: It is the responsibility of the supervisor to consistently enforce compliance with the uniform policy.
 - The supervisor will direct employees who do not meet the uniform policy to badge out (to non-pay status) to change clothing or to take other necessary action to correct deficiencies.
 - b. The supervisor may take appropriate corrective or disciplinary action with employees who violate this policy.

Filed: 11/05/2018 Page 119 of 208

Reference: PC-261.01

Page 4 of 4

 Human Resources will provide assistance in resolving questions or concerns regarding this policy. If individual situations arise regarding religious or medical concerns, contact Human Resources.

REFERENCES:

Healthcare Personnel Attire in Non-Operating Room Settings, Infection Control and Hospital Epidemiology, Vol. 35, No. 2 (February 2014), pp. 107-121. The Society for Healthcare Epidemiology of America (SHEA).

REVIEWED/APPROVED BY:

| Clinical Operations Council | September 2014 |
|---------------------------------------|----------------|
| Clinical Policy & Procedure Committee | November 2014 |
| Nursing Executive Council | December 2014 |
| Medical Executive Committee | January 2015 |

REFERENCE:

PC-261.02

SUPERSEDES: ORIGINATED:

New October 2014

PAGE:

1 of 3

APPROVED: Did Executive Offices

Color Executive Offices

P.H.

Entractice President, Patient Case Berricone

POLICIES/PROCEDURES
MILLER CHILDREN'S AND WOMEN'S HOSPITAL LONG BEACH

SUBJECT:

APPEARANCE, GROOMING AND INFECTION PREVENTION STANDARDS FOR DIRECT CARE PROVIDERS

REVIEWED/REVISED:

By: Patient Care Services

New

AUTHORITY:

Society for Healthcare Epidemiology; Centers for Disease Control; Association of Operative Registered Nurses; The Joint Commission; California Code of Regulations, Title 22 (or as appropriate)

PURPOSE:

The Professional Appearance and Grooming Policy is intended to establish appropriate appearance, grooming and infection control standards for those who are direct patient care providers at Community Hospital Long Beach, Long Beach Memorial, and Miller Children's and Women's Hospital Long Beach, including off-site clinics and satellite work locations. The goal is to promote an efficient, orderly, safe and professionally operated organization while adhering to evidence-based best practice.

2. BACKGROUND: The Medical Center is committed to the safest care of patients including the prevention AND transmission of pathogens. Best-practice literature provides strong evidence for the attire of healthcare providers which may prevent hospital acquired infections. This policy provides clear guidance on the best method to prevent contamination by attire and its potential contribution to hospital acquired infections.

3. Additionally, perception of patients regarding appearance and attire has been well established in the literature. Patients may lack confidence and trust in individuals that are not easily identified as health care professionals. Promoting standard attire will assist patients in easily identifying their care providers and in promoting satisfaction. Dress, appearance and grooming play an important role in conveying an image of high quality, professional health care to the communities we serve and maintaining our excellent reputation.

4. RATIONALE: The Medical Center is adopting a "Bare below the elbows" (BBE) approach to prevent hospital acquired infections in all patient care areas except for intra-operative areas. This practice is supported by biological plausibility and studies in laboratory and clinical settings. The BBE approach is shown to improve disinfection during hand washing.



Page 121 of 208

Reference: PC-261.02

Page 2 of 3

Because it is not feasible to disinfect or replace sleeves, lanyards, and watches between patients these items are part of the BBE prohibited items. The only jewelry allowed below the elbow is a solid, stone free band (ring).

SCOPE AND RESPONSIBILITY:

A. Scope:

The provisions of this Professional Appearance and Grooming Policy apply to all those who work in any capacity in providing direct patient care, including, but not limited to, employees who work in uniforms and those in non-employee categories such as students, volunteers, and contractors who work at the facility through assignments from other employers. Employees working in intra-operative areas or where scrub attire is provided should refer to department specific policies regarding appropriate attire and grooming standards.

Filed: 11/05/2018

B. Responsibility: Compliance with this policy is the responsibility of patient care services employees and management.

POLICY:

- All direct care providers will be expected to dress in a professional manner as defined below. Employees, who come into the hospital for education or meetings, are to be dressed conservatively in business casual or MHS logo attire. Only appropriately fitted clothing, with no midriff showing is permitted. Shorts, ripped, torn or frayed pants, tank tops, visible undergarments or other inappropriate clothing is not acceptable clothing in the hospital setting. Management reserves the right to ultimately determine appropriateness of appearance and grooming.
- All individuals are expected to be clean and neat at all times. Daily requirements include personal body hygiene, dental hygiene and clean clothing.
- 3. Facial hair shall be clean and neatly trimmed, and must allow for a secure-fitting mask.
- 4. Hair (if below the shoulder) is to be tied back or pulled up to prevent any "swing" into the patient area during care. Extreme styles or colors are not permitted. Mohawks and/or extreme spiked hair are not permitted. Hair should not cover eyes. Hair ornamentation should be conservative and simple.
- 5. Makeup should be neutral and conservative.
- Fragrances are not allowed, as many individuals including patients and co-workers have conditions, such as allergies and asthma that can be aggravated by perfume, cologne, aftershave, or scented lotions.
- Tattoos are not to be visible; except for those with direct patient care responsibilities in which the tattoo is below the elbow or on the back of neck when hair is pulled back.
- 8. Fingernails are to be kept clean, well-cared for, and no longer than ¼ inch from the fingertip in length. Artificial and long natural fingernails are not permitted for those providing direct patient care. Artificial nails are defined as any fingernail enhancement, resin bonding, extensions, tips, or acrylics. Studies have shown higher microbial counts under artificial nails than under natural nails before and after hand washing. Nall jewelry is not permitted. Nail polish, if worn, should be well maintained. Chipped nail polish is not allowed.
- 9. Earrings and other accessories shall be worn so that they do not interfere with work, become a safety and/or infection hazard, or prove to be a distraction to others at work. No oral jewelry can be worn. Jewelry is limited to no more than two pair of conservative pierced, non-dangle earrings. Non-dangle necklaces to be conservative. Medical alert identification necklaces are allowed. Ear lobe plugs/tunnels are highly discouraged, but if present they must be flesh colored plugs/tunnels. Rings should be solid, stone free bands only. Wrist watches may not be worn.

Page 122 of 208 Filed: 11/05/2018

> Reference: PC-261.02 Page 3 of 3

10. Body piercing anywhere other than the ear shall not be displayed.

11. Ear buds or headsets attached to electronic devices are prohibited unless they are provided by the medical center for work duties.

- Identification badges shall be worn by everyone with name and picture facing forward. 12. Badges must be worn at collar level, right side, so they can be readily seen. Lanyards are not permitted. Badge reels may only be branded with MemorialCare approved logos
- Stethoscopes should be cleaned after each patient encounter by using a germicidal 13. disposable wipe from a purple top dispenser. If the patient is in isolation for C-difficile, then use germicidal disposable wipes from an orange top dispenser. Follow manufacturer instructions for duration of wet contact time.
- Clothing/uniform guidelines are outlined in the Uniform Policy for Direct Care Providers. 14.

PROCEDURE:

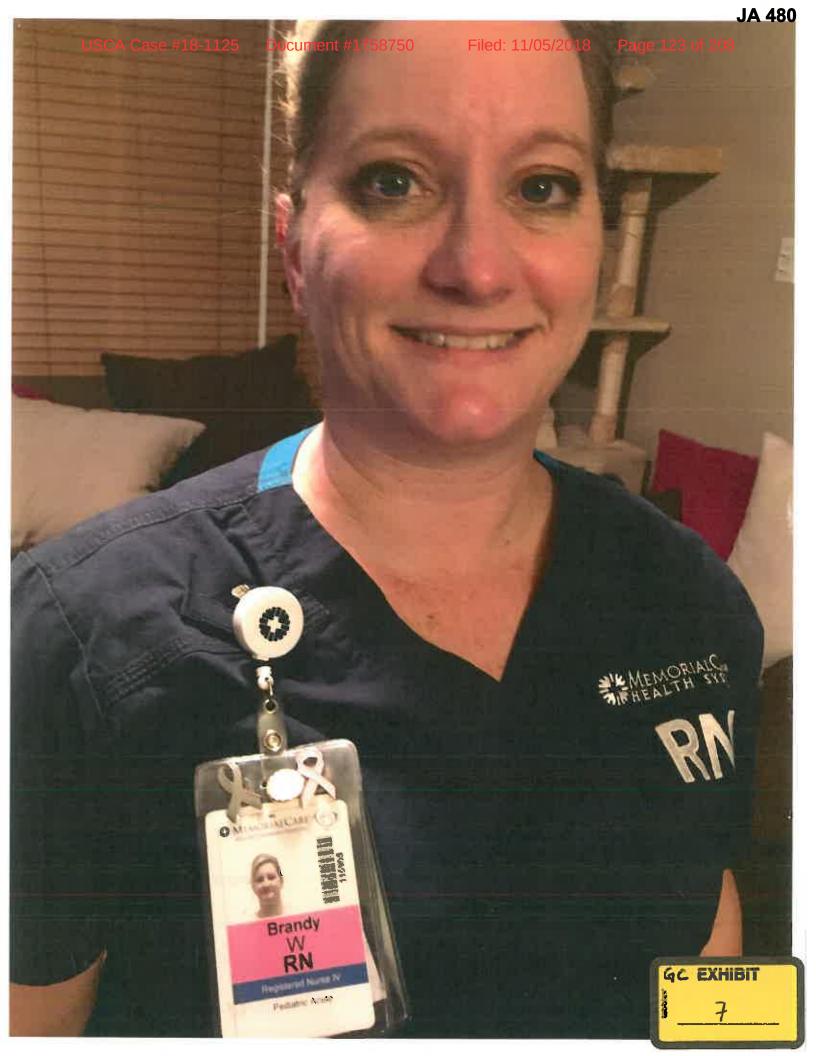
- It is the responsibility of the supervisor to consistently enforce compliance with the professional appearance and grooming policy.
- The supervisor will direct employees who do not meet professional appearance and 2. grooming standards to badge out (to non-pay status) to change clothing or to take other necessary action to correct deficiencles.
- The supervisor may take appropriate corrective or disciplinary action with employees 3. who violate this policy.
- Human Resources will provide assistance in resolving questions or concerns regarding 4. this policy. If individual situations arise regarding religious or medical concerns, contact Human Resources.

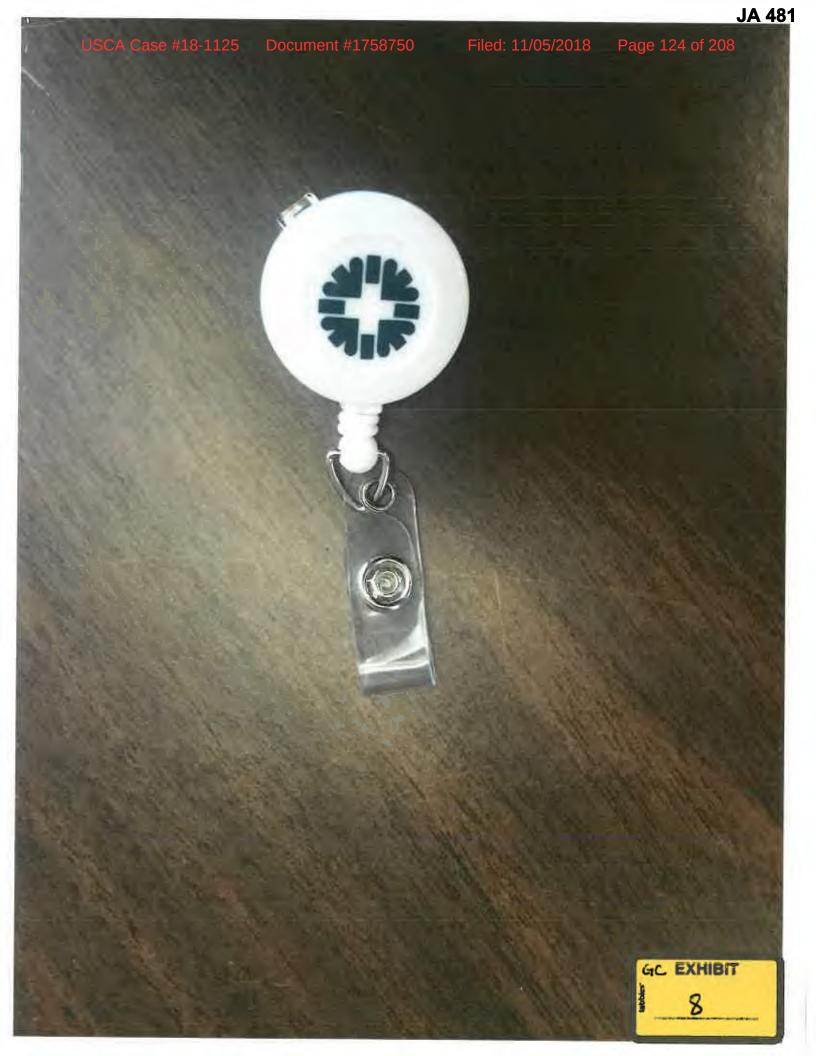
REFERENCES:

- Healthcare Personnel Attire in Non-Operating Room Settings, Infection Control and Hospital Epidemiology, Vol. 35, No. 2 (February 2014), pp. 107-121. The Society for Healthcare Epidemiology of America (SHEA).
- CDC Guideline for Hand Hygiene in Health-Care Settings: Recommendations of the Healthcare Infection Control Practices Advisory Committee and the HICPAC/SHEA/APIC/IDSA Hand Hygiene Task Force 2014.
- Recommended practices for hand hygiene in the perioperative setting. In: Perioperative Standards and Recommended Practices. Denver, CO: Association of Operative Registered Nurses, Inc; 2013:63-74.

REVIEWED/APPROVED BY:

| Clinical Operations Council | September 2014 |
|---------------------------------------|----------------|
| Clinical Policy & Procedure Committee | November 2014 |
| Nursing Executive Council | December 2014 |
| Medical Executive Committee | January 2015 |

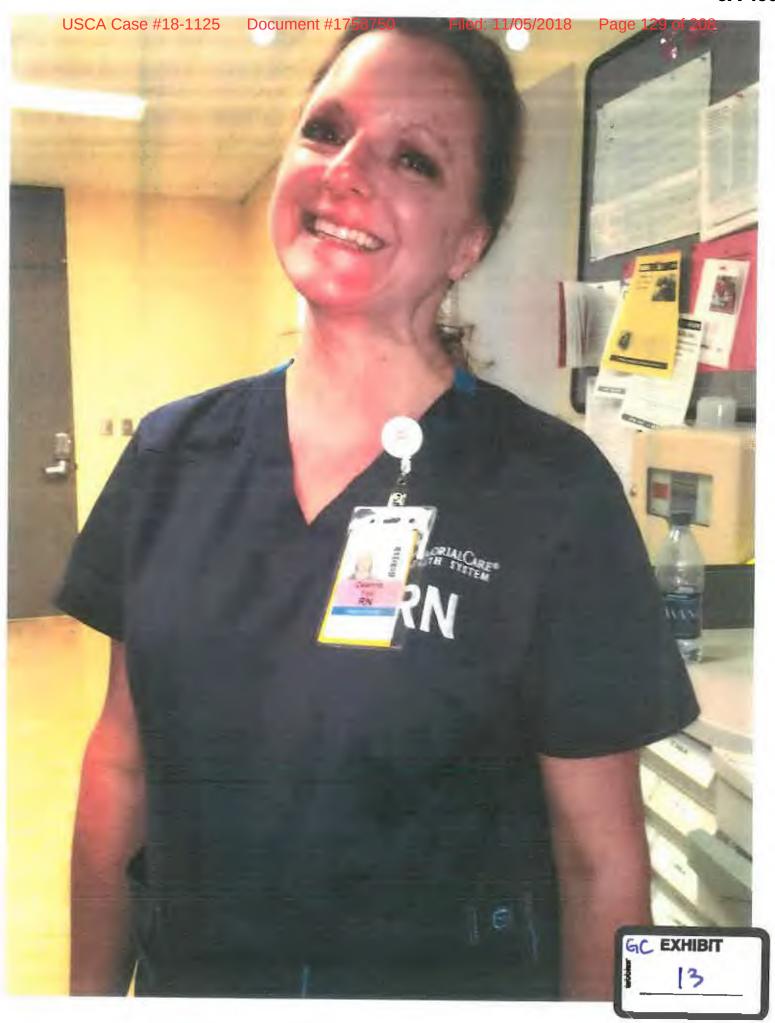


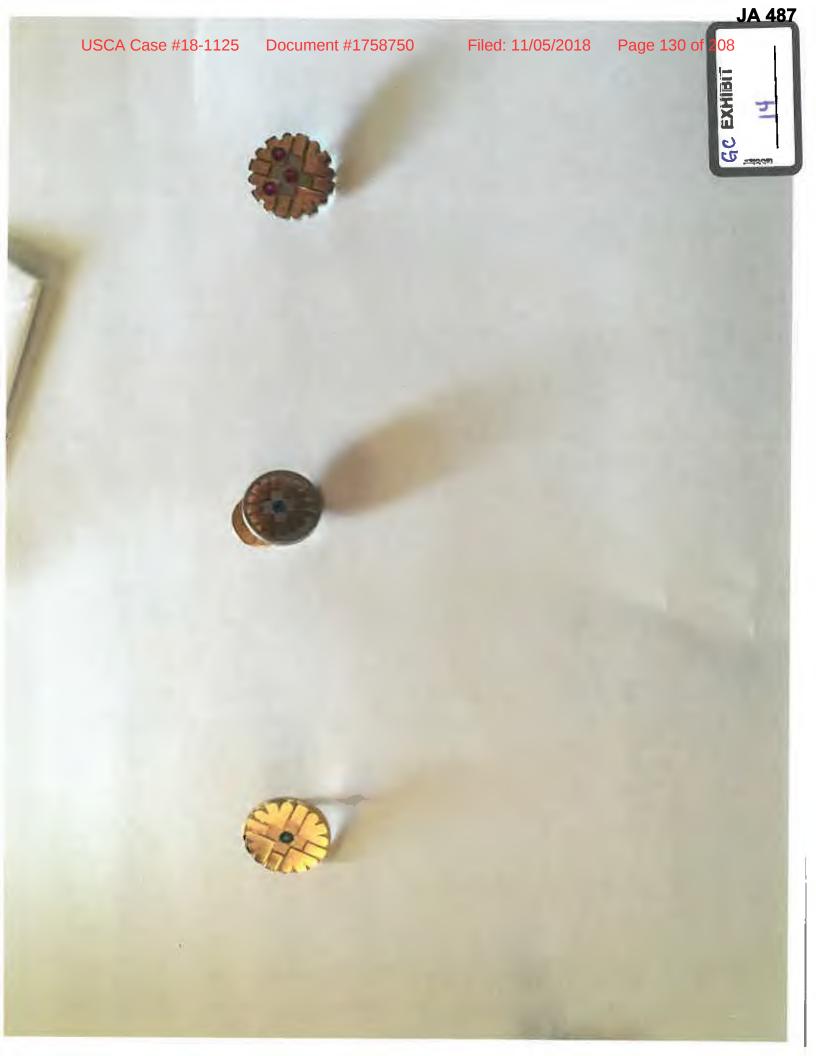


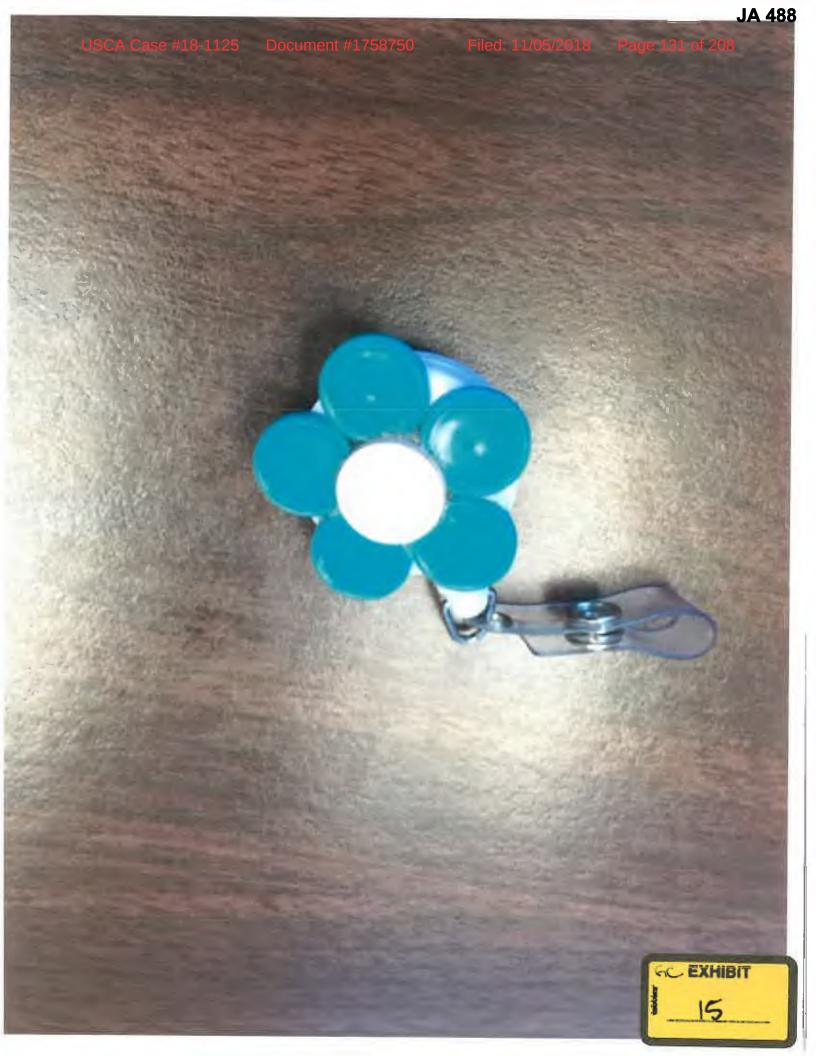










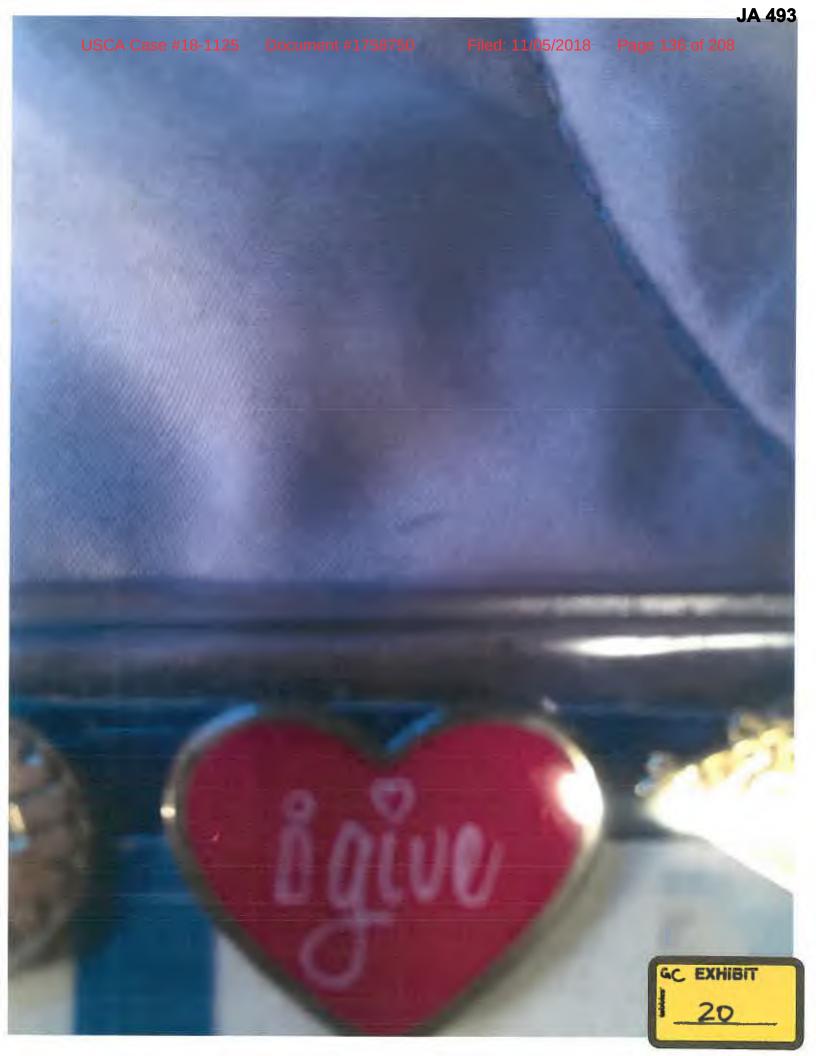


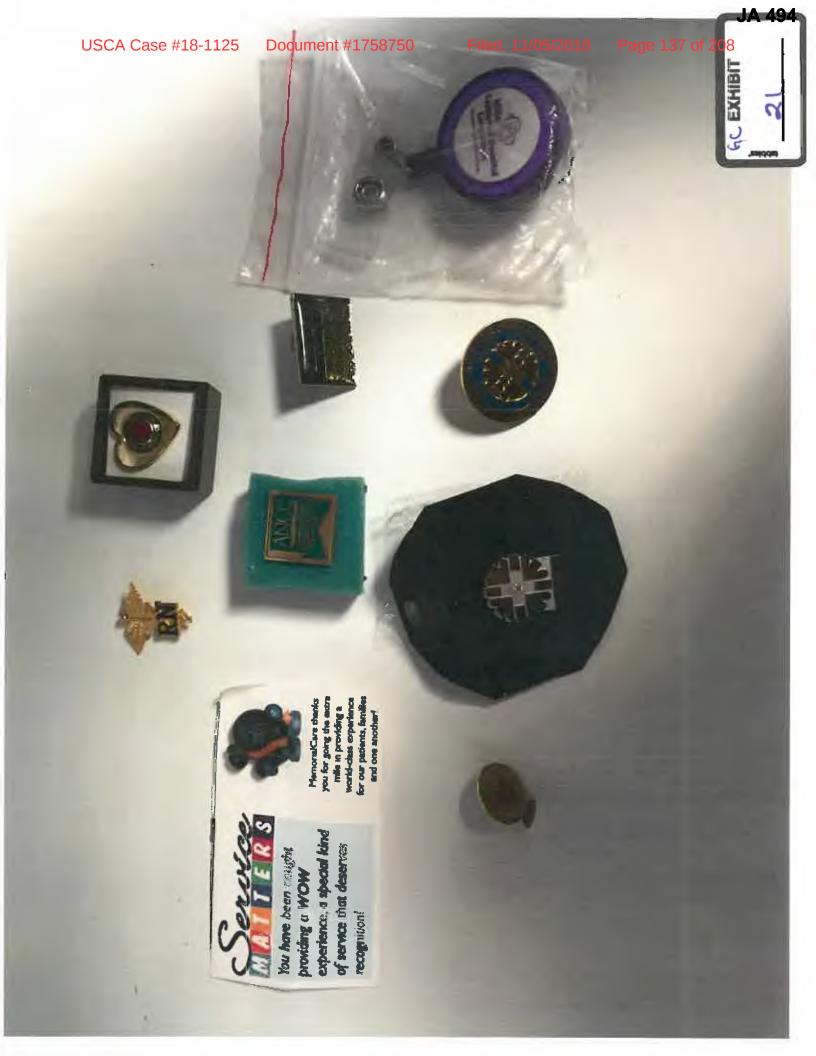














Filed: 11/05/2018 Page 139 of 208





Document #1758750

Filed: 11/05/2018

Page 140 of 208

REFERENCE:

∘ PC-271

SUPERSEDES: ORIGINATED:

November 2012 September 1996

PAGE:

1 of 3

APPROVED: State Henle Chief Executive Officer

Chief Executive Officer

RN

Senior Vice President, Patient Care Services

POLICIES/PROCEDURES LONG BEACH MEMORIAL

SUBJECT:

VISITING PLAN

REVISED:

May 2014

By: Patient Care Services

AUTHORITY:

The Joint Commission Comprehensive Manual for Accreditation of Acute Care Hospitals; Infant Child Investigative Committee; California Code of Regulations Title XXII, §70707. CMS,482.13 Condition of Participation: Patient's Rights.

PURPOSE:

To support the patient's and families needs during hospital ization while maintaining the rights, safety and security of all patients, staff and the Medical Center.

EQUIPMENT:

Patient & Family Guide

DEFINITION OF TERMS:

Partner in Care: A support individual of the patient's choice that will be present during the course of the hospital stay.

Immediate Family (family): Is defined by the patient.

Visitors: All others welcomed by the patient.

SCOPE AND RESPONSIBILITY:

A. Scope:

This policy applies to patient care areas.

B. **Responsibility:** Compliance with this policy is the responsibility of all staff and as outlined under procedure.

POLICY:

1. Visitation by family and friends is important to a patient's healing process. At Long Beach Memorial we use a Patient and Family Centered Care Visiting Model; visitors/families are a welcomed part of the patient treatment team. We collaborate with patients, families, visitors and staff to create guidelines for routine circumstances. Our professional staff members use discretion and compassion in their determination to

USCA Case #18-1125 Document #1758750 Filed: 11/05/2018 Page 141 of 208

Reference: PC-271

Page 2 of 3

make necessary exceptions. The plan is dynamic and will change based on the fluctuating needs of the patient, family, health care team and department.

- 2. We welcome a partner in care to be present with the patient for emotional support during the course of the stay. If circumstances occur where the presence of the partner in care is deemed inappropriate or unsafe, medically or therapeutically contraindicated, we will respectfully inform the patient and the partner in care of our reasoning for any restriction, limitation or denial of visitation.
- 3. The organization shall not restrict, limit, or otherwise deny visitation privileges on the basis of race, color, national origin, religion, sex, sexual orientation, gender identity, or disability.
- 4. While one **partner in care** is encouraged to be here during the course of the hospital stay, the organization shall ensure that other visitors designated by the patient (or representative, where appropriate) enjoy visitation privileges that are no more restrictive than those that immediate family members would enjoy.
- 5. We understand that the patient has the right to visi tation while under the care, treatment and service of the organization. Each patient/partner in care is informed of his/her right to visitation upon admission and /or presentation for care.
- Visiting for Long Beach Memorial Inpatients
 - a. Partner in Care no time restrictions for visiting
 b. General Visiting Hours

 ☐ Flexible; visitors are asked to finish their visit by 9:00 pm.

 ☐ Inpatient units or floors may have differing visiting hours and guidelines depending on patient population or seasonal restrictions.

 ☐ See Section E for special circumstances.
 c. Children Visiting

 ☐ Children under the age of 14 are welcomed, but will need to remain with a
 - visiting adult at all times.

 Note: In Critical Care areas, we recommend for children under the age of 14 that the medical team speak with the parent/guardian and offer a consultation with a Social Worker prior to the child/children visiting.
 - d. Employees Visiting Hospitalized Patients
 - All employees are discouraged from visiting patients during their "on duty" time. If employees come in on "off duty" time to visit a patient in the hospital, they will check in like any other visitor through the electronic visitor management system and wear a visitor badge.
 - e. Special Circumstances
 - There are circumstances when families and visitors may be asked to honor restricted visiting, such as when a patient is immuno-suppressed or during the virus seas on where families and visitors are exhibiting signs or symptoms of illness, cough, rash or other respiratory illnesses.
 - ☐ The patient's nurse may consult with the physician and will consider variables such as the patient's condition, issues of gender and the privacy of the roommate in the decision making for special circumstances.
 - Family and visitors are encouraged to visit and support patients who are confused/agitated, who require assistance with language barriers, and other situations.
 - Nurses will coordinate with the patients and families requiring special support during end of life situations. Individual circumstances may vary depending on the patient's c ondition.
 - In all protective service cases, please contact Social Work Services for clarification regarding visitation.

USCA Case #18-1125 Docu

Document #1758750

Filed: 11/05/2018 Page 142 of 208

Reference: PC-271 Page 3 of 3

In the Critical Care Units (ICU, CCU, ICCU) we partner with our patients and families to provide safe, quality, compassionate care. The number of family/visitors at the patient's bedside is often limited to 2 due to the limited space and equipment each patient requires. Im portant information

is shared between clinicians at the professional exchange report and family/visitors may be asked to refrain from visiting between 7:00 a.m. - 7:30 a.m. and 7:00 p.m. -7:30 p.m. The staff will partner closely with each

patient when individual issues need to be considered.

PROCEDURE:

- 1. Invite the patient/guardian to identify the Partner in Care.
- 2. Welcome families, visitors, and Partners in Care.
- 3. For details related to visiting badges, see <u>PC-271.01</u>: Visitor Management.
- 4. Upon admission, the admitting personnel provide the patient/guar dian with the Patient & Family Guide.
- 5. Provide additional guidelines as indicated by specific unit or seasonal restrictions.
- 6. Visiting AFTER regular visiting hours: If a person presents unexpectedly requesting to visit after hours, the Information Desk staff will contact the Assistant Manager, Relief Coordinator or the patient's nurse to verify permission to visit. If necessary, the House Supervisor will be contacted to resolve any communication issues.

REVIEWED/APPROVED BY:

| Clinical Policy and Procedure Committee | April 2014 |
|-----------------------------------------|------------|
| Nursing Executive Council | April 2014 |
| Medical Executive Committee | May 2014 |

Filed: 11/05/2018 Page 143 of 208

REFERENCE:

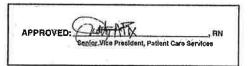
PC-271.01 July 2013

SUPERSEDES: ORIGINATED:

September 2012

PAGE:

1 of 17



POLICIES/PROCEDURES LONG BEACH MEMORIAL

SUBJECT:

VISITOR MANAGEMENT

REVIEWED/REVISED:

April 2015

(Attachment A updated May 2015)

By: Patient Care Services

AUTHORITY:

The Joint Commission Comprehensive Manual for Accreditation of Acute Care Hospitals; Infant Child Investigative Committee; California Code of Regulations Title XXII, §70707. CMS, 482.13 Condition of Participation: Patient's Rights

PURPOSE:

To support the visiting plan with an efficient process to greet, identify, and direct family and visitors; to support a safe and secure environment.

EQUIPMENT:

- 1. Campus map
- 2. Badges for parents/legal guardians
- 3. ID bands for parents/legal guardians
- Visitor passes
- Visitor Log
- Vendor Log

DEFINITION OF TERMS:

Building B: The newer building also known as the Pavilion.

Building C: The older building also known as the Heritage Building.

Partner in Care: A parent or other support individual of the patient/parent/guar dian's choice

that will be present during the course of the hospital stay.

Visitors: All others welcomed by the patient/parent/guar dian.

SCOPE AND RESPONSIBILITY:

A. **Scope:** This policy applies to patient care areas.

B. **Responsibility:** Compliance with this policy is the responsibility of staff and as outlined under procedure.

Filed: 11/05/2018 Page 144 of 208

Reference: PC-271.01

Page 2 of 17

POLICY:

- At Long Beach Memorial we use a Patient and Family Centered Care Visiting Model; visitors/families are a welcomed part of the patient treatment team as described in <u>PC-271</u>: Visiting Plan.
- 2. The organization shall not restrict, limit or otherwise deny visitation privileges on the basis of race, color, national origin, religion, sex, sexual orientation, gender identity, or disability.
- 3. Visiting will be restricted for persons with known legal restrictions for proximity to children, a patient, or an employee, or whose actions or behaviors are deemed unacceptable by Public Safety or Administration.
- 4. Partners in care, family, visitors, vendors, physicians and staff will be identified by a badge worn prominently on the outer clothing.
- 5. Persons without an identification badge will be escorted to an information desk to obtain a badge; those in an area that does not match the badge being worn will be escorted to the appropriate area or the information desk for further instruction.

PROCEDURE:

- I. Upon admission, provide the parent/legal guardian a long term badge and ID band that matches the pediatric/neonatal patient's per <u>PC-271.02</u>: Security: Infants and Children.
- II. Lobby Information Center: Service Excellence or Public Safety Personnel welcome the visitor/family/Partner in Care:
 - A. From 6 am to 10 pm the main information desk is manned by Service Excellence personnel. Between 10pm and 6 am, Public Safety personnel monitor the main information desk.
 - B. If the visitor/Partner in Care is already wearing a current badge, confirm the photo matches the visitor. If not, ask for the name of the patient to be visited.
 - C. Verify that the patient is here, access is permitted (i.e. not a VOV or No Comment,) and the location of the patient.
 - D. If a non-patient care visitor enters to visit an employee, verify that the employee is expecting or approves of the visitor before issuing a badge.
 - Call the identified employee to approve the visitor. If a County, State or Federal employee is visiting (announced or unannounced) on official business:
 - 1. Verify they have a government issued identification badge or shield with badge number.
 - 2. Politely offer the visitor/s to have a seat and inform them that you will contact the appropriate individuals to assist them.
 - 3. Using a land line, call the Public Safety command post and request the leader of the shift to respond to your location concerning the visitor. Advise dispatch to contact the House Supervisor (HS) to meet the shift lead in the lobby where the visitor is located.
 - 4. Always apprise the HS of the visitor and the associated government agency.
 - 5. If the visitor is from the County Department of Public Health (CDPH) or any other regulatory agency, contact the Executive Office at ext. 31104 and request assistance.

Reference: PC-271.01

Page 3 of 17

- 6. Provide a visitor badge using a downtime sticker; do not utilize the electronic management system. These visitors will be escorted by management personnel.
- E. Provide the visitor with a campus map (Attachment A) when necessary to improve the directions provided.
- III. Prepare the visitor's badge:
 - A. Using the electronic visitor management system, open the visitor check-in form.
 - B. Choose the appropriate visitor badge template. Admitting Department representative will choose the "Parent" or "Partner in Care" long term badge template when appropriate.
 - C. For adult visitors:
 - 1. Using the driver license reader, swipe the visitor's driver license.
 - 2. If an alert appears, follow the prompt on the alert message. For suspected sex offender watch list alerts:
 - a. Admitting representative: Telephone Public Safety (30100) and states "SOR please." Public Safety will be dispatched and will remain with the visitor.
 - b. Service Excellence personnel: Discretely tells the Public Safety Officer "RSO please."
 - c. Parent/legal guardian/Partner in Care, or Visitor is confirmed to be a registered sex offender (see Attachment B):
 - i. Per algorithm, Public safety confirms registered sexual offender (RSO) status.
 - ii. Per algorithm, Public safety will consult with House supervisor and/or depart ment manager to determine visitation privileges based on relationship, patient's clinical status, if death is imminent, private room and other factors as outlined in Attachment B.
 - iii. Visitation parameters will be determined per algorithm, for either no visitation, 15 minutes, daytime hours only, or no restrictions.
 - iv. Public safety will be responsible to present a behavior contract to the RSO to sign. Public safety will review the terms of the behavior contract with the RSO, including the visitation restrictions. Public safety will maintain any pertinent records (e.g. contract, other) for the duration of the patients admission.
 - v. Department manager, or the House Supervisor, will communicate visitation restrictions and safety parameters, to nursing and other appropriate staff.
 - vi. No documentation with respect to visitor sexual offender status will be documented in the patients record, unless relevant to the patients clinical condition and care needs.
 - 3. If no driver's license is available, type in the legal name and birthdate.
 - 4. If the photo does not appear to be current, take a new picture using the attached camera.
 - D. For minor visitors, take photo, enter name and birthdate. The name will not appear on the badge, but will be recorded in the visitor log.

Reference: PC-271.01

Page 4 of 17

- E. Choose the destination location and enter the room number of the patient (if applicable.)
- F. Direct the parent/legal guardian/Partner in Care/visitor:
 - 1. Wear the badge prominently on outer clothing.
 - 2. Discard the badge upon final exit.
 - 3. Non-patient Visitor: Explain to these visitors that they are allowed to ascend to patient floor but not to visit inside patient rooms. This includes people entering the hospital for professional purposes such as delivering medical equipment, etc.
- G. Vendors:
 - 1. Public Safety personnel provide the vendor passes.
 - 2. Give the Vendor Pass (a square, laminated, numbered clip-on pass) at the information desk in the LBM main lobby. (See also Environment of Care Security Management-Providing Identification for Patients, Visitors, and Staff EOC-Sec-206.)
 - a. Blue: LBM and MCWHLB
 - b. Green: Surgery areas.
 - c. Direct the vendor to complete the log with name, company, date, time in and out and signature.
 - d. Direct the vendor to wear the pass prominently on outer clothing only for the date entered and to return it on the way out.
- IV. Direct family, visitors and vendors towards the elevators or their destination. Direct them to limit their travel within the medical center to the floor or unit they are designated to visit.
- V. Patient Care Areas:
 - A. All staff members are to check the badges worn by others to verify that the person is appropriate to enter the unit.
 - B. Identify persons at the patient's bedside using the identification badge. Identify a parent/guardian using the ID armband when required.
 - C. Escort parents, visitors, or vendors with no identification to an area in which they may obtain a visitor's badge.
 - D. Escort persons found in areas that do not match their pass to the appropriate area or the information desk.
 - E. Upon discharge for pediatric patients, collect badges and armbands before they exit the building.
- VI. Visiting AFTER regular visiting hours: If a person presents unexpectedly requesting to visit after hours, the Public Safety staff at the information desk will contact the Assistant Unit Manager, Relief Coordinator or the patient's nurse to verify permission to visit. If necessary, the House Supervisor will be contacted to resolve any communication issues.
- VII. Managing escalation situations: In the event that a family member's behavior escalates to an agitated, distressed or violent state, use algorithm in Attachment C to manage the situation.

USCA Case #18-1125

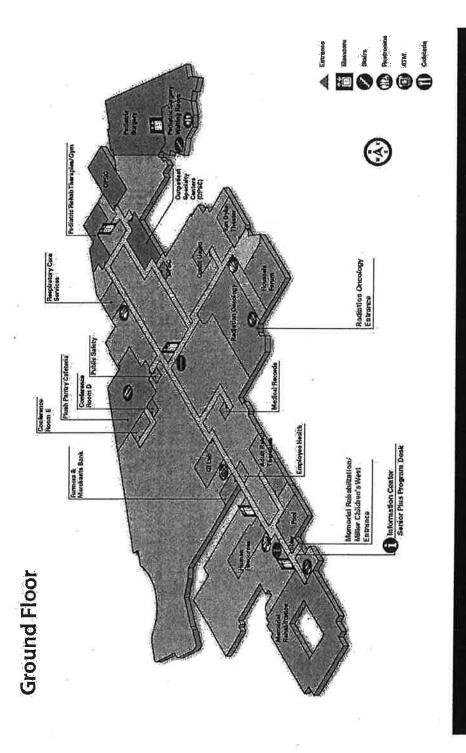
Document #1758750

Filed: 11/05/2018 Page 147 of 208

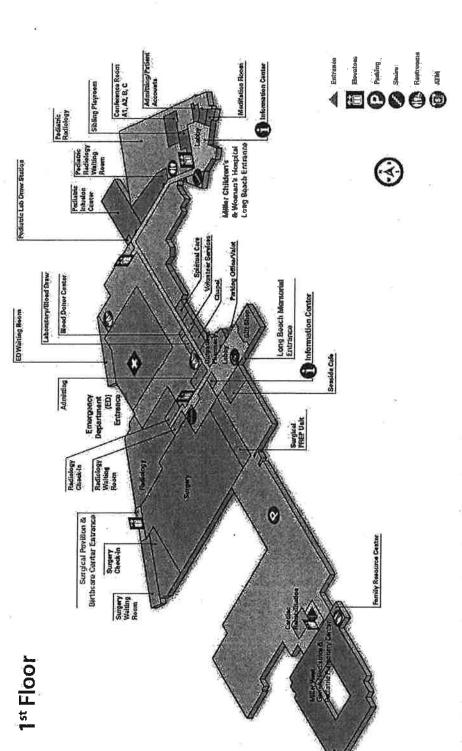
Reference: PC-271.01 Page 5 of 17

REVIEWED/APPROVED BY:

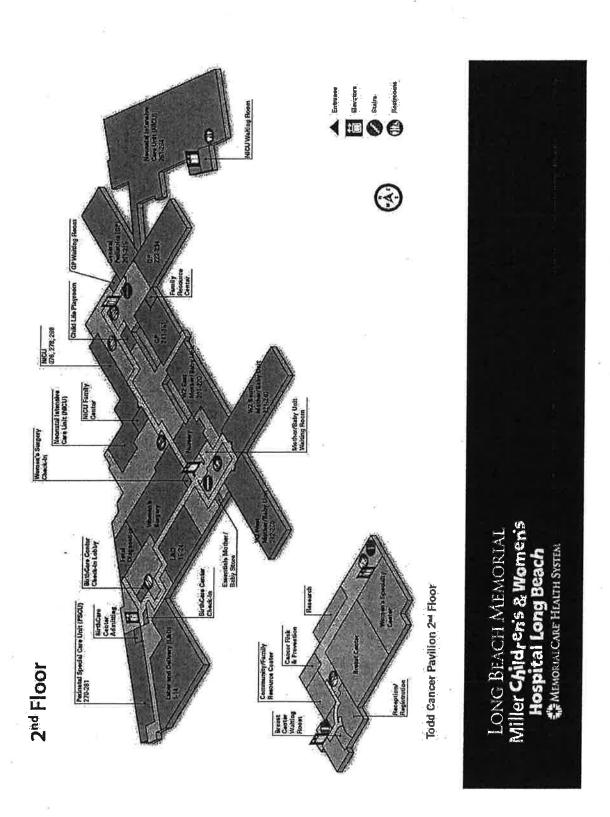
| Clinical Policy and Procedure Committee | March 2015 |
|-----------------------------------------|------------|
| Nursing Executive Council | March 2015 |
| Medical Executive Committee | April 2015 |



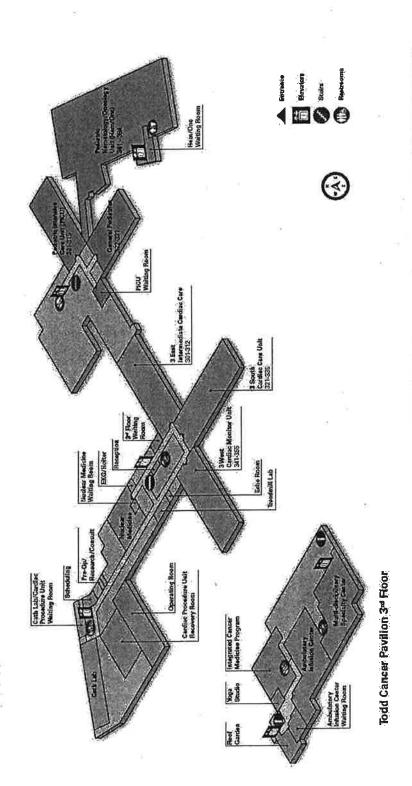
Attachment A



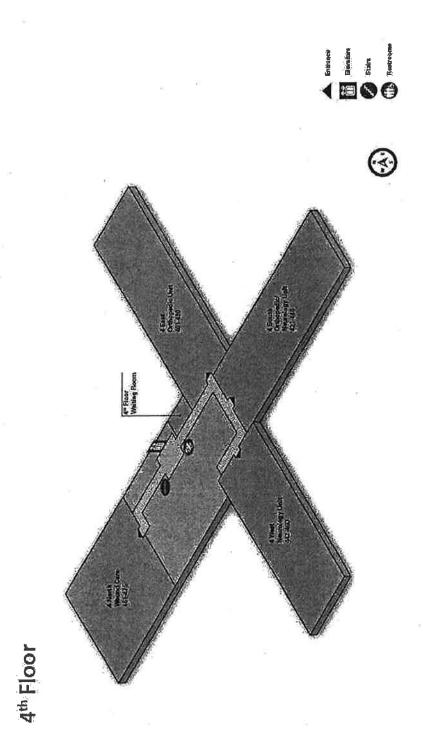


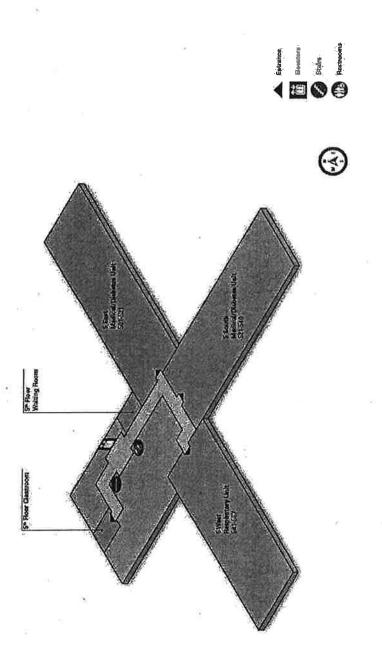


3rd Floor





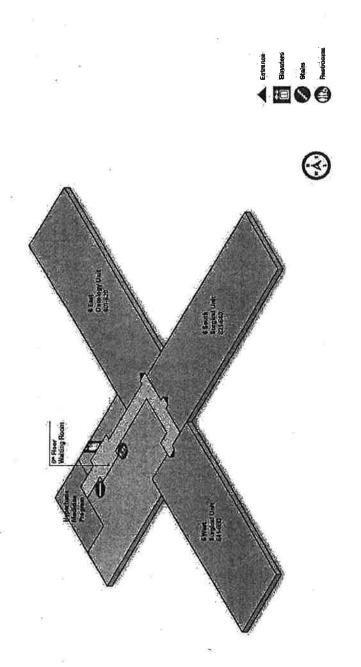




LONG BEACH MEMORIAL
Miller Children's & Women's
Hospital Long Beach
Memorial Conferment

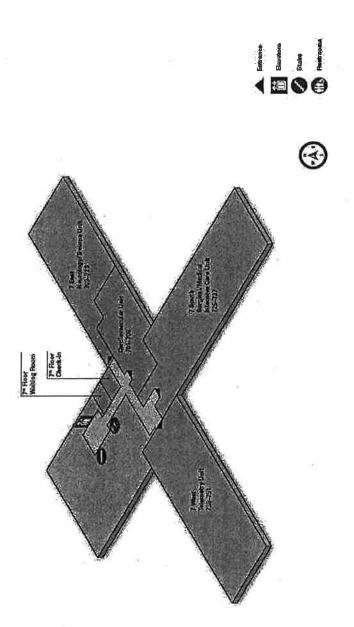
5th Floor

Reference: PC-271.01 Page 12 of 17



LONG BEACH MEMORIAL
Miller Children's & Women's
Hospital Long Beach

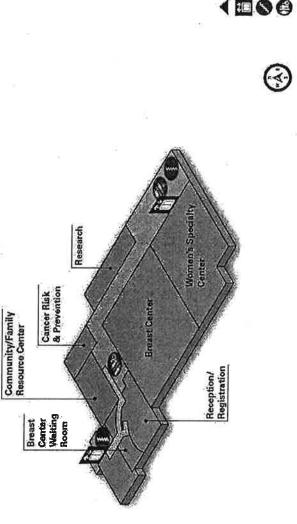
6th Floo



7th Floor



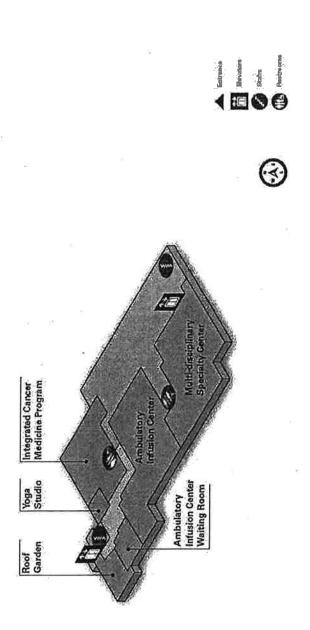
Todd Cancer Pavilion 2nd Floor



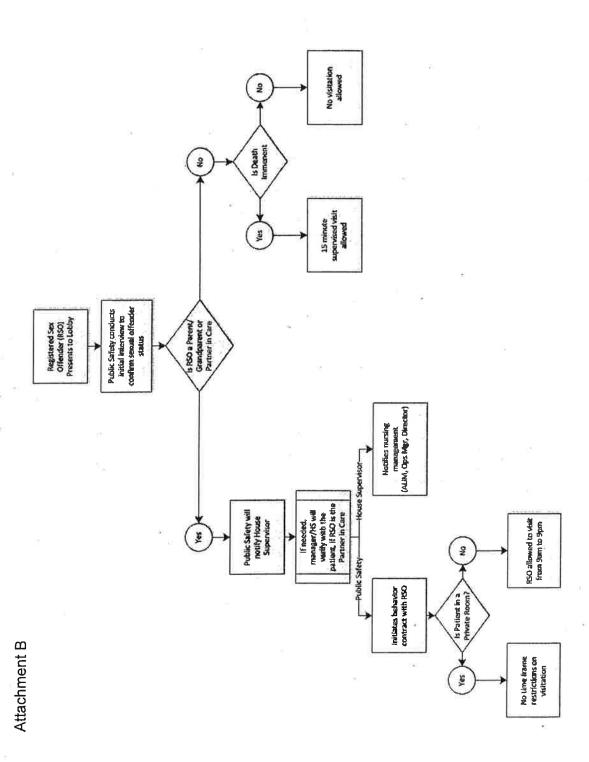




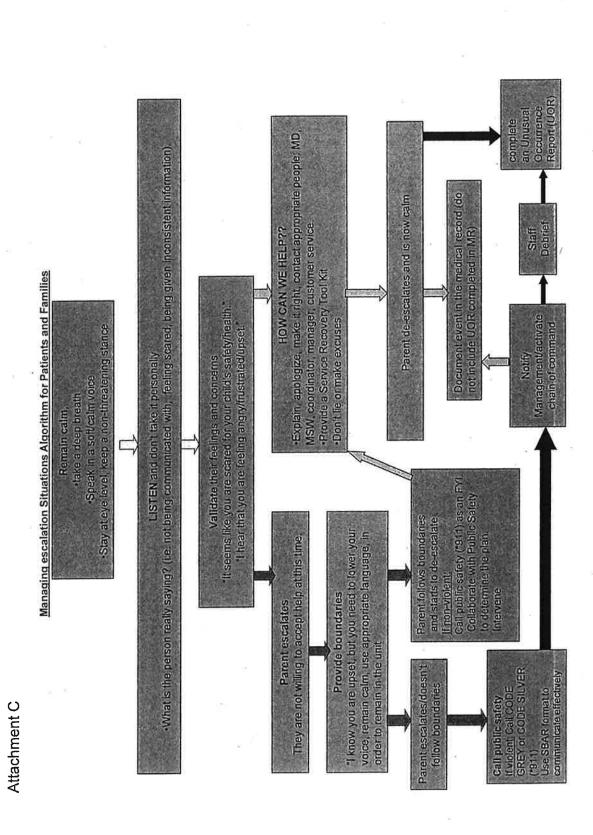
Todd Cancer Pavilion 3rd Floor







Reference: PC-271.01 Page 17 of 17



cument #1758750 Filed: 11/05/2018 Page 160 of 208

REFERENCE:

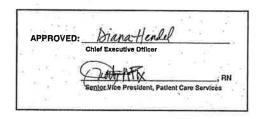
PC-271.02

SUPERSEDES: ORIGINATED:

November 2012 September 2012

PAGE:

1 of 5



POLICIES/PROCEDURES LONG BEACH MEMORIAL

SUBJECT:

SECURITY: INFANTS AND CHILDREN

REVIEWED/REVISED:

By:

Patient Care Services

September 2014

(Clarification November 2014)

AUTHORITY:

Joint Commission Comprehensive Accreditation Manual for Hospitals; California Code of Regulations Title XXII, §70707

PURPOSE:

To ensure the safety of infants and children in Long Beach Memorial (LBM.)

To describe hospital systems in place to ensure security of patients.

To define appropriate patient/family education regarding security in LBM.

To support patient/family satisfaction and family centered care while maintaining the safety and security of the patients and the hospital.

EQUIPMENT:

- Patient and Family Guide
- 2. Emergency Department:
 - a. Handout "Parent Pass and Wristband Information"
 - b. Identification bands
 - c. Parent/legal guardian visitor badge

SCOPE AND RESPONSIBILITY:

A. Scope:

This policy applies to patients, family, staff, volunteers and visitors in

LBM.

B. Responsibility:

Staff members and volunteers are responsible for the safety and

security of our patients.

POLICY:

LBM provides a safe and secure environment for patients and their families.

USCA Case #18-1125 Document #1758750 Filed: 11/05/2018 Page 161 of 208

Reference: PC-271.02

Page 2 of 5

- 2. LBM staff members comply with and support the security provisions and guidelines in place. Security measures include:
 - An annual threat assessment is conducted by Public Safety.
 - b. The Director of Security will ensure that the following equipment is inspected weekly for proper operation:
 - i. The closed circuit TV system: cameras, recording devices, monitors.
 - ii. Door alarms.
 - iii. Access control systems.
 - c. Each patient care area e stablishes a routine for testing alarms at stairwells/exit doors.
 - d. Deliveries such as flowers and other gifts are left at the unit desk. Staff or volunteers will deliver items to the patient rooms.
 - e. Doors are not propped open to facilitate access/egress or promote ventilation.
 - f. Emergency exits shall not be utilized by staff except during legitim ate emergencies.
 - g. Visitors with a known legal restriction for proximity to children, a patient, or an employee, or whose actions or behaviors are deemed unacceptable by Public Safety/Administration, will not be allowed access to the campus.
 - h. All persons entering a patient care area are identifiable as a patient, parent/legal guardian, employee, volunteer, physician, or visitor.
- 3. Parents, families, and visitors will be educated regarding the measures in place to provide a safe place for infants and children within the hospital.

PROCEDURE:

- I. IDENTIFICATION
 - A. Patients:
 - Apply an identification band on admission to inpatients and those outpatients receiving invasive procedures, IV therapy, or medication/sedation/blood administration.
 - Identify these patients before performing any treatment, test, procedure or medication identification using two (2) identifiers: the patient's name and medical record number (MRN) according to Policy and Procedure <u>PC-143</u>: Patient Identification, ID Bands, And "Alert" Armbands.
 - 3. Identify other outpatients receiving care by confirming verbally with the responsible adult a minimum of two (2) identifiers, using name and date of birth.
 - B. Parents and Legal Guardians of pediatric patients in the Emergency Department: When a pediatric patient will be discharged from the LBM ED and admitted to Miller Children's and Women's Hospital Long Beach, the admitting personnel do the following:
 - 1. Affix an identification wristband that matches the child's ID band to each parent/legal guardian present at the time of admission. Instruct the parent/guardian to wear the identification band on the wrist. (Parent may carry wristband without wearing on wrist if preferred.)
 - Prepare a parent/guardi an badge for each parent/guardian to be valid for one week or an appropriate length of time based on expected length of stay. Instruct the parents/guardians to wear the badge prominently on outer clothing.
 - 3. Provide the handout "Parent Pass and Wristband Information" (Attachment A.)
 - C. Staff Identification:

Document #1758750 Filed: 11/05/2018 Page 162 of 208

Reference: PC-271.02

Page 3 of 5

- 1. Wear the LBM photo ID badge visibly at all times while on the job. Employees, including primary caregivers, who are authorized to remove infants and children from their room and/or unit are required to wear a badge with a pink bar prominently displayed. (See EOC-SEC-02: Security Management, Infant / Child Security.)
- The photo ID includes the name and title of the employee.
- Immediately report the loss of your photo ID badge to the Security Office and your department manager.
- D. Other family/partners in care/visitors are welcomed to enhance patient/family centered care.
 - See <u>PC-271</u>: Visiting Plan for details regarding the patient and family approach to care and <u>PC-271.01</u> Visitor Management for the process of welcoming and badging visitors.
 - 2. If a visitor is known to be restricted either by a legal process, or per Public Safety/Administration, contact Public Safety for assistance.

II. TRANSPORTING TO ANOTHER AREA:

- A. Provide a staff escort for ancillary services.
- B. Employees who are allowed to transport an infant or child must have a pink name badge.
- C. Transfer and transportation of patients will include appropriate ID banding and security.
- D. Refer to PC-256: Transportation of Patients: Intrafacility and Across Campus
- E. Discharge: With a physician's order for discharge, and completion of the discharge process, collect visitor passes before sending the patient home.
- III. SUSPECTED ABDUCTION: (See also Emergency Management-Internal Disaster-Infant and Child Abductions, policy EM-INT-11-08 for more detail.)
 - A Code Pink (infant) or Code Purple (child) is implemented as the official response code to an actual or sus pected abduction.
 - B. All employees are to respond simultaneously:
 - 1. If the suspicious person is observed with an infant or child, make inquiring and distracting statements, such as "Excuse me, may I see your baby/child?" while following at a safe distance.
 - Call *911 and state "Code Pink" (infant) or "Code Purple" (child) and give a detailed description of the suspect, infant/child, and location last seen.
 - 3. Perform a diligent search for the infant/child, including all nearby rooms and potential hiding places.
 - 4. Go to nearest exit and observe for suspicious person as described by PBX operator. Report observations if suspect or infant/child is observed.
 - 5. A Hospital Incident Command System is activated. (Environment of Care Security Management-Diligent Search, EOC 011-06.)

IV. STAFF EDUCATION

- A. Staff will be educated about infant and child security at LBM at the time of hire and annually, including:
 - 1. Access to hospital
 - 2. Identification of patients, staff and visitors
 - 3. Closed-circuit television system
 - 4. Ongoing infant and child security drills
 - 5. Victimology characteristics

USCA Case #18-1125 Document

Document #1758750

Filed: 11/05/2018 Page 163 of 208

Reference: PC-271.02

Page 4 of 5

- 6. Identification of high risk persons
- 7. Parent education
- 8. How to confront unidentified visitors
- B. Code Pink/Code Purple drill's are held annually.
- C. Lessons learned are incorporated into education.

DOCUMENTATION:

Document patient/family teaching regarding patient security in the electronic medical record as indicated.

REVIEWED/APPROVED BY:

| Infant Child Investigative Committee | July 2014 |
|---------------------------------------|----------------|
| Clinical Policy & Procedure Committee | July 2014 |
| Nursing Executive Council | July 2014 |
| Medical Executive Committee | September 2014 |

Filed: 11/05/2018 Page 164 of 208

Reference: PC-271.02 Page 5 of 5

Attachment A

Parent Pass and Wristband Information

The parent passes and wristbands are to be worn during your child's entire hospital stay. This will allow you to move freely around the hospital.

A maximum of two parent passes and two wristbands will be issued per child. The parent pass and band will be issued to the parent(s) present at the time of your child's admission. If you are not present during your child's admission, please come to Admitting to be issued the pass and band.

The parent pass is good for one week. You will need to come back to the Admitting Department to get a new parent pass if your child's stay is longer than one week.

If you need to replace your parent pass or wristband, please come to one of our Admitting offices. Please do not remove the wristband yourself, it must be done by Admitting staff.

ADMITTING DEPARTMENT - HOURS OF OPERATION PARENT PASS AND WRISTBAND DISTRIBUTION

Miller Children's Admitting 7 days a week, 6:00 a.m. - 9:00 p.m.

After Hours - Long Beach Memorial Main Admitting

Located next to Main Lobby Monday – Friday, 9 p.m. – 12 midnight; Saturday – Sunday, 9:00 p.m. – 10:00 p.m.

Emergency Department Admitting: Monday – Friday, 12 midnight - 6:00 a.m. Saturday – Sunday, 10:00 p.m. – 6:00 a.m.

Miller
Children's Hospital
Long Beach

Filed: 11/05/2018 Page 165 of 208

| 4 | Memorial Health Services |
|-------|--------------------------|
| 1.7 | Policies and Procedures |
| 40104 | |

Effective Date:

September 1, 2015

Subject: Environment of Care Security Management – Providing Identification to Staff, Patients, Visitors

Manual: Environment of Care

Ginger Alhadeff Director of Safety

Policy/Procedure #: EOC-SEC-206

Facilities Development

Section:

Security

Long Beach Memorial Medical Center Miller Children's Hospital Long Beach

PURPOSE To establish the procedure for issuance of identification badges to employees, physicians, contract employees, volunteers, clergy and vendors of the hospitals, and the requirement for wearing same on campus at all times.

DEFINITION OF TERMS:

"Environment of Care" ("EOC"): The physical and social environment within which services are provided for our patients at all MCH facilities. Environment of Care Standards

refer to standards in the JCAHO Accreditation Manual.

SCOPE AND RESPONSIBILITY: The scope of this policy applies to all MHS facilities.

POLICY: It will be the policy for all affected staff to ensure they are wearing their identification badge, and to ensure, where applicable, patients, vendors, visitors and physicians are wearing identification badges.

PROCEDURE: The Security Department is responsible for producing and issuing all identification badges with the picture, person's name, title and personnel information, and department on the badge. All badges are the property of the hospitals.

A. Employees:

- 1. At the time of an employee's desk orientation, Human Resources will instruct the employee to report to the Security for a badge. At that time, the employee will be photographed, their personnel data verified (including vehicle license number), and an identification badge will be issued
- 2. Identification badges must be worn and displayed at all times while on campus, including any off-site buildings managed by the hospitals. Employees not wearing appropriate identification badges will be issued a citation. The first incident will result in a warning. The second incident may result in a three-day suspension without pay and the third incident may be grounds for disciplinary action, including termination. Employees who retrieve the badge off-campus will do so without pay.
- 3. It is the employee's responsibility to notify the Security Department of any lost or stolen badges. Failure to report a lost or stolen I.D. badge within 24 hours may be cause for appropriate disciplinary action.
- Management personnel are responsible and accountable for enforcing this policy within their own departments.
- 5. Employees must surrender their I.D. badge upon the request of any Security Officer while on campus. Failure to do so will be considered insubordination and is a cause for disciplinary action.
- 6. Possession or use of another employee's I.D. badge is prohibited.

B. Physicians:

- 1. Physicians report to Security for the issuance of their I.D. badge.
- 2. Identification badges must be worn and displayed by physicians while on hospital campus and off site clinical facilities.
- 3. Physicians must notify the Security Department for any lost or stolen identification badge.
- If applicable, all interns and residents must obtain an identification badge from Security.
 At the end of the term, the intern or resident must turn their badges in to their supervisor.

C. Vendors:

- Vendors who routinely and continuously perform their business on the hospital campus may receive an identification badge. Security will issue the badges, and they may have their company name on the badge. An expiration date may be determined at the time of issue based on the need and requirements.
- 2. It will be the vendor representative's responsibility to notify the Security Department of any lost or stolen identification badge.
- 3. All vendor representatives without badges must sign in at the Material Services Administration office. Each representative must obtain a vendor badge at the Material Services Administration The vendor badge must be worn in a visible manner at all times while on campus.
- 4. All vendor badges will be signed for in Material Services Administration office and the following information will be recorded and maintained on file: representative's name, date, company name, department or person visiting, badge number, time in, time out and signature.
- 5. The vendor badge must be returned prior to leaving campus.
- 6. It is the responsibility of the representative of Material Services to enforce this policy within the department.

D. Volunteers:

- 1. At the time of a Volunteer's orientation, Volunteer Services will instruct each volunteer to report to the Security for a badge. Each volunteer will be photographed, their personnel data entered into the badge system, and a badge will be issued.
- 2. Identification badges shall be worn and displayed by all volunteers at all times while on the hospital campus, including any off site buildings. Volunteers not wearing or displaying the appropriate badge will be instructed to report to the Volunteers' office for temporary identification. Any continual violation of the identification/badge policy by any volunteer will be handled appropriately by Volunteer services.
- 3. It is the responsibility of each volunteer to notify the Security Department of any lost or stolen badge.
- 4. Volunteer Services is responsible for the enforcement of this policy within the Volunteer Services department.

E. Clergy:

- 1. At the time of the Clergy orientation by Pastoral Care, the Chaplain will instruct the Clergy who routinely and continuously visit the hospital to report to Security for a badge. Those appropriate Clergy will be photographed, their personnel data entered into the badge system, and a badge will be issued.
- 2. Identification badges shall be worn and displayed by all clergy at all times while on the hospital campus, including all off site buildings. Clergy found not wearing the appropriate badge will be instructed to go to the Pastoral Care office for temporary identification. Any continual violation of this policy by any clergy will be handled appropriately by the Chaplain.

Word: MHS-Manual-MHS-EOC-06

EOC-Sec 206

Identification of Employees, Visitors, Staff

Page 3 of 3

It is the Clergy's responsibility to notify the Security Department Command Post of any lost or stolen badge.

Filed: 11/05/2018

- 4. All Clergy who occasionally and infrequently visit the hospital will sign in and out at the Pastoral Care Office. Those Clergy who are infrequent visitors will not receive identification badges and their visits will be identified through Pastoral Care.
- 5. The Pastoral Care Office is responsible and accountable for enforcing this policy with the clergy.

F. Contractors

- 1. Contractors who routinely and continuously perform their business on the hospital campus may receive an identification badge. The Construction Manager or Engineering will request a badge for specific contractors. After the badge has been approved by Security, the contractor may come to the Security Department and be issued an identification badge. The company name will appear on the badge. An expiration date may be determined at the time of issue based on the need and requirements.
- 2. It will be the contractor representative's responsibility to notify the Security department command center of any lost or stolen identification badge.
- 3. All other contractors must sign in at the Construction office or Engineering and receive a yellow contractor badge. The yellow contractor badge must be worn in a visible manner at all times while on campus.
- 4. All contractor badges will be signed for in the Construction office or Engineering and the following information will be recorded and maintained on file: contractor's name, date, company name, department or person visiting, badge number, time in, time out and signature.
- 5. The contractor badge must be returned to the Construction manager or Engineering when the construction project is complete.
- 6. It is the responsibility of the Construction Manager and/or Engineering to enforce this policy within the department.

G. Students (if applicable)

- 1. All students, normally assigned through Nursing Education, will have ID requests completed and signed and returned to the Security command center for completion.
- 2. ID badges will be completed without proximity cards.
- 3. Students will park in a designated lot and utilize a parking code on the key pad for entrance and exit to the lot. This code will be given to the students by the nursing educator.
- 4. All other requests for student ID badges must be made through the Security department.
- 5. Upon completion of the student assignment, ID badges must be returned to the Security Department.

AUTHORITY JCAHO: Environment of Care Standards.

HISTORY:

Policy developed to minimize risks related to inability to identify individuals within the medical center, and to promote consistencies related to badge practices.

Origination Date:

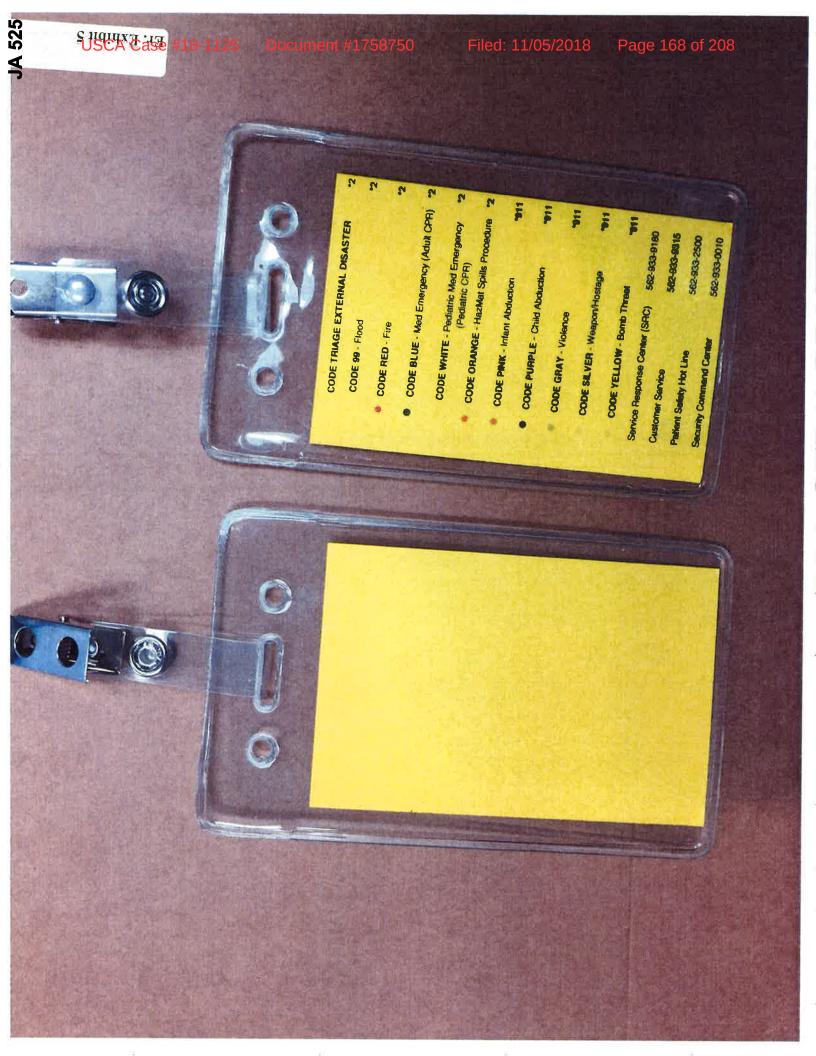
November, 1994

Reviewed/Revised Dates: January, 1998, January, 2000; January, 2003; June, 2006 June, 2012, September, 2015.

Word: MHS-Manual-MHS-EOC-06

EOC-Sec 206

Identification of Employees, Visitors, Staff



Er. Exhibit 6

Page 170 of 208

| Memorial Health Services Policies and Procedures | Effective Date: March 14, 2013 |
|----------------------------------------------------------------|------------------------------------------------------------|
| Subject: Influenza Vaccination and Protection Program | Approval Signature: Barry Arbuckle President & CEO |
| Manual: Administrative (Human Resources) Policy/Procedure #361 | Sponsor Signature: Lorraine Booth VP, People and Culture |

I. <u>PURPOSE</u>

MemorialCare Health System ("MHS") is committed to protecting its patients and staff during influenza season. This Policy establishes the requirements for an influenza vaccination program at MHS and its affiliated entities (each, "MHS Entity").

This Policy encompasses all influenza vaccines currently recommended by the Centers for Disease Control and Prevention ("CDC") and is consistent with the current vaccination recommendations of the Association for Professionals in Infection Control and Epidemiology ("APIC").

II. DEFINITION OF TERMS

- A. Influenza Season A recurring period of time each year determined by the CDC characterized by the prevalence of outbreaks of influenza.
- B. **MHS Entity** MHS and any wholly-owned subsidiary of MHS, including wholly-owned acute care hospitals, retail clinics, imaging centers, and other locations that are staffed by employees of an MHS Entity.
- C. Influenza Vaccination(s) The vaccination or vaccinations recommended for the current influenza season.

III. SCOPE AND RESPONSIBILITY

This Policy applies to Memorial Health Services and its wholly-owned, tax-exempt subsidiaries ("MHS"), including, but not limited to, all MHS Entities, their staff, volunteers, medical staff, trainees, vendors and others.

IV. POLICY

All employees and other individuals (other than visitors or individuals delivering items at the entrance of an MHS Entity) who are regularly on the premises of an MHS Entity must obtain an influenza vaccination during influenza season, except as provided in Paragraphs C and D below.

V. PROCEDURES

- A. MHS requires the following individuals to receive an influenza vaccination:
 - 1. All employees of an MHS Entity (clinical and non-clinical).
 - 2. Medical Staff members and non-employee allied health professionals at each MHS Entity.
 - Volunteers at each MHS Entity.
- B. MHS requires proof of an influenza vaccination for the following categories of individuals:
 - 1. Individuals working in an MHS Entity as temporary and/or registry staff ("Temporary Staff").
 - 2. Medical students, residents and fellows assigned to an MHS Entity for training and education ("Trainees").
 - Other students assigned to an MHS Entity for training and education ("Students").
 - 4. Independent contractors, such as contract maintenance and dietary services workers ("Contractors") and consultants working in an MHS Entity ("Consultants").
 - Vendors and Suppliers, such as implant device vendors present during implant procedures, who are in proximity of any MHS Entity patients.
 - Newly hired employees of an MHS Entity who opt not to obtain an influenza vaccination at the time of their pre-employment physical examination.
- C. If any individual listed in Paragraphs A or B does not demonstrate proof of receiving the required influenza vaccinations, he or she will be required to wear a surgical mask for the duration of the influenza season. In the case of employees who are unable to receive the vaccination due to medical or religious reasons, efforts will be made to reasonably accommodate them in accordance with applicable state and federal law.
- D. Employees who fail to obtain an influenza vaccination or wear a mask will be placed on administrative leave for the duration of the influenza season. Employees may use accrued paid time off (PTO) while on leave. Dependent upon business need and legal requirements, all positions cannot be guaranteed once the influenza season ends.
- E. Subject to availability, influenza vaccinations will be provided annually, or as appropriate, free of charge, through the employee health services department at each MHS Entity. Individuals may either receive the influenza vaccinations at an MHS Entity or provide written proof of receipt of the influenza vaccination from another source.
- F. Compliance with this Policy will be required by a date determined each year by the MHS Influenza Taskforce.
- G. Employees who do not receive an influenza vaccination will be asked to complete written declinations forms.

- H. A notation will be placed on the badges of all individuals who have received influenza vaccinations in order to better enable management to identify those individuals who require surgical masks. These notations must be displayed at all times.
- If an employee has a medical contraindication for an influenza vaccination, but still desires the vaccination, the employee will be directed to discuss it with his/her primary physician and the vaccination should be administered by the primary physician.
- J. Employees who are required to wear a surgical mask during work hours will be required to observe lunches and breaks in designated areas, where they are permitted to remove their mask.
- K. To assist with the tracking process during the influenza season, each MHS Entity will arrange for reports to be sent to update managers on the vaccination status of department staff.
- L. Each MHS Entity may seek to provide education to its workers on the following:
 - 1. The benefits of influenza vaccination;
 - 2. The potential health consequences of influenza illness for themselves, patients, and visitors; and
 - 3. Epidemiology and modes of transmission, diagnosis, and non-vaccine infection control strategies (such as the use of appropriate precautions & respiratory hygiene/cough etiquette).

Such education may occur either at the time of the annual vaccination activity, at the time of on-boarding at hire, as part of ongoing training and education, or any other combination.

MHS will evaluate vaccination rates of personnel on an annual basis and document and report the reasons for failure to vaccinate

REFERENCE/AUTHORITY:

CA Senate Bill 739 (CA Health & Safety Code §1288.5 et seq)
The Joint Commission
CDC
APIC

Frequently Asked Questions

Are we continuing the mandatory influenza protection program again this year?

- Yes, as we have shared in past years, influenza is a serious respiratory disease. According to the CDC, each year, 200,000 Americans are hospitalized due to flu-related complications, with as many as 49,000 deaths.
- Up to 50 percent of people with influenza have no symptoms, yet can still unknowingly "shed" the virus and be contagious which could thereby transmit it to others.
- A number of national experts consider mandatory vaccination a CORE patient safety initiative
 for all health care personnel (HCP). The Center for Disease Control and Prevention (CDC),
 Society for Healthcare Epidemiology of America (SHEA), American Academy of Pediatrics,
 and more recently the American Hospital Association, have all taken the position that
 mandatory influenza vaccination programs are a core patient and HCP safety practice.
- MemorialCare is committed to providing extraordinary care and service to our communities and rely on a healthy workforce to do so. So we will continue each year!

Are there any changes in MemorialCare's approach this influenza season from this past year?

- No major changes this year. We are still offering the "quadrivalent" vaccine versus the
 "trivalent." What this means is that instead of protecting against only three viruses, we will be
 providing protection against four strains, which will include an additional B virus. Otherwise,
 there are no noticeable differences as the vaccine volume and other characteristics are the
 same.
- We are also continuing the compliance date of November 1, for documentation of having been vaccinated or completing a declination. This was due to the local health department's requirements on dates. The written declination is required under California regulations by November 1, 2015. The masking date will remain as December 1, unless we see early influenza activity in the communities, and/or any additional requirements of the health departments.

What will happen if I decline to be vaccinated and I am unable or decline to wear a mask at work?

Due to the risk to our patients, visitors and staff, we will require all unvaccinated staff who are unmasked to be on an unpaid leave of absence for the duration of the flu season. An employee's accrued paid time off (PTO) can be used while on this leave of absence. Dependent on business need and legal requirements, not all positions can be guaranteed once the influenza season ends.

What if I have an egg allergy?

We now have an "egg-free" vaccine for those with documented severe egg allergies. Discuss this with your physician, but you should be able to receive the vaccine. Contact your employee health department to receive a dose.

Why do I need to receive a vaccination on a yearly basis? Page 174 of 208

Influenza virus changes often, making annual vaccination necessary. Your immunity following vaccination is strongest for up to six months. In California, influenza usually begins circulating in early January and continues through February or March. The best "window" to receive vaccination is September – October.

*Anyone **pregnant** or who thinks they might be pregnant, should discuss pros and cons of these vaccines and any preservatives with their personal physician. **Please check with your doctor before coming to the vaccine clinic.**

Can the vaccination give me the flu?

- Flu vaccines CANNOT cause the flu. The viruses in flu vaccines are either killed (the flu shot) or weakened (the nasal-spray vaccine). The flu vaccines work by priming your body's defenses in case you are exposed to an actual flu virus.
- Flu vaccines are safe. Serious problems from the flu vaccine are very rare. The most common side effect that a person is likely to experience is soreness where the injection was given. This is generally mild and usually goes away after a day or two.

Will MemorialCare reimburse me if I receive my vaccination at my doctor's office or other location?

We are providing vaccinations free of charge to our employees, volunteers and medical staff if received at our sites. Supplies are plentiful again this year, so we will not be reimbursing for vaccinations from other locations.

* Immunization coverage for dependents under MemorialCare benefits

Employees or dependents who may have questions about benefit coverage for any immunization can call Anthem (for HMO plan members) at (855) 315-8923 or Aetna (for PPO plan members) at (877) 764-5771.

If I do not vaccinate and need to mask, when will I need to wear it?

You will need to wear the mask as soon as you enter a campus building and need to wear it until you leave the building(s) at the end of your work day.

How will I eat my meals while wearing a mask?

Each campus will designate specific locations for unvaccinated staff to eat their meals. This will include the public cafeteria as well as staff lounges as long as you are eating. In the event we experience a heavy influenza season, we may need to make modifications to the plan at that time.

What do I do if I need another mask?

Masks can be replaced as needed and will be readily available. Please refer to the "How to Wear a Mask" handout for additional information.

How will this policy be enforced?

Staff who have been vaccinated (either by us or who bring in proof of vaccination) will receive a new colored special "dot" for their name badge, similar to program in prior years. Please be sure to display your sticker while in the workplace. Your sticker may last longer if you place inside the plastic protective holder.

USCA Case #18-1125 Document #1758750 Filed: 11/05/2018 Page 175 of 208

What about temporary workers and contract staff?

We will require and provide vaccines to those temporary and contract staff assigned to us during the influenza season.

What else can I do to protect myself and others from getting sick?

- Remember to perform good hand hygiene or wash your hands frequently.
- Remember good cough etiquette by covering your nose and mouth (with a tissue or your sleeve).
- Stay home when you are sick, which will help you with your recovery and reduce the possibility of transmission to others at work.

Our system-wide Influenza Task Force continues to meet weekly and develop Best Practices for prevention, treatment, communication and emergency preparedness plans to keep us on track with this significant health care issue.

For more information, please go to the influenza page on the intranet.

Page 176 of 208





Healthcare Personnel Attire in Non-Operating-Room Settings

Author(s): Gonzalo Bearman MD MPH, Kristina Bryant MD, Surbhi Leekha MBBS MPH,

Jeanmarie Mayer MD, L. Silvia Munoz-Price MD, Rekha Murthy MD, Tara Palmore MD, Mark E.

Rupp MD and Joshua White MD

Source: Infection Control and Hospital Epidemiology, Vol. 35, No. 2 (February 2014), pp. 107-121 Published by: Cambridge University Press on behalf of Society for Healthcare Epidemiology of

America

Stable URL: http://www.jstor.org/stable/10.1086/675066

Accessed: 08-03-2016 22:17 UTC

Your use of the JSTOR archive indicates your acceptance of the Terms & Conditions of Use, available at http://www.jstor.org/page/info/about/policies/terms.jsp

JSTOR is a not-for-profit service that helps scholars, researchers, and students discover, use, and build upon a wide range of content in a trusted digital archive. We use information technology and tools to increase productivity and facilitate new forms of scholarship. For more information about JSTOR, please contact support@jstor.org.

STOR

Society for Healthcare Epidemiology of America and Cambridge University Press are collaborating with JSTOR to digitize, preserve and extend access to Infection Control and Hospital Epidemiology.

http://www.jstor.org

INFECTION CONTROL AND HOSPITAL EPIDEMIOLOGY FEBRUARY 2014, VOL. 35, NO. 2

SHEA EXPERT GUIDANCE

Healthcare Personnel Attire in Non-Operating-Room Settings

Gonzalo Bearman, MD, MPH;¹ Kristina Bryant, MD;² Surbhi Leekha, MBBS, MPH;³ Jeanmarie Mayer, MD;⁴ L. Silvia Munoz-Price, MD;⁵ Rekha Murthy, MD;⁶ Tara Palmore, MD;⁷ Mark E. Rupp, MD;⁸ Joshua White, MD⁹

Healthcare personnel (HCP) attire is an aspect of the medical profession steeped in culture and tradition. The role of attire in cross-transmission remains poorly established, and until more definitive information exists priority should be placed on evidence-based measures to prevent healthcare-associated infections (HAIs). This article aims to provide general guidance to the medical community regarding HCP attire outside the operating room. In addition to the initial guidance statement, the article has 3 major components: (1) a review and interpretation of the medical literature regarding (a) perceptions of HCP attire (from both HCP and patients) and (b) evidence for contamination of attire and its potential contribution to cross-transmission; (2) a review of hospital policies related to HCP attire, as submitted by members of the Society for Healthcare Epidemiology of America (SHEA) Guidelines Committee; and (3) a survey of SHEA and SHEA Research Network members that assessed both institutional HCP attire policies and perceptions of HCP attire in the cross-transmission of pathogens. Recommendations for HCP attire should attempt to balance professional appearance, comfort, and practicality with the potential role of apparel in the cross-transmission of pathogens. Although the optimal choice of HCP attire for inpatient care remains undefined, we provide recommendations on the use of white coats, neckties, footwear, the bare-below-the-elbows strategy, and laundering. Institutions considering these optional measures should introduce them with a well-organized communication and education effort directed at both HCP and patients. Appropriately designed studies are needed to better define the relationship between HCP attire and HAIs.

Infect Control Hosp Epidemiol 2014;35(2):107-121

Healthcare personnel (HCP) attire is an aspect of the medical profession steeped in culture and tradition. From Hippocrates's admonition that physicians' dress is essential to their dignity, to the advent of nurses' uniforms under the leadership of Florence Nightingale, to the white coat ceremonies that continue to this day in medical schools, HCP apparel and appearance is associated with significant symbolism and professionalism. Recent years, however, have seen a rising awareness of the potential role of fomites in the hospital environment in the transmission of healthcare-associated microorganisms. Although studies have demonstrated contamination of HCP apparel with potential pathogens, the role of clothing in transmission of these microorganisms to patients has not been established. The paucity of evidence has stymied efforts to produce generalizable, evidence-based recommendations, resulting in widely disparate practices and requirements that vary by country, region, culture, facility, and discipline. This document is an effort to analyze the available data, issue reasonable recommendations, and describe the

needs for future studies to close the gaps in knowledge on HCP attire.

INTENDED USE

This document is intended to help acute care hospitals develop or modify policies related to HCP attire. It does not address attire in the operating room (OR), perioperative areas, or other procedural areas and is not intended to guide HCP attire in those settings or in healthcare facilities other than acute care hospitals.

SOCIETY FOR HEALTHCARE EPIDEMIOLOGY OF AMERICA (SHEA) WRITING GROUP

The writing group consists of volunteers among members of the SHEA Guidelines Committee, including those with research expertise on this topic.

Affiliations: 1. Virginia Commonwealth University, Richmond, Virginia; 2. University of Louisville, Louisville, Kentucky; 3. Department of Epidemiology and Public Health, University of Maryland, Baltimore, Maryland; 4. Division of Infectious Diseases, Department of Internal Medicine, University of Utah School of Medicine, Salt Lake City, Utah; 5. Departments of Medicine and Public Health Sciences, University of Miami, Miami, Florida; 6. Department of Hospital Epidemiology, Cedars-Sinai Medical Center, Los Angeles, California; 7. National Institutes of Health Clinical Center, Bethesda, Maryland; 8. University of Nebraska Medical Center, Omaha, Nebraska; 9. Virginia Commonwealth University, Richmond, Virginia.

Received November 21, 2013; accepted November 25, 2013; electronically published January 16, 2014.

^{© 2014} by The Society for Healthcare Epidemiology of America. All rights reserved. 0899-823X/2014/3502-0001\$15.00. DOI: 10.1086/675066

108 INFECTION CONTROL AND HOSPITAL EPIDEMIOLOGY FEBRUARY 2014, VOL. 35, NO. 2

KEY AREAS ADDRESSED

We evaluated and summarized the literature around 2 aspects of HCP attire (details are provided in "Methods"):

- I. Perception of both patients and HCP regarding HCP attire in relation to professionalism and potential risk for transmission of microorganisms.
- II. Evidence for contamination of HCP attire and the potential for HCP attire to contribute to the transmission of pathogenic microorganisms in hospitals.

In addition, we performed a survey of the SHEA membership and SHEA Research Network to learn more about the policies related to HCP attire that are currently in place in members' institutions.

GUIDANCE AND RECOMMENDATION FORMAT

Because this topic lacks the level of evidence required for a more formal guideline using the GRADE system, no grading of the evidence level is provided for individual recommendations. Each guidance statement is based on synthesis of limited evidence, theoretical rationale, practical considerations, a survey of SHEA membership and the SHEA Research Network, author opinion, and consideration of potential harm where applicable. An accompanying rationale is listed alongside each recommendation.

GUIDANCE STATEMENT

There is a paucity of data on the optimal approach to HCP attire in clinical, nonsurgical areas. Attire choices should attempt to balance professional appearance, comfort, and practicality with the potential role of apparel in the cross-transmission of pathogens resulting in healthcare-associated infections (HAIs).

As the SHEA workgroup on HCP attire, we recommend the following:

- I. Appropriately designed studies should be funded and performed to better define the relationship between HCP attire and HAIs.
- II. Until such studies are reported, priority should be placed on evidence-based measures to prevent HAIs (eg, hand hygiene, appropriate device insertion and care, isolation of patients with communicable diseases, environmental disinfection).
- III. The following specific approaches to practice related to HCP attire may be considered by individual facilities; however, in institutions that wish to pursue these practices, measures should be voluntary and accompanied by a well-organized communication and education effort directed at both HCP and patients.
 - A. "Bare below the elbows" (BBE): This article defines BBE as HCP's wearing of short sleeves, no wristwatch,

no jewelry, and no ties during clinical practice. Facilities may consider adoption of a BBE approach to inpatient care as an infection prevention adjunct, although the optimal choice of alternate attire, such as scrub uniforms or other short-sleeved personal attire, remains undefined.

- 1. Rationale: While the incremental infection prevention impact of a BBE approach to inpatient care is unknown, this practice is supported by biological plausibility and studies in laboratory and clinical settings and is unlikely to cause harm.
- B. White coats: Facilities that mandate or strongly recommend use of a white coat for professional appearance should institute one or more of the following measures:
 - 1. HCP engaged in direct patient care (including house staff and students) should possess 2 or more white coats and have access to a convenient and economical means to launder white coats (eg, institution-provided on-site laundering at no cost or low cost).
 - i. Rationale: These practical considerations may help achieve the desired professional appearance yet allow for HCP to maintain a higher frequency of laundering of white coats.
 - 2. Institutions should provide coat hooks that would allow HCP to remove their white coat (or other long-sleeved outerwear) prior to contact with patients or the patient's immediate environment.
 - i. Rationale: This practical consideration may help achieve the desired professional appearance yet limit patients' direct contact with potentially contaminated attire and avoid potential contamination of white coats that may otherwise be hung on inappropriate objects in the hospital environment.
- C. Other HCP apparel: On the basis of the current evidence, we cannot recommend limiting the use of other specific items of HCP apparel (such as neckties).
 - 1. Rationale: The role played by neckties and other specific items of HCP apparel in the horizontal transmission of pathogens remains undetermined. If neckties are worn, they should be secured by a white coat or other means to prevent them from coming into direct contact with the patient or near-patient environment.

D. Laundering:

- 1. Frequency: Optimally, any apparel worn at the bedside that comes into contact with the patient or patient environment should be laundered after daily use. In our opinion, white coats worn during patient care should be laundered no less frequently than once a week and when visibly soiled.
 - i. Rationale: White coats worn by HCP who care for very few patients or by HCP who are infrequently involved in direct patient care activities may need to be laundered less frequently than white coats

SHEA EXPERT GUIDANCE: HEALTHCARE PERSONNEL ATTIRE 109

worn by HCP involved with more frequent patient care. At least weekly laundering may help achieve a balance between microbial burden, visible cleanliness, professional appearance, and resource utilization.

- 2. Home laundering: Whether HCP attire for nonsurgical settings should be laundered at home or professionally remains unclear. If laundered at home, a hot-water wash cycle (ideally with bleach) followed by a cycle in the dryer is preferable.
 - i. Rationale: A combination of washing at higher temperatures and tumble drying or ironing has been associated with elimination of both pathogenic gram-positive and gram-negative bacteria.
- E. HCP footwear: All footwear should have closed toes, low heels, and nonskid soles.
 - Rationale: The choice of HCP footwear should be driven by a concern for HCP safety and should decrease the risk of exposure to blood or other potentially infectious material, sharps injuries, and slipping.
- F. Identification: Name tags or identification badges should be clearly visible on all HCP attire for identification purposes.
 - 1. Rationale: Name tags have consistently been identified as a preferred component of HCP attire by patients in several studies, are associated with professional appearance, and are an important component of a hospital's security system.
- IV. Shared equipment, including stethoscopes, should be cleaned between patients.
- V. No guidance can be offered in general regarding prohibiting items like lanyards, identification tags and sleeves, cell phones, pagers, and jewelry, but those items that come into direct contact with the patient or environment should be disinfected, replaced, or eliminated.

METHODS

Using PubMed/Medline, between the months of January and May 2013 we searched the English literature for articles pertaining to HCP attire in clinical settings focusing on areas outside the OR. We included all studies dealing with bacterial contamination and laundering of HCP attire, patients' and providers' perceptions based on the type of attire, and/or HCP footwear.

Additionally, we reviewed and compared hospital policies related to HCP attire from 7 large teaching hospitals, as submitted by members of the SHEA Guidelines Committee. Finally, between February and May 2013 we sent out a survey to all SHEA members to assess their institutional HCP attire policies (if any) and to determine their perceptions of HCP attire as a vehicle for potential transmission of pathogens.

RESULTS

I. Patients' Perceptions of HCP Attire

We identified 26 studies (published from 1990 onward) that examined patients' perceptions of HCP attire¹⁻²⁶ (Table 1). Most (23/26) studies surveyed patient preference for different types of HCP attire^{1-6,8-18,20-25} using either pictures of models in various dress styles^{3,4,7-9,15-18,20,22-24} or descriptions of attire. ^{1,5,11,14,21,25} Four studies^{6,10,12,13} asked patients to assess the attire of their actual physicians. Attire descriptions and terminology varied among studies (eg, "formal," "business," "smart," "suit and tie," and "dress") and will be referred to hereafter as "formal attire." We use "casual attire" to refer to anything other than formal attire.

A. Formal attire and white coats: Most of the studies using pictures and models of HCP attire indicated patient preference for formal attire, which was favored over both scrubs^{1,3,7,9,18,22} and casual attire.^{7,9,15,16,19,22} However, several other studies revealed that physician attire was unlikely to influence patients' levels of comfort,^{4,20} satisfaction, trust, or confidence in physicians' abilities,^{2,4,9,19,20,25} even if patients previously had expressed a preference for one type of attire.^{4,9,20,25}

Fifteen studies addressed white coats. 1,4,7-9,11-17,20-22 In 10 of these studies, patients preferred that physicians wear white coats, 1,7-10,12,15-17 and in 1 study patients reported feeling more confident in those physicians.8 Similarly, 2 studies showed a significant association between the presence of a white coat, especially on a female physician, and patients' trust and willingness to share sensitive information.²² Patients also indicated less comfort in dealing with an informally dressed physician,16 describing a shirt and a tie as the most professional and desirable attire for physicians²³⁻²⁵ in addition to an overall well-groomed appearance.5,15 Moreover, the following items were deemed as inappropriate or undesirable: jeans,5,14 shorts,15 clogs,14,15 and open-toed sandals.15 In the remaining 5 studies, patients showed no clear predilection for one dress style over another or did not consider a white coat either necessary or expected.4,11,13,20,21

Five studies assessed patient satisfaction, confidence, or trust on the basis of their treating physicians' dress, ^{2,6,10,12,13} showing little response variations regardless of apparel. A survey of patients seen by obstetricians/gynecologists who were randomly assigned formal attire, casual attire, or scrubs found high satisfaction with physicians regardless of the group allocation. Similarly, in a before-and-after trial, emergency department (ED) physicians were asked to wear formal attire with a white coat one week followed by scrubs the subsequent week. Using a visual analog scale, patients rated their physician's appearance, professionalism, and satisfaction equally regardless of the week of observation. Another ED study found no difference in

| Lead author, year (country) | Methodology | Findings |
|----------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Ardolino, 2009 (UK)¹ | Survey ($n=100$); Pts Re: Preference for MD attire before/after awareness of BBE policy | Before BBE policy: prefer suit more than WC but WC preferred for junior MD, scrubs not preferred as unprofessional and difficult to distinguish MDs After BBE policy: prefer short-sleeve shirt without tie (older Pts); prefer scrubs (younger Pts) |
| Ваеvsky, 1998 (US) ² | Survey ($n = 596$): Urgent care Pts seen by MD in WC and on alternating days, scrubs vs formal attire Re: Satisfaction for courtesy, concern, skill, and likelihood Pt would return/recommend ED | No difference in satisfaction elements for scrubs vs formal attire WC ranked higher when MD broke protocol and did not wear, although when stratified by scrubs vs formal, higher mean ranks for WC noted only when MDs wore scrubs Attitude, mannerism, and professionalism likely more important than attire |
| Bond, 2010 (UK)³ | Survey ($n = 160$): ENT InPts, OutPts Re: Attitudes toward MD attire with photos of male MD in scrubs, formal, and BBE | Most professional: formal 72%, scrubs 23%, BBE 5% Most hygienic: formal 10%, scrubs 87%, BBE 3% Ease to identify as MD: formal 59%, scrubs 35%, BBE 6% Overall preference: formal 48%, scrubs 41%, BBE 11% |
| Cha, 2004 (US)⁴ | Survey ($n=184$): OutPts in predominantly resident-run OB/GYN clinic Re: Preference for MD attire and confidence and comfort with photos of MD in various attire | Attire preference: no preference 60%, WC 38% Pt comfort level: attire does not affect 63% vs does affect 28% Confidence level in MD: attire does not affect 62% vs does affect 24% Mean scores for comfort and confidence levels decreased as attire moved from chinical/formal (scrubs/WC) to casual |
| Ditchburne, 2006 (UK) ⁵ | Survey ($n = 100$): Public in hospital concourse Re: Attitudes to MD not wearing ties | 93% did not object to tieless MD, but for staff more likely considered as professionalism factor Most important: wearing shirt and dress trousers (vs denim), being clean, tidy, formal, wearing clear identifications |
| Fischer, 2007 (US)° | Survey (n = 1,136): Pts and OB/GYN MD before/after randomization of MD attire type Re: Pt satisfaction with MD attire; MDs asked for their preference | Pt satisfaction overall was high and did not change with different MD attire No difference for perceived MD competency and professionalism MD preference: 8 casual, 7 business, 5 scrubs |
| Gallagher, 2008 (Ireland) ⁷ | Survey ($n = 124$): OutPts Re: Preference and ranking of MD attire with photos (formal, casual, WC, scrubs) | Prefer WC, formal, and semiformal vs scrubs and casual WC most preferred; scrubs and casual least preferred |
| Gherardi, 2009 (UK)* | Survey ($n=511$): InPts Re: Rated photos of MD in various attire to inspire confidence | WC ranked highest and most confidence inspiring All dress styles rated above neutral except casual (rated lower) Older Pts found scrubs less appealing |
| Gonzalez del Rey, 1995 (US)° | Survey ($n = 360$): Parents of pediatric ED Pts shown pictures of MD dressed in various types of attire Re: Which doctor would they prefer for their child, does attire matter, do clothes affect trust in MD? | Most preferred attire: formal 4 4% (6 <.001) selected for all shifts but less likely selected for Pt seen by night shift Least preferred attire: casual without WC 64% (6 <.001) Overall, 69% of "most-liked" pictures had WC, and 89% of "least-liked" pictures did not have WC Pictures with scrubs favored by parents with children seen for surgical emergencies |

| Hennessy, 1993 (UK) ¹⁰ | Survey ($n=110$): 2 groups of pre-op Pts, seen by same anesthetist, dressed formal vs casual Re: Select adjectives to describe anesthetist/visit, graded 15 dress items as desirable, neutral, or undesirable | No difference between adjective choices (professionalism, approachability) of anesthetist in formal vs casual dress Desirability: name tag (90%), WC (66%), polished shoes (62%), short hair (57%), suit (36%) (suit and tie selected more likely desirable if viewed) Undesirability: clogs (84%), jenss (70%), trainers (67%), earrings (64%), long hair |
|---------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Hueston, 2011 (US) ¹¹ | Survey ($n=423$): OutPts Re: Preference for MD attire before/after being informed of possible microbial contamination | (62%), open-necked shirt (36%) Before education: no clear attire preference but did not favor scrubs (6%), poor agreement with Pt preference and what their MD wears After education: decrease preference for WC/tie/formal attire |
| Ikusaka, 1999 (Japan) ¹² | Survey: OutPts seen by groups of MD in WC or private clothes Re: Pt tension/satisfaction, preference for MD attire | Conclusion: atture preterences may change with awareness for contamination. Tension: WC group 42%, private clothes group 33% Satisfaction: no significant difference between attire groups WC preference: WC group (older Pts more likely to prefer WC) 71%, private |
| Li, 2005 (US) ¹³ Major, 2005 (US) ¹⁴ | Before/after trial $(n = 111)$ of Pt opinion in ED Re: ED MDs wore WC/formal vs scrubs Survey $(n = 410)$: InPts, surgeons, and public | Library Broup 5570 (F < 2001) No significant difference in scores between 2 dress styles in appearance, satisfaction, or professionalism WC necessary: surgeons 72%, InPts 69%, public 42% |
| Matsui, 1998 (Canada) ¹⁵ | Re: Surgeons' attire Survey ($n = 220$): OutPt pediatric children/parents Re: Asked who they would like as their MD from photos of | Scrubs appropriate: surgeons 73%, InPts 41%, public 33% ($P < .05$) Clogs appropriate: surgeons 63%, InPts 27%, public 18% ($P < .05$) Denim appropriate: surgeons 10%, InPts 22%, public 31% Selected MD in WC: children 69%, parents 66% Most appropriate and favored: name tag, WC, well groomed |
| McKinstry, 1991 (UK) ¹⁶ | appropriateness Survey ($n = 475$): OutPts in 5 practices Re: Pt acceptability for different styles of attire (photos of male and female MDs) for different attire and whether attire influenced their respect for MD | |
| Mistry, 2009 (UK) ¹⁷ | Survey ($n=200$): Pediatric dental parents/children Re: Attitudes on MD attire using photos | Practice to which a Pt belonged was an independent factor in Pt choice of dress WC and mask most popular overall but children favor casual attire Formal WC preferred over pediatric coat by parents and children |
| Monkhouse, 2008 (UK) ¹⁸ | Survey ($n = 50$): Surgical Pts random survey (ER and elective admits) Re: Attitudes toward dress (formal vs scrubs) before/after educational intervention on transmission of microorganisms on ties | Mask preterred over visor (eye contact potentiany important) Before education: prefer formal for professionalism and approachability; prefer scrubs for hygiene, equal for identifiability; prefer formal dress overall After education: prefer scrubs (24% before to 62% after); formal preference decreased (52% before to 22% after) Authors, conclusions: if rationale behind modes of surgical dress are explained. Pts |
| Nair, 2002 (Australia) ¹⁹ | Survey (n = 1,680): InPts after discharge with crossover trial of MDs in varying attire Re: Pt confidence/trust in MD in informal vs "respectable" attire | are more likely to prefer scrubs to formal clothes Pt confidence highest with "respectable" dress Loss of WC or tie did not deteriorate confidence significantly Informal dress protocol "affront to sensitivities" and presence of nose ring most deleterious |

| TABLE 1 (Continued) | | |
|---------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Lead author, year (country) | Methodology | Findings |
| Niederhauser, 2009 (US) ²⁰ | Survey (n = 328): Pts at naval OB/GYN clinic Re: Preference for MD attire and effect on comfort or confidence using pictures | 86% neutral whether MD wore a WC 88% said attire did not impact confidence in MD ability Active-duty women were more likely than dependent wives to say MD attire influenced their comfort discussing general/sexual/psychological/personal topics Authors conclude active-duty women may withhold pertinent medical information (eg. personal, sexual history) due to intimidation from military uniform of officer MD |
| Palazzo, 2010 (UK) ²¹ | Survey ($n = 75$): InPts Re: Attitudes of MD attire Randomly chosen medical/surgical InPts rated 6 statements | Aspect of military uniform unique to this study "MD dress important"—strongly agree (reason: dress code instills confidence) "Your MD this admission dressed professionally"—strongly agree "Scrubs are acceptable form of dress"—strongly agree (reason: appears clean) |
| | (modal responses provided) and provided reasons for importance of MD dress code; opinions solicited after education of new dress code policy | "MD should wear WC"—strongly disagree (reason: sleeves might encourage infection spread, might induce fear and anxiety in Pts) "MD should wear ties"—strongly disagree (reason: unnecessary, uncomfortable) "Is it easy to distinguish between different grades of doctor based on their dress?"—strongly disagree (hard to differentiate MD vs the public) No Pts noticed dress code change prior to being informed of the change explained. The favored dress code change when the suggested impact on infection was explained. |
| Rehman, 2005 (US) ²² | Survey ($n = 400$): Pts/visitors in OutPt clinic Re: Preference, trust, willing to discuss sensitive issues with photos of MDs in various attire | Preferences: ML autre unportain but neckue and WC not expected acrossional attire with WC 76%, scrubs 10%, business dress 9%, casual 5% Trust and willing to share sensitive information significantly associated with professional attire (P < .001) |
| Shelton, 2010 (UK) ²³ | Survey ($n=100$): InPts Re: Rate MD attire with photos of male and female MDs before/after being informed of microbial contamination | Female MIU dress significantly more important than male MIU Before information: no significant difference between most attire except casual dress and short sleeves (considered less appropriate) After information: scrubs and short sleeves considered most appropriate, scrubs |
| Baxter, 2010 (UK) ²⁴ | Survey ($n = 480$): InPts Re: Attitudes toward MD attire using photos of male MDs in long sleaves the scarnes short sleaves | preferred for females Most professional: long sleeves/tie 77%, scrubs 22%, BBE 1% Greatest transmission risk: long sleeves/tie 30%, scrubs 33%, BBE 37% Preference for MD attire: long sleeves/tie 63%, scrubs 33%, BBE 4% |
| Toquero, 2011 (UK) ²⁵ | Survey $(n = NA)$: orthopedic InPts Re: Awareness/preference for recent BBE policy | Unaware of policy: 86% Attire preference: shirt/tie 63%, suits 22%, short sleeve shirt 6%, Pt trust high |
| Garvin, 2012 (US) ²⁶ | Survey ($n = 1,494$): InPts, MDs, RNs Re: Attitudes toward MD attire | Concerned with appearance of other provider but did not engage them: MDs 39%, RNs 43%, Pts 16% ($P < .001$) Concerned with appearance of other provider but did not engage them: MDs 39%, RNs 43%, Pts 16% ($P < .001$) Concerned with appearance of other provider but did not engage them: MDs 39%, RNs 43%, Pts 16% ($P < .001$) |

NOTE. BBE, bare below elbows; ED, emergency department; ENT, ear, nose, and throat; InPt, inpatient; MD, physician; NA, not provided; OB/GYN, obstetrics/gynecology; OutPt, outpatient; Pt, patient; RN, nurse; WC, white coat.

SHEA EXPERT GUIDANCE: HEALTHCARE PERSONNEL ATTIRE 113

patients' satisfaction with the care provided when their physicians wore white coats combined with either scrubs or formal attire.2 Similarly, 2 groups of patients who received preoperative care by the same anesthesiologist wearing either formal attire for one group of patients or casual attire for the other found no differences in patient satisfaction between the groups.10 In contrast, one crossover trial involving physicians dressed in "respectable" or formal versus "retro" or casual attire found that patient confidence and trust were higher with the respectabledress protocol.19 Another study evaluating the attire of patients' treating physicians indicated preference for polished shoes and short hair for men, with jeans, clogs, trainers, and earrings on men being rated as undesirable.10 A survey among Japanese outpatients indicated a preference for white coats but no significant difference in satisfaction levels based on attire when presented with physicians wearing white coats or "noninstitutional clothes."12

USCA Case #18-1125

- B. BBE: Preference for BBE was assessed in 6 studies originating in the United Kingdom following implementation of the nationwide BBE policy1,3,23-25 and in 1 US study.11 In these 7 reports, patients did not prefer short sleeves. After informing patients of the BBE policy, older patients were more likely to prefer short-sleeved shirts without ties, while younger patients favored scrubs.1 After providing information about the potential for cross-contamination from shirt sleeve cuffs and neckties, responses changed from a preference for formal or long-sleeved attire to a preference for short sleeves or scrubs. 11,18,23 In addition, Shelton et al²³ also found an association between physician gender and BBE attire: after a statement informing the participants of the potential cross-transmission of microorganisms by attire, patients preferred scrubs for female physicians but did not differentiate between scrubs and short-sleeved shirts for male physicians.
- C. Ties: Neckties were specifically addressed in several studies from the United Kingdom. 5,21,24 In one study, patients reported that attire was important but that neckties were not expected. 21 Similarly, in a survey among individuals in the public concourse of a hospital, 93% had no objection to male physicians not wearing ties. 5 None of these studies evaluated neckties in the context of patients' perceptions of infection prevention.
- D. Laundering of clothes: In one study, patients identified "daily laundered clothing" as the single most important aspect of physicians' appearance.⁸
- E. Other factors: Several additional variables may influence patient preference for physician attire, including age of either the patient or the managing physician, gender of the practitioner, time of day, setting, and the attire patients are accustomed to seeing. In Japan, older patients were more likely to prefer white coats. ¹² Similarly, older patients in England found scrubs less appealing than did younger patients. ⁸ Pediatric dental patients were more likely than

their parents to favor casual attire.17 Patients preferred formal attire for senior consultants but thought that junior physicians should be less formal.1 Patients identified female physicians' attire as more important than the attire worn by male physicians.22 Formal attire was less desirable by patients seen during the night shift.9 Parents of children being seen in the ED favored surgical scrubs. Additionally, 2 trials evaluated attire preference on the basis of what patients often see their HCP wearing. In one trial, patients accustomed to seeing their anesthesiologist in a suit were more likely to find suits and ties desirable.10 Similarly, the practice to which a patient belonged was found to be an independent factor in the patient's choice of preferred attire;16 however, another study found poor agreement between patient preferences and their physicians' typical attire.11

In summary, patients express preferences for certain types of attire, with most studies indicating a predilection for formal attire, including a white coat, but these partialities had a limited overall impact on patient satisfaction and confidence in practitioners. This is particularly true in trials that evaluated the effect of attire on patient satisfaction in real-world settings. Patients generally do not perceive white coats, formal attire, or neckties as posing infection risks; however, when informed of potential risks associated with certain types of attire, patients appear willing to change their preferences for physician attire. ^{11,18}

II. HCP Perceptions regarding Attire

Few studies evaluated HCP preferences with regard to attire. 5,6,14,26 While most studies addressed specific elements of HCP attire, one looked at the overall importance of attire and found that 93% of physicians and nurses versus 83% of patients thought that physician appearance was important for patient care (P < .001). 26

- A. White coats: In a survey exploring perceptions of surgeons' apparel performed among surgeons themselves, inpatients, and the nonhospitalized public, all 3 groups were equally likely to consider a white coat necessary and blue jeans inappropriate. Surgeons were more prone to consider scrubs and clogs appropriate. In another survey of 15 obstetricians/gynecologists, 8 preferred casual attire, while 7 preferred formal attire. Three studies assessed HCP alongside patient perception of infection risk or lack of hygiene associated with white coats, formal attire, or neckties, 3,24,26 with one finding that HCP were more likely than patients to consider white coats unhygienic. 26
- B. Ties: In a survey performed in a public concourse of a UK hospital, HCP were more likely than non-HCP to prefer physicians' wearing of neckties for reasons of professionalism.⁵
- C. Laundering of clothes: A recent survey showed that nonsurgical providers preferentially (and without prompting)

Page 184 of 208

laundered their scrubs every 1.7 ± 0.1 days (mean ± standard error) compared with white coats, which were laundered every 12.4 \pm 1.1 days (P < .001); however, the reasons for this divergent behavior remain unclear.27

III. Studies of Microbial Contamination of Apparel in Clinical and Laboratory Settings

No clinical studies have demonstrated cross-transmission of healthcare-associated pathogens from a HCP to a patient via apparel; however, a number of small prospective trials have demonstrated the contamination of HCP apparel with a variety of pathogens (Table 2).5,28-37

A. White coats/uniforms: The 5 studies we evaluated indicate that physician white coats and nursing uniforms may serve as potential sources of colonization and cross-transmission. Several studies described contamination of apparel with Staphylococcus aureus in the range of 5% to 29%. 30,33-35,38 Although gram-negative bacilli have also been identified, these were for the most part of low pathogenicity; 30,35 however, actual pathogens, such as Acinetobacter species, Enterobacteriaceae, and Pseudomonas species, have been reported.38

A number of factors were found to influence the magnitude of contamination of white coats and uniforms. First, the degree of contamination was correlated with more frequent usage of the coat,35 recent work in the inpatient setting,34 and sampling certain parts of the uniform. Higher bacterial loads were found on areas of clothing that were more likely to come into contact with the patient, such as the sleeve.35 Additionally, the burden of resistant pathogens on apparel was inversely correlated with the frequency of lab coat change.38 Apparel contamination with pathogenic microorganisms increased over the course of a single patient care shift. Burden et al²⁸ demonstrated that clean uniforms become contaminated within only a few hours of donning them. Similarly, a study testing nurses' uniforms at both the beginning and the end of their shifts described an increase in the number of uniforms contaminated with one or more microorganisms from 39% to 54%, respectively. The proportion of uniforms contaminated with vancomycin-resistant enterococci (VRE), methicillin-resistant S. aureus (MRSA), and Clostridium difficile was also noted to increase with shift work.33

In the first report of a positive correlation between contamination of hands and contamination of white coats, Munoz-Price et al³⁹ cultured the hands, scrubs, and white coats of intensive care unit staff. The majority of bacteria isolated from hands were skin commensals, but HCP were also found to have contamination of hands, scrubs, and white coats with potentially pathogenic bacteria, including S. aureus, Enterococcus species, and Acinetobacter baumannii. Among dominant hands, 17% of 119 hands were

- contaminated with one of these species, and staff members with contaminated hands were more likely to wear a white coat contaminated with the same pathogen. This association was not observed with scrubs.
- B. BBE: Two observational trials evaluated the bacterial contamination of HCP's hands on the basis of BBE attire versus controls, finding no difference in total bacterial counts or in the number of clinically significant pathogens. 40,41 In contrast, Farrington et al,42 using a fluorescent method, examined the efficacy of an alcohol hand wash among BBE providers versus controls. The authors found decreased efficacy of hand hygiene at the wrist level in the non-BBE group, suggesting that the BBE approach may improve wrist disinfection during hand washing.

The United Kingdom has adopted a BBE approach, on the basis of the theory that it will limit patient contact with contaminated HCP apparel and to promote better hand and wrist hygiene. However, a randomized trial comparing bacterial contamination of white coats against BBE found no difference in total bacterial or MRSA counts (on either the apparel itself or from the volar surface of the wrist) at the end of an 8-hour workday.28

- C. Scrubs: The use of antimicrobial-impregnated scrubs has been evaluated as a possible solution to uniform contamination. In a prospective, randomized crossover trial of 30 HCP in the intensive care unit setting,36 when compared with standard scrubs, antimicrobial-impregnated scrubs were associated with a 4-7 mean log reduction in surface MRSA burden, although there was no difference in MRSA load on HCP hands or in the number of VRE or gram-negative bacilli cultured from the scrubs. The study did not assess the HAI impact of the antimicrobial scrubs.
- D. Ties: Several studies indicated that neckties may be colonized with pathogenic bacteria, including S. aureus. Lopez et al31 reported a significantly higher bacterial burden on neckties than on the front shirt pocket of the same subject. In 3 studies, up to 32% of physician neckties grew S. aureus. 5,31,37 Steinlechner et al 37 identified additional potential pathogens and commensals from necktie cultures, including Bacillus species and gram-negative bacilli. Two reports found that up to 70% of physicians admitted having never cleaned their ties.5,31
- E. Laundering of clothes: Numerous articles published during the past 25 years describe the efficacy of laundering hospital linens and HCP clothing,44 but most investigations of the laundering of HCP attire have employed in vitro experimental designs that may or may not reflect real-life conditions. A 2006 study 45 demonstrated that while clothes lost their burden of S. aureus, they concomitantly acquired oxidase-positive gram-negative bacilli in the home washing machine. These bacteria were nearly eliminated by tumble drying or ironing. Similarly, investigators found that recently laundered clothing material acquired gram-negative bacteria from the washing ma-

SHEA EXPERT GUIDANCE: HEALTHCARE PERSONNEL ATTIRE 115

chine, which were subsequently eliminated by ironing. Another in vitro study in the United Kingdom compared the reduction of microorganisms on artificially inoculated nurses' uniform material after washing at various temperatures as well as with and without detergents. Washing uniforms contaminated with MRSA and *Acinetobacter* species at a temperature of 60°C, with or without detergent, achieved at least a 7-log reduction in the bacterial burden of both microorganisms.⁴⁶ There is no robust evidence that centralized industrial laundering decontaminates clothing more effectively than home laundering.⁴³

F. Footwear: Although restrictions on HCP footwear are influenced by a desire to meet patients' preferences for appropriate attire, ^{10,14,15} most are driven by concerns for HCP safety. ⁴⁷⁻⁵⁰ Studies have found that wearing of shoes with closed toes, low heels, and nonskid soles can decrease the risk of exposure to blood or other potentially infectious material, ^{47,48,50,51} sharps injuries, ^{48,50,52} slipping, ⁵⁰ and musculoskeletal disorders. ⁴⁹

Casual, open footwear, such as sandals, clogs, and foam clogs, potentially expose feet to injury from dropped contaminated sharps and exposure to chemicals in healthcare facilities. A comparison of needlestick injury surveillance data from the standardized Exposure Prevention Information Network program revealed a higher proportion of hollow-bore needle injuries to the feet of Japanese HCP, with 1.5% of 16,154 total injuries compared with 0.6% of 9,457 total injuries for US HCP (2.5 times higher; P < .001). Although multiple factors were linked to these injuries, one included the common practice in Japan to remove outdoor shoes and replace them with open-toed slippers on hospital entry.

Footwear is an area of increased concern in the OR. The Association of periOperative Registered Nurses (AORN) recommends that OR footwear have closed toes as well as backs, low heels, and nonskid soles to prevent slipping.50 The US Occupational Safety and Health Administration (OSHA) requires the use of protective shoes in areas where there is a danger of foot injuries from falling objects or objects piercing the soles.⁴⁷ One study that measured the resistance of shoes to penetration by scalpels showed that of the 15 pairs of shoes studied, only 6 were made of material that was sharp resistant, including sneaker suede, suede with inner mesh lining, leather with inner canvas lining, nonpliable leather, rubber with inner leather lining, and thicker rubber.52 The OSHA bloodborne pathogens standard mandates that employers determine the workplace settings in which gross contamination with blood or body fluids is expected, such as the OR, and to provide protective shoe coverings in those settings. 47,48,50,51 Shoe covers are not meant to prevent transmission of bacteria from the OR floor; in fact, preliminary data show that the OR floor may play a dynamic role in the horizontal transmission of bacteria due to frequent floor contact of objects that then directly touch the patient's body (eg,

intravenous tubing, electrocardiogram leads).53

When HCP safety concerns or patient preference conflict with a HCP's desire for fashion, a facility's dress code can be the arbiter of footwear. OSHA allows employers to make such dress code determinations without regard to a worker's potential exposure to blood, other potentially infectious materials, or other recognized hazards.

IV. Outbreaks Linked to HCP Apparel

Wright et al⁵⁴ reported an outbreak of *Gordonia* potentially linked to HCP apparel. In this report, postoperative sternal wound infections with *Gordonia bronchialis* in 3 patients were linked to a nurse anesthetist. *Gordonia* was isolated from the HCP's scrubs, axillae, hands, and purse and from multiple sites on the HCP's roommate.

V. Studies from Developing Countries

In Nigeria, factors identified increasing the likelihood of bacterial contamination of white coats included daily laundering and use limited to patient care rather than nonclinical duties.⁵⁵ In India,⁵⁶ medical students' white coats were assessed for bacterial contamination, paired with surveys about laundering habits and attitudes toward white coats. Coats were contaminated most frequently with *S. aureus*, followed by *Pseudomonas* species and coagulase-negative staphylococci. A similar trial of white coats used by staff in a rural dental clinic also revealed predominantly gram-positive contamination.⁵⁷

VI. Hospital Policies Addressing HCP Attire

We reviewed and compared policies related to HCP attire from 7 large teaching hospitals or health systems. In general, policies could be categorized into 2 groups:

- A. General appearance and dress of all employees
- Standards for HCP working in sterile or procedure-based environments (OR, central processing, procedure areas, etc)

Policies were evaluated for the following elements:

- A. Recommended clothing (eg, requirement for white coats, designated uniforms) or other options (eg, BBE)
- B. Guidance regarding scrubs
- C. Use of name tags
- D. Wearing of ties
- E. Requirements for laundering or change of clothing
- F. Footwear and nonapparel items worn or carried by HCP
- G. Personal protective equipment

All institutions' human resources policies outlined general appearance or dress code requirements for professional standards of business attire; however, institutions varied in job-specific policies and for the most part did not address more specific attire requirements except for OR-related activities. Few institutional policies included enforcement provisions. The institutions that required accountability varied from de-

| Lead author, year | Methodology | Findings |
|--------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Bearman, 2012 ³⁶ | Prospective crossover trial of HCWs in ICU ($n=30$) Randomized to antimicrobial vs control scrubs Samples obtained from scrub abdominal area, pocket, and hands weekly | HCW scrubs colonized during course of Pt care with MRSA Antimicrobial scrubs associated with a 4–7 mean log reduction in MRSA but not VRE or GNR No differences in bacterial hand burden or in HCWs with unique positive scrub |
| Burden, 2011 ²⁸ | Randomized trial comparing contamination on regular (dirty) WC vs short-sleeved UK-style MD uniform laundered daily | No tata reported on cross-transmission to Pis No significant difference in bacterial burden between dirty WCs and recently washed uniforms; clean uniforms contaminated within few hours of donning No information on frequency WCs were washed or hand hygiene rates Structure of property of property of the property of |
| Burger, 2011 ⁴⁰ | Prospective observational study $(n=66)$ MDs from multiple specialties (38 BBE, 28 were not) volunteered without notice during normal work day Agar imprints of fingers, palms, wrists, and forearms, repeated after hand hygiene; imprints of cuffs of those not BBE | No significant difference in bacterial counts (many skin commensals, no MRSA) between groups Some MDs had higher counts after HH Large variation in number of colonies cultured Authors conclude "no difference in density or type of baseline flora on hands and forearms irrespective of dress code" |
| Ditchburne, 2006 ⁵ | MD ties cultured ($n = 40$) | HH reduced colony counts from fingertips, palms, and wrists in all groups MD ties capable of carrying bacteria, including MRSA: 40% of ties grew MSSA (1 with MRSA) 70% had never laundered tie 93% had no objection to not wearing ties Authors suggest substitute other attire for ties to preserve professional image |
| Farrington, 2009 ⁴² | BBE vs non-BBE randomized trial of MD ($n=58$) and medical students ($n=61$) at a 900-bed teaching hospital Participants cleaned hands using alcohol, with areas fluorescing by UV light considered "missed" and recorded on a standard hand diagram | No significant difference found between 2 groups in percentage area of hands missed. The non-BBE group missed more wrist vs BBE group ($P < .002$) Mean percent area missed on wrists significantly higher than hands in both groups ($P < .001$) Strengths: high participation rate without dropouts, single investigator created hand diagrams Weaknesses: Hawthorne effect Author conclusions: BBE did not affect quality of HH, and although BBE improved |
| Gaspard, 200829 | Descriptive study of staff clothing in 3 LTCFs Uniforms ($n = 256$) from 90 RNs, 166 care partners sampled from waist zone pocket and between pockets | wrist washing, the clinical significance is uncertain HCW apparel frequently contaminated with MRSA 27%-80% MRSA recovery from "waist zone"; 18%-60% MRSA recovery from "pocket zone" Authors stressed HH to limit cross-transmission from apparel to Pts via HCW hands No data reported on cross-transmission to Pts |

| | ÷. | |
|----------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Jacob, 2007 ⁴³ | Department of Health Working Group on Uniforms and Laundry: evidence-based document on wearing and laundering uniforms from 2 literature reviews (Thames Valley University and University College London Hospital NHS Trust) Examined role of uniforms in infection transfer, efficacy of laundry practices in removing contamination, how uniforms affect image of individual and organizations | No conclusive evidence that uniforms pose a significant hazard to spread infection Public does not like seeing hospital staff in uniform outside workplace All components of properly designed and operated laundering help to remove/kill microorganisms on fabric Ten-minute wash at 60°C sufficient to remove most microorganisms Detergents can remove many microorganisms from fabrics at lower temperature (eg. MRSA removed at 30°C) |
| æ | | No conclusive evidence for difference between commercial or domestic laundering to remove microorganisms Authors provide list of good (and poor) practice examples with reasons: • Good practice example: "Dress in a manner which is likely to inspire public confidence" |
| Loh, 2000³° | Random sample $(n = 100)$ Cultured medical students' WCs | MSSA recovered from back, pocket, and sleeves Students report occasional or infrequent WC laundering Authors suggest hospitals provide laundered WCs for students No data reported on cross-transmission to Pts |
| Lopez, 2009 ³¹ | Sampled shirts/ties from internists/surgeons ($n=25/25$) for paired bacterial counts | 16 participants had never cleaned their tie; 20 participants could not remember when tie last cleaned Bacterial counts from ties significantly higher than those paired from shirts Significant fraction of physicians (16) had Staphylococcus aureus isolated from clothes Apparel infrequently laundered (ties) associated with higher bacterial burden No data reported on cross-transmission to Pts |
| Morgan, 2012 ³² | Cohort study of sequential HCW interaction with Pts with culture of gowns/hands linked to environmental cultures | |
| Репу, 2001 ³³ | Cross-sectional sample $(n = 57)$ Bacterial contamination across 5 services Sampled belt area—hem at start vs end of shift | MRSA, VRE, and Clostridium difficile recovered Bacterial contamination of hospital-supplied apparel present at start of shift and increased by end of shift: Start shift: 39% of uniforms positive with ≥1 microorganism • End shift: 54% of uniforms positive with ≥1 microorganism All uniforms laundered at home No data reported on cross-transmission to Pts |
| Scott, 1990 ⁵⁸ | In vitro experiment: bacterial transfer from laminate surfaces and cloths to hands | Contaminated inanimate surfaces (eg, laminates, textiles) associated with bacterial transfer to fingers: Escherichia coli, Salmonella species, MSSA |
| Steinlechner, 2002³7 | Cohort of orthopedic surgeons $(n = 26)$ Sampled ties for bacterial growth | Ties of orthopedic surgeons heavily colonized with pathogens 295 bacterial isolates: 45% were Bacillus cereus, CNS, GNRs, S. aureus No data reported on cross-transmission to Pts |

No significant difference in either CFU or pathogens in BBE vs no BBE

No MDRO cultured from MD hands

Agar imprints of MD hands from multiple specialties during nor-

| Lead author, year | Methodology | Hindings |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|
| | 3 | carrant |
| Treakle, 2009^{34} | Cross-sectional study | 34 (23%) WCs grew S. aurens: 6 (18%) were MRSA |
| | Attendees $(n = 149)$ of medical and surgical grand rounds at a | No VRE recovered |
| | large teaching hospital | Large fraction of HCP WCs contaminated with S. auteus including MRSA |
| | Sampled WCs for growth | WCs may be vectors of S. aureus transmission |
| 12 th | | No data reported on cross-transmission to Pts |
| wiener-well, 2011 | Cross-sectional convenience sample of MDs/RNs ($n = 135$) with | Cross-sectional convenience sample of MDs/RNs ($n = 135$) with Nearly all HCW clothing heavily contaminated with skin flora, 63% with potential |
| | survey and cultures of uniforms/WC | pathogens (Acinetobacter species, S. aureus, Enterobacteriaceae) |
| 190200 | | No data reported on cross-transmission to Pts |
| willis-Owen, 2010" | Prospective, cross-sectional, observational study $(n = 92)$ | No significant difference in either CFU or pathogens in BBE vs no BBF |

TABLE 2 (Continued)

| Wilson, 2007 ⁵⁹ Wong, 1991 ³⁵ | mal work day (49 BBE, 43 not) No. of CFU graded light (<10), moderate (10–20), or heavy (>20) with presence of pathogens recorded Systematic review of published literature Cross-sectional survey Bacterial contamination of WCs in a British hospital | mal work day (49 BBE, 43 not) No. of CFU graded light (<10), moderate (10–20), or heavy (>20) Study does not identify group for the 50% of MDs who wore uniforms with antibacterial contamination No. of CFU graded light (<10), moderate (10–20), or heavy (>20) Study does not identify group for the 50% of MDs who wore uniforms with antibacterial properties Authors concluded that BBE per se does not have impact on degree of contamination on MD hands and BBE initiative should not divert from other important measures, such as hand hygiene, appropriate Pt: RN ratios HCW uniforms and WCs can become progressively contaminated with bacteria of low pathogenicity (from HCWs) and mixed pathogenicity (from environment) Data do not support role of apparel as vehicles for cross-transmission 25% MSSA contamination of WCs in a British hospital Degree of contamination associated with increased frequency of WC usage No data reported on cross-transmission to Pts |
|--------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Wright, 20125* | Outbreak report Cluster of 3 Pts with deep sternal wound infections due to Gordonia species | Same species in RN anesthetist, her clothing, her roommate, and her roommate's clothing; home laundering of scrubs implicated (but not confirmed) as origin of staff clothing colonization |
| | | Reminder that home laundering scrubs can be problematic |

BBE, bare below elbows; CNS, coagulase-negative staphylococci; GNR, gram-negative rod; HAI, healthcare-associated infection; HCP, healthcare personnel; HCW, healthcare worker; HH, hand hygiene; ICU, intensive care unit; InPt, inpatient; ITCF, long-term care facility; MD, physician; MDR, multidrug resistant; MDRO, multidrug-resistant organism; MRSA, methicillin-resistant Staphylococcus aureus; MSSA, methicillin-susceptible S. aureus; NHS, National Health Service; OutPt, outpatient; PFGE, pulsed-field gel electrophoresis; Pt, patient; RN, nurse; VRE, vancomycin-resistant enterococci; WC, white coat. NOTE.

Filed: 11/05/2018

SHEA EXPERT GUIDANCE: HEALTHCARE PERSONNEL ATTIRE 119

tailing the supervisor's administrative responsibilities to more specific consequences for employee noncompliance.

Three institutions recommended clothing (such as color-coded attire) for specific types of caregivers (eg, nurses, nurses' assistants, etc). Policies specific to clinical personnel were most frequently related to surgical attire, including scrubs, use of masks, head covers, and footwear in restricted and semirestricted areas and surgical suites, and to central processing, as consistent with AORN standards. Scrubs were universally provided by the hospital in these settings. Laundering policies clearly indicated that laundering of hospital-provided scrubs was to be performed by the hospital or at a hospital-accredited facility. Use of masks, head covers, footwear, and jewelry were generally consistent with AORN standards.

Excluding surgical attire, only one institution provided guidance specific to physicians, outlining a recommendation for BBE attire during patient care. This policy specified not to use white coats, neckties, long sleeves, wristwatches, or bracelets. Institutional policies also varied in recommendations for laundering and change of clothing other than for surgical attire. No specific guidance was issued for other uniforms, other than cleanliness and absence of visible soiling; however, one institution referred to infection control specifications for maintenance of clothing. Guidance regarding frequency of clothing change was variable for scrubs, from nonspecific requirements (eg, wearing freshly laundered surgical attire on entry to restricted/semirestricted areas) to specific requirements (clean scrubs once per shift to once daily and if visibly soiled). In addition, most policies included instructions for HCP to remove scrubs and change into street clothes either at the end of the shift or when leaving the hospital or connected buildings.

VII. Survey Results

A total of 337 SHEA members and members of the SHEA Research Network (21.7% response of 1,550 members) responded to the survey regarding their institutions' policies for HCP attire. The majority of respondents worked at hospitals (91%); additional facilities included freestanding children's hospitals (4%), freestanding clinics (1%), and other facility types (5%), such as long-term acute care hospitals, multihospital systems, short-term nursing facilities, and rehabilitation hospitals (rounding of numbers accounts for the sum of percentages being greater than 100). The majority of responses were from either university/teaching hospitals (39%) or university/teaching-affiliated hospitals (28%). We received additional responses from nonteaching hospitals (24%), Veterans Affairs hospitals (3%), specialty hospitals (2%), and miscellaneous facilities (4%).

Enforcement of HCP attire policies was low at 11%. A majority of respondents (65%) felt that the role of HCP attire in the transmission of pathogens within the healthcare setting was very important or somewhat important.

Only 12% of facilities encouraged short sleeves, and 7% enforced or monitored this policy. Pertaining to white coats, only 5% discouraged their use and, of those that did, 13% enforced or monitored this policy. For watches and jewelry, 20% of facilities had a policy encouraging their removal. A majority of respondents (61%) stated that their facility did not have policies regarding scrubs, scrub-like uniforms, or white coats in nonclinical areas. Thirty-one percent responded that their hospital policy stated that scrubs must be removed before leaving the hospital, while 13% stated that scrubs should not be worn in nonclinical areas. Neckties were discouraged in 8% of facilities, but none monitored or enforced this policy.

Although 43% of respondents stated that their hospitals issued scrubs or uniforms, only 36% of facilities actually laundered scrubs or uniforms. A small number of hospitals provided any type of guidance on home laundering: 13% provided specific policies regarding home laundering, while 38% did not.

In contrast to other items of HCP attire, half of facilities required specific types of footwear, and 63% enforced and/ or monitored this policy.

DISCUSSION

Overall, patients express preferences for certain types of attire, with most surveys indicating a preference for formal attire, including a preference for a white coat. However, patient comfort, satisfaction, trust, and confidence in their physicians is unlikely to be affected by the practitioner's attire choice. The ability to identify a HCP was consistently reported as one of the most important attributes of HCP attire in studies. This was particularly true in studies that evaluated the effect of attire of actual physicians on patient satisfaction in a real-world setting rather than those assessing the influence of physician attire on patient satisfaction in the abstract. Patients generally did not perceive white coats, formal attire, or ties as posing infection risks; however, when informed of potential risks associated with certain types of attire, patients were willing to change their preferences for physician attire. 11,18

Data from convenience-sample surveys and prospective studies confirm that contamination occurs for all types of HCP apparel, including scrubs, neckties, and white coats, with pathogens such as *S. aureus*, MRSA, VRE, and gram-negative bacilli. HCP apparel can hypothetically serve as a vector for pathogen cross-transmission in healthcare settings; however, no clinical data yet exist to define the impact of HCP apparel on transmission. The benefit of institutional laundering of HCP scrubs versus home laundering for non-OR use remains unproven. A BBE approach is in effect in the United Kingdom for inpatient care; this strategy may enhance hand hygiene to the level of the wrist, but its impact on HAI rates remains unknown.

Hospital policies regarding HCP attire were generally consistent in their approach to surgical attire; however, general

Page 190 of 208

120 INFECTION CONTROL AND HOSPITAL EPIDEMIOLOGY FEBRUARY 2014, VOL. 35, NO. 2

dress code policies varied from guidance regarding formal attire to use of job-specific uniforms. Laundering and change of clothing was also not consistently addressed other than for surgical attire. Finally, accountability for compliance with the attire policies by HCP and supervisors was not routinely included in the policies.

AREAS FOR FUTURE RESEARCH

- I. Determine the role played by HCP attire in the horizontal transmission of nosocomial pathogens and its impact on the burden of HAIs.
- II. Evaluate the impact of antimicrobial fabrics on the bacterial burden of HCP attire, horizontal transmission of pathogens, and HAIs. Concomitantly, a cost-benefit analysis should be conducted to determine the financial merit of this approach.
- III. Establish the effect of a BBE policy on both the horizontal transmission of nosocomial pathogens and the incidence of HAIs.
- IV. Explore the behavioral determinants of laundering practices among HCP regarding different apparel and examine potential interventions to decrease barriers and improve compliance with laundering.
- V. Examine the impact of not wearing white coats on patients' and colleagues' perceptions of professionalism on the basis of HCP variables (eg, gender, age).
- VI. Evaluate the impact of compliance with hand hygiene and standard precautions on contamination of HCP apparel.

ACKNOWLEDGMENTS

Financial support. This study was supported in part by the SHEA Research Network.

Potential conflicts of interest. G.B. reports receiving grants from Pfizer, Cardinal Health, BioVigil, and Vestagen Technical Textiles. M.E.R. reports receiving research grants/contracts from 3M and having an advisory/consultant role with 3M, Ariste, Care Fusion, and Mölnlycke. All other authors report no conflicts of interest relevant to this article.

Address correspondence to Gonzalo Bearman MD, MPH, Virginia Commonwealth University, Internal Medicine, Richmond, VA 23298 (gbearman @mcvh-vcu.edu).

REFERENCES

- 1. Ardolino A, Williams LA, Crook TB, Taylor HP. Bare below the elbows: what do patients think? *J Hosp Infect* 2009;71:291–293.
- 2. Baevsky RH, Fisher AL, Smithline HA, Salzberg MR. The influence of physician attire on patient satisfaction. *Acad Emerg Med* 1998;5:82–84.
- 3. Bond L, Clamp PJ, Gray K, Van DV. Patients' perceptions of doctors' clothing: should we really be "bare below the elbow"? *J Laryngol Otol* 2010;124:963–966.
- 4. Cha A, Hecht BR, Nelson K, Hopkins MP. Resident physician

- attire: does it make a difference to our patients? Am J Obstet Gynecol 2004;190:1484-1488.
- Ditchburne I. Should doctors wear ties? J Hosp Infect 2006;63: 227–228.
- Fischer RL, Hansen CE, Hunter RL, Veloski JJ. Does physician attire influence patient satisfaction in an outpatient obstetrics and gynecology setting? Am J Obstet Gynecol 2007;196:186e.1– 186e.5.
- Gallagher J, Waldron LF, Stack J, Barragry J. Dress and address: patient preferences regarding doctor's style of dress and patient interaction. Ir Med J 2008;101:211–213.
- Gherardi G, Cameron J, West A, Crossley M. Are we dressed to impress? a descriptive survey assessing patients' preference of doctors' attire in the hospital setting. Clin Med 2009;9:519-524.
- Gonzalez del Rey JA, Paul RI. Preferences of parents for pediatric emergency physicians' attire. Pediatr Emerg Care 1995;11:361– 364.
- Hennessy N, Harrison DA, Aitkenhead AR. The effect of the anaesthetist's attire on patient attitudes: the influence of dress on patient perception of the anaesthetist's prestige. *Anaesthesia* 1993;48:219–222.
- Hueston WJ, Carek SM. Patients' preference for physician attire: a survey of patients in family medicine training practices. Fam Med 2011;43:643–647.
- Ikusaka M, Kamegai M, Sunaga T, et al. Patients' attitude toward consultations by a physician without a white coat in Japan. *Intern* Med 1999;38:533-536.
- 13. Li SF, Haber M. Patient attitudes toward emergency physician attire. *J Emerg Med* 2005;29:1–3.
- 14. Major K, Hayase Y, Balderrama D, Lefor AT. Attitudes regarding surgeons' attire. *Am J Surg* 2005;190:103–106.
- 15. Matsui D, Cho M, Rieder MJ. Physicians' attire as perceived by young children and their parents: the myth of the white coat syndrome. *Pediatr Emerg Care* 1998;14:198–201.
- McKinstry B, Wang JX. Putting on the style: what patients think of the way their doctor dresses. Br J Gen Pract 1991;41:270, 275– 278.
- 17. Mistry D, Tahmassebi JF. Children's and parents' attitudes towards dentists' attire. Eur Arch Paediatr Dent 2009;10:237–240.
- 18. Monkhouse SJ, Collis SA, Dunn JJ, Bunni J. Patients' attitudes to surgical dress: a descriptive study in a district general hospital. *J Hosp Infect* 2008;69:408–409.
- Nair BR, Attia JR, Mears SR, Hitchcock KI. Evidence-based physicians' dressing: a crossover trial. Med J Aust 2002;177:681– 682.
- 20. Niederhauser A, Turner MD, Chauhan SP, Magann EF, Morrison JC. Physician attire in the military setting: does it make a difference to our patients? *Mil Med* 2009;174:817–820.
- 21. Palazzo S, Hocken DB. Patients' perspectives on how doctors dress. *J Hosp Infect* 2010;74:30–34.
- 22. Rehman SU, Nietert PJ, Cope DW, Kilpatrick AO. What to wear today? effect of doctor's attire on the trust and confidence of patients. *Am J Med* 2005;118:1279–1286.
- Shelton CL, Raistrick C, Warburton K, Siddiqui KH. Can changes in clinical attire reduce likelihood of cross-infection without jeopardising the doctor-patient relationship? J Hosp Infect 2010;74:22–29.
- Baxter JA, Dale O, Morritt A, Pollock JC. Bare below the elbows: professionalism vs infection risk. Bull R Coll Surg Engl 2010;92: 248–251.

SHEA EXPERT GUIDANCE: HEALTHCARE PERSONNEL ATTIRE 121

- 25. Toquero L, Abournarzouk O, Owers C, Chiang R, Thiagarajah S, Amin S. Bare below the elbows—the patient's perspective. WebmedCentral Qual Patient Saf 2011;2:WMC001401.
- 26. Garvin K, Ali F, Neradelik M, Pottinger P. Attitudes regarding the safety of healthcare provider attire. Presented at IDWeek, October 8–12, 2012, Philadelphia, PA. Poster 455.
- Munoz-Price LS, Arheart KL, Lubarsky DA, Birnbach DJ. Differential laundering practices of white coats and scrubs among health care professionals. Am J Infect Control 2013;41:565–567.
- 28. Burden M, Cervantes L, Weed D, Keniston A, Price CS, Albert RK. Newly cleaned physician uniforms and infrequently washed white coats have similar rates of bacterial contamination after an 8-hour workday: a randomized controlled trial. *J Hosp Med* 2011;6:177–182.
- Gaspard P, Eschbach E, Gunther D, Gayet S, Bertrand X, Talon D. Meticillin-resistant Staphylococcus aureus contamination of healthcare workers' uniforms in long-term care facilities. J Hosp Infect 2009;71:170–175.
- Loh W, Ng VV, Holton J. Bacterial flora on the white coats of medical students. J Hosp Infect 2000;45:65–68.
- 31. Lopez PJ, Ron O, Parthasarathy P, Soothill J, Spitz L. Bacterial counts from hospital doctors' ties are higher than those from shirts. *Am J Infect Control* 2009;37:79–80.
- Morgan DJ, Rogawski E, Thom KA, et al. Transfer of multidrugresistant bacteria to healthcare workers' gloves and gowns after patient contact increases with environmental contamination. Crit Care Med 2012;40:1045–1051.
- 33. Perry C, Marshall R, Jones E. Bacterial contamination of uniforms. *J Hosp Infect* 2001;48:238–241.
- Treakle AM, Thom KA, Furuno JP, Strauss SM, Harris AD, Perencevich EN. Bacterial contamination of health care workers' white coats. Am J Infect Control 2009;37:101–105.
- 35. Wong D, Nye K, Hollis P. Microbial flora on doctors' white coats. *BMJ* 1991;303:1602–1604.
- Bearman GM, Rosato A, Elam K, et al. A crossover trial of antimicrobial scrubs to reduce methicillin-resistant Staphylococcus aureus burden on healthcare worker apparel. Infect Control Hosp Epidemiol 2012;33:268–275.
- 37. Steinlechner C, Wilding G, Cumberland N. Microbes on ties: do they correlate with wound infection? *Bull R Coll Surg Engl* 2002;84:307–309.
- Wiener-Well Y, Galuty M, Rudensky B, Schlesinger Y, Attias D, Yinnon AM. Nursing and physician attire as possible source of nosocomial infections. Am J Infect Control 2011;39:555–559.
- Munoz-Price LS, Arheart KL, Mills JP, et al. Associations between bacterial contamination of health care workers' hands and contamination of white coats and scrubs. Am J Infect Control 2012;40:e245–e248.
- Burger A, Wijewardena C, Clayson S, Greatorex RA. Bare below elbows: does this policy affect handwashing efficacy and reduce bacterial colonisation? Ann R Coll Surg Engl 2011;93:13–16.
- Willis-Owen CA, Subramanian P, Kumari P, Houlihan-Burne D. Effects of "bare below the elbows" policy on hand contamination of 92 hospital doctors in a district general hospital. J Hosp Infect 2010;75:116–119.

- 42. Farrington RM, Rabindran J, Crocker G, Ali R, Pollard N, Dalton HR. "Bare below the elbows" and quality of hand washing: a randomised comparison study. *J Hosp Infect* 2010;74:86–88.
- Jacob G. Uniforms and Workwear: An Evidence Base for Developing Local Policy. National Health Service Department of Health Policy, 2007.
- 44. Blaser MJ, Smith PF, Cody HJ, Wang WL, LaForce FM. Killing of fabric-associated bacteria in hospital laundry by low-temperature washing. *J Infect Dis* 1984;149:48–57.
- 45. Patel SN, Murray-Leonard J, Wilson AP. Laundering of hospital staff uniforms at home. *J Hosp Infect* 2006;62:89–93.
- Lakdawala N, Pham J, Shah M, Holton J. Effectiveness of low-temperature domestic laundry on the decontamination of healthcare workers' uniforms. *Infect Control Hosp Epidemiol* 2011;32:1103–1108.
- 47. Occupational Safety and Health Administration Bloodborne Pathogens Standard, 29 CFR 1910.1030 (2013).
- Yoshikawa T, Kidouchi K, Kimura S, Okubo T, Perry J, Jagger J. Needlestick injuries to the feet of Japanese healthcare workers: a culture-specific exposure risk. *Infect Control Hosp Epidemiol* 2007;28:215–218.
- Chiu MC, Wang MJ. Professional footwear evaluation for clinical nurses. Appl Ergon 2007;38:133–141.
- Blanchard J. Wearing shoe covers and appropriate footwear in the OR. AORN J 2010;92:228–229.
- 51. Barr J, Siegel D. Dangers of dermatologic surgery: protect your feet. *Dermatol Surg* 2004;30:1495–1497.
- Watt AM, Patkin M, Sinnott MJ, Black RJ, Maddern GJ. Scalpel safety in the operative setting: a systematic review. Surgery 2010; 147:98–106.
- Munoz-Price LS, Birnbach DJ, Lubarsky DA, et al. Decreasing operating room environmental pathogen contamination through improved cleaning practice. *Infect Control Hosp Epi*demiol 2012;33:897–904.
- 54. Wright SN, Gerry JS, Busowski MT, et al. Gordonia bronchialis sternal wound infection in 3 patients following open heart surgery: intraoperative transmission from a healthcare worker. Infect Control Hosp Epidemiol 2012;33:1238–1241.
- 55. Uneke CJ, Ijeoma PA. The potential for nosocomial infection transmission by white coats used by physicians in Nigeria: implications for improved patient-safety initiatives. World Health Popul 2010;11:44–54.
- Banu A, Anand M, Nagi N. White coats as a vehicle for bacterial dissemination. J Clin Diagn Res 2012;6:1381–1384.
- 57. Priya H, Acharya S, Bhat M, Ballal M. Microbial contamination of the white coats of dental staff in the clinical setting. *J Dent Res Dent Clin Dent Prospects* 2009;3:136–140.
- Scott E, Bloomfield SF. The survival and transfer of microbial contamination via cloths, hands and utensils. *J Appl Bacteriol* 1990;68:271–278.
- 59. Wilson JA, Loveday HP, Hoffman PN, Pratt RJ. Uniform: an evidence review of the microbiological significance of uniforms and uniform policy in the prevention and control of healthcare-associated infections. Report to the Department of Health (England). J Hosp Infect 2007;66:301–307.

Page 192 of 208

LONG BEACH MEMORIAL
COMMUNITY HOSPITAL LONG BEACH
Miller Children's Hospital Long Beach
OMEMORIALCARE HEALTH SYSTEM

Attention All Managers

New badge reel and watch distribution pick up

Monday December 1, 2014
9:00 a.m. - 12 noon
The Music Room/Plush Pantry
Look for the Fontis Solutions representative

Only managers or your representative will be allowed to pick up your department bundle of watches and reels (Please do not send individual employees for pick up)

In compliance with the new policy *Uniform and Infection Prevention Standards for Direct Care Providers* and The Bare Below the Elbows approach, effective December 1, 2014, all Direct patient contact providers will receive one badge real and watch









Page 193 of 208

Uniform Fitting Days Calling All Direct Patient Contact Providers Oct. 13, 14, 15

Fontis Solutions will be hosting uniform fitting days in October. Fitting days are **not mandatory** but are an excellent opportunity to see samples and try on different sizes.

* Please Note: On Oct. 13, 14, 15, only RNs and EDTs will be able to place their uniform orders for the Phase 1, "Go-Live" on Dec. 1.

Fitting Days - All Direct Patient Contact Providers

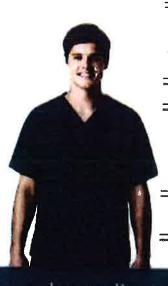
- Mon. Wed.; Oct. 13, 14, 15
- Time: 7 a.m. 10 p.m.
- Location: Conference Room F

RNs/EDTs: Due to the upcomir holiday seaso Order by Oct. 2 guarantee deli for Dec. 1

Helpful Tips for Uniform Fitting Day:

- ⇒ All RNs and EDTs must wear approved uniforms by Monday, Dec. 1, 2014.
- ⇒ Long Beach Memorial and Miller Children's are adopting a "Bare Below the Elbc (BBE) approach to prevent hospital acquired infections in all patient care areas for intra-operative areas (OR). All direct patient contact providers must comply the infection prevention policy starting **Monday**, **Dec. 1**.
- ⇒ RNs/EDTs order by Wednesday, Oct. 22 to guarantee delivery for "Go-Live."
- ⇒ On Oct. 13, 14, 15, Fontis will accept orders from RNs/EDTs only.
 - * All other direct patient contact providers are invited to preview different sty try on sizes and fill out an order form to process after Jan. 1, 2015.

 (PT/OT/ST/PST/PCA/CA/Phiebotomists)
- RN/EDT express check out lane, fitting rooms, online ordering and help will be available.
- ⇒ Please Note: Once purchased, uniforms are not returnable.



Miller Children's & Women's Hospital Long Beach

For more information, vis Uniform Store Front intranet or speak with your man

Makeup Uniform Fitting Day RNs and EDTs Only

Tuesday, Nov. 11

Did you miss the uniform fitting days? Still want to see samples or need help ordering? Fontis Solutions will be hosting a makeup uniform fitting day for RNs and EDTs on Tuesday, Nov. 11.

* Please Note: On Nov. 11, only RNs and EDTs will be able to place their uniform orders.



Makeup Uniform Fitting Day

- Tuesday, Nov. 11
- Time: 7 a.m. 10 p.m.
- Location: Houssels Forum

✓ Uniform samples √ Fitting rooms

✓ Online ordering

√ In-person help will be available



Helpful Tips:

- ⇒ All RNs and EDTs must wear approved uniforms by Monday, Dec. 1.
- ⇒ Fitting days are **not mandatory** but are an excellent opportunity to see samples and try on different sizes.
- ⇒ Long Beach Memorial and Miller Children's are adopting a "Bare Below the Elbows" (BBE) approach to prevent hospital acquired infections in all patient care areas except for intra-operative areas (OR). All direct patient contact providers must comply with the infection prevention policy starting Monday, Dec. 1.
- ⇒ Please Note: Once purchased, uniforms are not returnable.

LONG BEACH MEMORIAL Miller Children's & Women's Hospital Long Beach 🕌 Minisig recht Henri Danier

For more information, visit the **Uniform Store Front intranet page** or speak with your manager.

"Bare Below the Elbows" for Direct Patient Contact Providers Effective: Monday, Dec. 1

Long Beach Memorial and Miller Children's & Women's Hospital Long Beach is adopting a "Bare Below the Elbows" (BBE) approach to prevent hospital acquired infections in all patient care areas except for intra-operative areas (OR).



The BBE approach is shown to improve disinfection during hand washing. Because it is not feasible to disinfect or replace sleeves, lanyards, and watches between patients these items are part of the BBE prohibited items.

The direct patient contact provider's forearms need to be accessible for hand washing. The only jewelry allowed below the elbow is a solid, stone-free band ring).

- ✓ The hospital is supplying one retractable badge holder and one watch per employee for free (one-time only). This will be included in your first Uniform Store Front order.
- ✓ Additional badge holders can be purchased at the Uniform Store Front.
- ✓ Please reference the "Uniform and Infection Prevention Standards for Direct Patient Contact Providers "Policy for more information.



LONG BLACH MEMORIAL
Miller Children's & Women's
Hospital Long Beach

For more information, visit the Uniform Store Front intranet page or speak with your manager.



LBMMC / MCH RN ALERT

November 21, 2014

Negotiations—Uniform Policy

- On Friday, November 14th, LBMMC & MCH Nurse Representatives met with the Employer to discuss the proposed changes to the Dress Code Policy and the plan to implement hospital wide uniforms. The team addressed concerns provided by nurses, including but not limited to:
- The validity of the Bare Below the Elbows (BBE)-The Shea study provided no evidence of a reduction to infection rates even though it is sited in the uniform policy as the Employer justification for the change. The Employer refused to respond on the issue.
- Religious accommodation- The Employer was only able to provide that they would address religious concerns on a case-by-case basis. We asked for them to accommodate all religious exceptions. We requested more information.
- Shoes— We asked for clarification. This is flexible as long as the entire shoe is not a neon color.
- Jackets— The need for RNs to be able to wear jackets, as necessary. Due to the cold environment in the facility. Again, the Shea study provided no evidence that jackets would increase infection rates.
- Wristwatches—Discussed concern regarding the proposed clip on watch to be worn at lapel level. The Employer provided they are looking at having the lapel watch on a retractable holder to make it easier to have the watch viewed closer to patient and charting.
- Memorial logo—The policy requires that only Memorial logos may be worn. With one exception: Magnet. They are trying to restrict RNs from wearing their CNA badge holders, stickers, etc. We will continue to pursue the issue. This is protected by the National Labor Relations Act.
- Snap & Maternity tops— Maternity tops are available but would be in addition to non-maternity scrubs. Employer will look into snap front scrubs.

The Employer has committed to get back to us on all unresolved issues this week.

For questions pertaining to negotiations or how to get involved, contact your unit Nurse Representative or CNA staff Cynthia Hanna at (562) 244-9502.



LONG BEACH MEMORIAL Miller Children's Hospital Long Beach MemorialCare Health System

December 3, 2014

Cynthia Hanna California Nurses Association 225 West Broadway, Suite 500 Glendale, CA 91204

RE: Uniforms

Dear Ms. Hanna,

This letter is in response to our meeting held on Friday, November 14th 2014, in which the union brought forth issues with the uniform policy that went into effect on December 1, 2014.

1. **Below the elbow:** The union is requesting that the below the elbow practice be optional, allowing RNs to choose whether or not they can wear uniforms that are below the elbow.

Based upon evidence, the hospital has adopted a "bare below the elbows" approach, which was unanimously recommended by the cross-sectional group of nursing staff for adoption with the recommendation to remove long sleeves, watches, and bracelets in order to enhance hand washing in order to increase personnel and patient safety. By creation of bare forearms, enhanced hand washing evidence supports we will decrease microbes on wet surfaces (e.g. cuffs) and therefore decrease sites for opportunistic microbial growth. Logic and practice in surgical areas has taught us that the pre-surgical scrub involves clear forearms and hands. This research has been in vogue for decades and research now supports the same in all patient care areas.

As such, the hospital will be enforcing "bare below the elbows" and providing uniforms that are in line with that approach. RNs have the option of wearing long sleeve jackets, provided in the catalog that they can wear-between episodes of patient care.

Jewelry: The union is requesting that wedding rings of all kind (bands, bands with stones etc.) be allowed to be worn, and left as voluntary if an RN chooses to remove while delivering patient care.

After careful consideration of this request from nurses throughout the medical center; we have decided to implement a direct care giver task force. This task force will review the literature, evidence and research as well as enter into discussion with other leaders in infection prevention. As a result of their findings, the task force will make a recommendation to management on the policy regarding the wearing of rings for patient care. Therefore, while we encourage caregivers to modify the use of rings while providing patient care, we will delay further implementation based on the findings of the task force.

Phone: (562) 933-2000

memorialcare.or

2. **Snap front uniforms tops**: The union is requesting that snap front uniform tops be offered as an option from the catalog.

We are currently in the process of working with the vendor to see if snap front uniform tops can be available as an option.

3. Health alert bracelets: The union is requesting that health alert bracelets be allowed to be worn.

As part of the "bare below the elbow" philosophy, bracelets of any kind will be prohibited. If necessary, RNs can wear a health alert necklace.

4. **Badge reel:** The union is requesting that RNs should be able to choose the type of badge reel to wear, including those that display a CNA logo.

It is the Hospital's position that Badge reels will be provided by the Hospital and should be uniform in nature, reflecting the Hospital's branding.

5. Watches: The union is requesting that RNs should be allowed to wear a wrist watch.

As part of the "bare below the elbow" philosophy, wrist watches will be prohibited. As part of the approved inventory for purchase, lapel watches are available for RNs in lieu of a wrist watch.

We believe that this letter and the enclosed information more than adequately responds to the Union's requests. The Long Beach Campus has implemented the Dress Code and Infection Prevention Policies and Procedures as of December 1, 2014.

Sincerely,

Sue Crockett
Program Director, Workforce Strategy

Page 199 of 208



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD



REGION 21 888 S Figueroa St FI 9 Los Angeles, CA 90017-5449

Agency Website: www.nlrb.gov Telephone: (213)894-5200 Fax: (213)894-2778 Download NLRB Mobile App

December 5, 2014

MEMORIALCARE HEALTH SYSTEM D/B/A LONG BEACH MEMORIAL MEDICAL CENTER 2801 ATLANTIC AVENUE LONG BEACH, CA 90806

Re:

MEMORIALCARE HEALTH SYSTEM D/B/A LONG BEACH MEMORIAL MEDICAL CENTER Case 21-CA-142289

Dear Sir or Madam:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

Investigator: This charge is being investigated by Compliance Officer HECTOR MARTINEZ whose telephone number is (213)894-5184. If this Board agent is not available, you may contact Regional Attorney WILLIAM PATE whose telephone number is (213)894-5206.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

Presentation of Your Evidence: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation.

-2-MEMORIALCARE HEALTH SYSTEM D/B/A LONG BEACH MEMORIAL MEDICAL CENTER Case 21-CA-142289

December 5, 2014

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor any request to place limitations on our use of position statements or evidence beyond those prescribed by the Freedom of Information Act and the Federal Records Act. Thus, we will not honor any claim of confidentiality except as provided by Exemption 4 of FOIA, 5 U.S.C. Sec. 552(b)(4), and any material you submit may be introduced as evidence at any hearing before an administrative law judge. We are also required by the Federal Records Act to keep copies of documents gathered in our investigation for some years after a case closes. Further, the Freedom of Information Act may require that we disclose such records in closed cases upon request, unless there is an applicable exemption. Examples of those exemptions are those that protect confidential financial information or personal privacy interests.

Procedures: We strongly urge everyone to submit all documents and other materials (except unfair labor practice charges and representation petitions) by E-Filing (not e-mailing) through our website, www.nlrb.gov. However, the Agency will continue to accept timely filed paper documents. Please include the case name and number indicated above on all your correspondence regarding the charge.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, www.nlrb.gov or from an NLRB office upon your request. NLRB Form 4541 offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

Page 201 of 208

MEMORIALCARE HEALTH SYSTEM
D/B/A LONG BEACH MEMORIAL
MEDICAL CENTER
Case 21-CA-142289
...

December 5, 2014

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

-3-

Very truly yours,

OLIVIA GARCIA Regional Director

Enclosures:

1. Copy of Charge

2. Commerce Questionnaire

OG/hta

12/04/2014 15:38 FAX 5106634822

Filed: 11/05/2018

Page 202 of 208 @ 003/003

FORM EXEMPT UNDER 44 U.S.C 3512

INTERNET FORM NLR8-501 (2-08) UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

| A 10 | والمنصف المرتب والرازا المراز محاردها | TOTAL PRESENT TOTAL CO. | 0 0012 |
|------------|---------------------------------------|-------------------------|--------|
| NIT = 2-70 | DO NOT WRITE | IN THIS SPACE | |
| Свве | **** | Date Filed | |
| 21- | CA-142289 | 12-4-14 | Α, |

| INSTRUCTIONS: | | 21-CA-14228 | 9 12-4-14 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|---------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| File en original with NLRB Regional Director for the region in which | | | |
| | RAGAINST WHOM CHAI | RGE IS BROUGHT . | to a company of the second |
| e. Name of Employer | :4 | | b. Tel. No. 562-933-2000 |
| MemorialCare Health System, d/b/a Long Beach | ا Memorial Medical Cer پائ | nter | c. Cell No. |
| the second secon | | | A P |
| Address (Charle ally plate and 710 and a | e, Employer Represen | talive | f, Fax No. |
| d. Address (Street, city, state, and ZIP code) 2801 Attantic Avenue | Barry Arbuckle, Pl | ı,D, | g. e-Mail |
| Long Beach, CA 90808 | President and CE | , | 23 0000 3 |
| ** 3 | | | h. Number of workers employed 10,000 + |
| i. Type of Establishment (factory, mine, wholesaler, etc.) Acute Care Hospital | j. Idenlify principal prod Healthcare | fuct or service | 1 & SQL MARKS STREET |
| k. The above-named employer has engaged in and is engagi | ing in unfair labor practices v | vithin the meaning of secti | on 6(a), subsections (1) and (list |
| subsections) (5) | | of the National Labor | Relations Act, and these unfair labor |
| practices are practices affecting commerce within the mea within the meaning of the Act and the Postal Reorganization | | | · · · · · · · · · · · · · · · · · · · |
| Basis of the Charge (set forth a clear and conciso statement) | No. | a allegad unfals labor age | and the same and the same areas in |
| 2. Dasis of the Charge (Set forth 4 Deal and condse state) in | alli oi ma idera perizrirorină n | ie siiegeu umaii iauoi piai | ucasy |
| Marie III III III III III III III III III I | : - Aba abasa | | |
| Within the past six months and continuing to date | | | |
| representatives, has refused to bargain over the | • - | , | |
| implemented material, substantial and significant | t unilateral changes to | the dress code policy | when the barries were not at a |
| lawful impasse. | | | , |
| By these and other acts, the above-named Employees in the exer restrained and coerced its employees in the exer 3. Full name of party filing charge (If labor organization, give California Nurses Association/National Nurses U | rcise of the rights guara | inteed in Section 7 o | |
| 4e. Address (Street and number, city, state, and ZIP code) | | · · · · · · · · · · · · · · · · · · · | b. Tel. No. 510-273-2200 |
| 2000 Franklin Street | | | |
| Oakland, CA 94612 | | 14 | c, Cell No. |
| | | 7 | d. Fax No. 510-663-4622 |
| · × | | 4 | e. s-Mail |
| 8 3 | * B | | (A) |
| 5. Full name of national or international labor organization of organization) AFL-CIO | which it is an affiliate or con | stlivent unit (to be filled in | when charge is filed by a labor |
| 8, DECLARATION declare that I have read the above charge and that the statement | ON | | el_No. 510-273-2292 |
| On a CO | Web to tide poor or this wi | 12. | Fig. 1 - Coll No. |
| By (signature of representative or person meting charge) | Miceh Berul, In-Hou | se Counsel | ffice, If any, Cell No. |
| Influence of the postitulist in batoni appoint custifici | fermacha mana auri mis ot o | inco' ii aliki | ax No. 510-663-4822 |
| | 7.62 | 6. 6. | -M _E JI |
| 2000 Franklin Street, Oakland, CA 94612 | | 12/04/14 | nberul@calnurses.org |
| 1001000 | pe a representation of the | ATTO ATTO | Communication of the Communica |

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)

PRIVACY ACT STATEMENT
Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to essist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dac. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

| QUESTIONNAIRE ON COMMERCE INFORMATION Please read carefully, asswered at applicable term, and return to the NLRO Office. If additional space is required, pleases add a page and identify from number. CASE NAME MEMORIAL CARE HEALTH SYSTEM DIB/A LONG BEACH MEMORIAL MEDICAL CENTER 1. EXACT LEGAL TITLE OF ENTITY (As Bird with State and/or stated in legal documents forming early) 2. TYPEOPERITY (1) CORPORATION [1] LC [1] LLP [1] PARTNESSHIP [1] SOLE PROPRIETORSHIP [1] OTHER (Speciety) 3. PAR CORPORATION OR [2] LLP [3] PARTNESSHIP [1] SOLE PROPRIETORSHIP [1] OTHER (Speciety) 4. THE ANALY OF A CONTROL OF A STATE OF INCORPORATION OR [2] B. MAME, ADDRESS, AND RELATIONSHIP (e.g. parent, subsidiary) OF ALL RELATED ENTITIES FORMATION 4. THE ANALY OF A CONTROL OR ANY TYPE OF PARTNERSHIP, FULL NAME AND ADDRESS OF PAIL MEMBERS OR PARTNERS 5. IF A SOLE PROPRIETORSHIP, FULL NAME AND ADDRESS OF PAIL MEMBERS OR PARTNERS 5. IF A SOLE PROPRIETORSHIP, FULL NAME AND ADDRESS OF PAIL MEMBERS OR PARTNERS 5. IF A SOLE PROPRIETORSHIP, FULL NAME AND ADDRESS OF PROPRIETOR 6. BRIEPLY DESCRIBE THE NATURE OF YOUR OPERATIONS (Products ligarified or manufactured, or nature of survices performed). 7. A. PRINCIPAL LOCATION: 8. NUMBER OF FEOPLE PRESENTLY EMPLOYED A. Total: 9. DURING THE MOST RECENT (Check appropriate Act; I CALENDAR VR 1112 MONTHS or 1115 SCALVR (FEddate VES. NO. A. Did you provide services valued in excess of \$50,000 directly to customers outside your State? If no, indicate actual value. 8. If you answered no to 9A, did you provide services valued in excess of \$50,000 to customers in your State who purchased goods valued in excess of \$50,000 to customers in your State who purchased goods valued in excess of \$50,000 from directly outside your State? If no, indicate actual value. 8. If you answered no to 9A, did you provide services valued in excess of \$50,000 to customers in your State who purchased other goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. 9 | | NAMES OF TAXABLE PARTY | PRE INTOXIC BOLLDIN | | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|
| Please read carefully, ensurer all applicable loses, and return to the NLR Office. If additional space is required, please add a page and clearly the number. CASE NAME MEMORIAL CARE HEALTH SYSTEM D/B/A LONG BEACH CASE NAME MEMORIAL CENTER 1. EXACTLEGAL TITLE OF ENTITY (As filed with State and/or stated in legal documents forming entity) 2. TYPE OF ENTITY [] CORPORATION [] LLC [] LLP [] PARTHERSHIP [] SOLE PROPRIETORSHIP [] OTHER (Specify) 3. IF A CORPORATION OR [] B. NAME, ADDRESS, AND RELATIONSHIP (e.g. parent, subsidiary) OF ALL RELATED ENTITIES 5. IF A SOLE PROPRIETORSHIP, FULL NAME AND ADDRESS OF ALL MEMBERS OR PARTNERS 5. IF A SOLE PROPRIETORSHIP, FULL NAME AND ADDRESS OF PROPRIETORSHIP (a.g. parent, subsidiary) OF ALL RELATED ENTITIES 5. IF A SOLE PROPRIETORSHIP, FULL NAME AND ADDRESS OF PROPRIETORS 6. BRIEFLY DESCRIBE THE NATURE OF YOUR OPERATIONS (Products handled or manufactured, or nature of services performed). 7. A. PRINCIPAL LOCATION: 8. BRANCH LOCATIONS: 8. NUMBER OF PEOPLE PRESENTLY EMPLOYED A. Total: 9. DURING THE MOST RECENT (Check appringing bay): 1 (CALENDAR VR 1112 MONTHS or 1 FISCAL-VR (EVidales) 9. DURING THE MOST RECENT (Check appringing bay): 1 (CALENDAR VR 1112 MONTHS or 1 FISCAL-VR (EVidales) 9. Old you provide services valued in excess of \$50,000 directly to customers outside your State? If no, indicate actual value. 9. If you answered no to 9.A, did you provide services valued in excess of \$50,000 to public utilities, transit systems, transparent, health care institutions, broadcasting stations, commercial buildings, educational institutions, or retail concerns? If the stems in \$50,000 indicates amount. S. 9. Did you sell goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. S. 1. Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. S. 2. Did you any urchase and receive goods valued in excess of \$50,000 from enter | Revised 3/21/2011 | | |)) | | |
| CASE NAME MEMORIAL CARE HEALTH SYSTEM D/B/A LONG BEACH MEMORIAL MEDICAL CENTER 1. EXACTLEGAL TITLE OF ENTITY (As flied with State and/or stated in legal documents forming entity) 2. TYPE OF ENTITY 2. TYPE OF ENTITY 2. TYPE OF ENTITY 3. IF A CORPORATION of ILC A STATE OF INCORPORATION OR B. NAME, ADDRESS, AND RELATIONSHIP (2) OTHER (Specify) 3. IF A CORPORATION OR A STATE OF INCORPORATION OR B. NAME, ADDRESS, AND RELATIONSHIP (2), parent, subsidiary) OF ALL RELATED ENTITIES FORMATION 4. IF AN ILC OR ANY TYPE OF PARTIMERSHIP, FULL NAME AND ADDRESS OF ALL MEMBERS OR PARTIMERS 5. IF A SOLE PROPRIETORSHIP, FULL NAME AND ADDRESS OF PROFRIETOR 6. BRIEFLY DESCRIBE THE NATURE OF YOUR OPERATIONS (Products handled or manufactured, or nature of services performed). 7. A. PRINCIPAL LOCATION: B. BRANCH LOCATIONS: 8. NUMBER OF PEOPLE PRESENTLY EMPLOYED A. Total: 9. DURNOTHER MOST RECENT (Cricle appropriate box): I (CALENDAR VR 112) MONTHS or I [FISCALYR (EVidnies)] A Did you provide services valued in excess of \$50,000 directly to customers outside your State? If no, indicate actual value. 5. S 1. If you answered no to 9A, did you provide services valued in excess of \$50,000 to customers in your State who purchased goods valued in excess of \$50,000 to customers in your State who purchased goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. 5. If you answered no to 9A and 9B, did you provide services valued in excess of \$50,000 directly to customers located outside your State? If less than \$50,000, indicate amount. 5. D. Did you sell goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. 5. Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. 6. If you answered no to 9D, did you sell goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. 5. Did you purchase and r | | | | | | |
| MEMORIAL MEDDICAL CENTER EXACT LEGAL TITLE OF ENTITY (As filed with State and/or stated in legal documents forming entity) | Please read carefully, answer all applicable i | ems, and return to the NLRB Office. If | f additional space is required; please add | CASE NUMBER | | |
| MEMORIAL MIDDICAL CENTER LEXACTLEGAL TITLE OF ENTITY (As filed with State and/or stated in legal documents forming entity) 2. TYPE OF ENTITY () CORPORATION ILC LLP 1 PARTHERSHIP SOLE PROPRIETORSHIP 1 OTHER (Specify) 3. PIACORPORATION OF ILC A STATE OF INCORPORATION OR B. NAME, ADDRESS, AND RELATIONSHIP (a.g. parent, subsidiary) OF ALL RELATED ENTITIES FORMATION 4. IF AN LLC OR ANY TYPE OF PARTINERSHIP, FULL NAME AND ADDRESS OF ALL MEMBERS OR PARTNERS 5. IF A SOLE PROPRIETORSHIP, FULL NAME AND ADDRESS OF PROPRIETOR 6. BRIEFLY DESCRIBE THE NATURE OF YOUR OPERATIONS (Products handled or manufactured, or nature of services performed). 7. A. PRINCIPAL LOCATION: B. BRANCH LOCATIONS: 8. NUMBER OF PEOPLE PRESENTLY EMPLOYED A. Total: B. At the address involved in this matter. 9. DURING THE MOST RECENT (Check appropriate box): I [CALENDAR VR. 112 MONTHS or 1 FISCALYR (Pridates of the value of any such services you provided. \$ 1. If you answered no to 9A, did you provide services valued in excess of \$50,000 to customers in your State who purchased goods valued in excess of \$50,000 directly our State? If ho, indicate the value of any such services you provided. \$ 1. If you answered no to 9A and 9B, did you provide services valued in excess of \$50,000 to public utilities, transit systems, newspapers, health case institutions, broadcasting stations, commercial buildings, colucational institutions, or retail concerns? If least than \$50,000, indicate amount. \$ 1. In the part of the property of the purchased of the goods valued in excess of \$50,000 directly to customers located outside your State? If less than \$50,000, indicate amount. \$ 1. In the purchased outside proposition of services (Pricket the largest amount). S 1. If you answered no to 9D, did you sell goods valued in excess of \$50,000 firm directly outside your State? If less than \$50,000, indicate amount. \$ 1. In the purchased outside your State? If less than \$50,000, indicate amount. \$ 1. In the purchased outside your State? If les | | |)/B/A LUNG BEACH | | | |
| 2. TYPEOFENTITY [] CORPORATION [] LLC [] LLP [] PARTNERSHIP [] SOLE PROPRIETORSHIP [] OTHER (Specify) 3. IF A CORPORATION OR [] 3. IF A CORPORATION OR [] B. NAME, ADDRESS, AND RELATIONSHIP (e.g. parent, subsidiary) OF ALL RELATED ENTITIES PROPARATION 4. IF AN ILC OR ANY TYPE OF PARTNERSHIP, FULL NAME AND ADDRESS OF ALL MEMBERS OR PARTNERS 5. IF A SOLE PROPRIETORSHIP, FULL NAME AND ADDRESS OF PROPRIETOR 6. BRIEFLY DESCRIBE THE NATURE OF YOUR OPERATIONS (Products' handled or manufactured, or nature of survices performed). 7. A. PRINCIPAL LOCATION: B. BRANCH LOCATIONS: 8. NUMBER OF PEOPLE PRESENTLY EMPLOYED A. Total: B. At the address involved in this matter: 9. DURING THE NOST RECENT (Check appropriate bost): [CLALENDAR VR | MEMORIAL MEDICAL CE | INTER | | 21 011 112209 | | |
| [] CORPORATION LLC J LLP] PARTNERSHIP J SOLE PROPRIETORSHIP L TURER (Specify) A. STATE OF INCORPORATION OR B. NAME, ADDRESS, AND RELATIONSHIP (e.g. parent, subsidiary) OF ALL RELATED ENTITIES A. STATE OF INCORPORATION OR B. NAME, ADDRESS, AND RELATIONSHIP (e.g. parent, subsidiary) OF ALL RELATED ENTITIES A. FIRANCH COR ANY TYPE OF PARTNERSHIP, FULL NAME AND ADDRESS OF ALL MEMBERS OR PARTNERS 5. IF A SOLE PROPRIETORSHIP, FULL NAME AND ADDRESS OF PROPRIETOR 6. BRIEFLY DESCRIBE THE NATURE OF YOUR OPERATIONS (Products handled or manufactured or nature of services performed). 7. A. PRINCIPAL LOCATION: B. BRANCH LOCATIONS: 8. NUMBER OF PEOPLE PRESENTLY EMPLOYED B. At the address involved in this matter: 9. DURING THE MOST RECENT (Check appropriate body: CALENDAR YE 112 MONTHS or 1 FISCAL YR (FY date: 1 Fig. 1) 8. If you answered no to 9A, did you provide services valued in excess of \$50,000 to customers in your State who purchased goods valued in excess of \$50,000 from directly outside your State? If no, indicate actual value. 8. If you answered no to 9A and 9B, did you provide services valued in excess of \$50,000 to customers in your State who purchased goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. 9. Did you sell goods valued in excess of \$50,000 from directly to customers located insidutions, or retail concerns? If less than \$50,000, indicate amount. 9. Did you sell goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. 9. Did you purchase and receive goods valued in excess of \$50,000 from directly to customers located inside your State who purchased other goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. 9. Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. 9. Did you purchase and receive goo | 1. EXACT LEGAL TITLE OF ENTITY | (As filed with State and/or stated i | in legal documents forming entity) | | | 100 |
| [] CORPORATION LLC J LLP] PARTNERSHIP J SOLE PROPRIETORSHIP L TURER (Specify) A. STATE OF INCORPORATION OR B. NAME, ADDRESS, AND RELATIONSHIP (e.g. parent, subsidiary) OF ALL RELATED ENTITIES A. STATE OF INCORPORATION OR B. NAME, ADDRESS, AND RELATIONSHIP (e.g. parent, subsidiary) OF ALL RELATED ENTITIES A. FIRANCH COR ANY TYPE OF PARTNERSHIP, FULL NAME AND ADDRESS OF ALL MEMBERS OR PARTNERS 5. IF A SOLE PROPRIETORSHIP, FULL NAME AND ADDRESS OF PROPRIETOR 6. BRIEFLY DESCRIBE THE NATURE OF YOUR OPERATIONS (Products handled or manufactured or nature of services performed). 7. A. PRINCIPAL LOCATION: B. BRANCH LOCATIONS: 8. NUMBER OF PEOPLE PRESENTLY EMPLOYED B. At the address involved in this matter: 9. DURING THE MOST RECENT (Check appropriate body: CALENDAR YE 112 MONTHS or 1 FISCAL YR (FY date: 1 Fig. 1) 8. If you answered no to 9A, did you provide services valued in excess of \$50,000 to customers in your State who purchased goods valued in excess of \$50,000 from directly outside your State? If no, indicate actual value. 8. If you answered no to 9A and 9B, did you provide services valued in excess of \$50,000 to customers in your State who purchased goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. 9. Did you sell goods valued in excess of \$50,000 from directly to customers located insidutions, or retail concerns? If less than \$50,000, indicate amount. 9. Did you sell goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. 9. Did you purchase and receive goods valued in excess of \$50,000 from directly to customers located inside your State who purchased other goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. 9. Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. 9. Did you purchase and receive goo | | | | | e de la composition della comp | |
| A. STATE OF INCORPORATION OR B. NAME, ADDRESS, AND RELATIONSHIP (e.g. parent, subsidiary) OF ALL RELATED ENTITIES FORMATION 4. IF AN LLC OR ANY TYPE OF PARTNERSHIP, FULL NAME AND ADDRESS OF ALL MEMBERS OR PARTNERS 5. IF A SOLE PROPRIETORSHIP, FULL NAME AND ADDRESS OF PROPRIETOR 6. BRIEFLY DESCRIBE THE NATURE OF YOUR OPERATIONS (Products handled or manufactured, or nature of services performed). 7. A. PRINCIPAL LOCATION: B. BRANCH LOCATIONS: 8. NUMBER OF FEOPLE PRESENTLY EMPLOYED A. Total: B. At the address involved in this matter: 9. DURING THE MOST RECENT (Check apprepriate Real): CALENDAR VR 112 MONTHS S. IF A YOU answered no to 9A, did you provide services valued in excess of \$50,000 to customers outside your State? If no, indicate actual value. S. If you answered no to 9A, and 9B, did you provide services valued in excess of \$50,000 to public utilities, transit systems, newspapers, health care institutions, broadcasting stations, commercial buildings, educational institutions, or retail concerns? If less than \$50,000, indicate amount. \$ Did you sell goods valued in excess of \$50,000 directly to customers located outside your State? If less than \$50,000, indicate amount. \$ Did you sell goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ If you answered no to 9D, did you sell goods valued in excess of \$50,000 directly to customers located inside your State who purchased other goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ If you on the provide services of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ If you on the provide services of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ Did you purchase and receive goods valued in excess of \$50,000 from intertly out | | VID 53 DARTHERSHIP [| I SOLE DECORPETADELLE | THE (Oncoide) | 4 - 24 | |
| A. STATE OF INCORPORATION OR FORMATION I. HAN LIC OR ANY TYPE OF PARTNERSHIP, FULL NAME AND ADDRESS OF ALL MEMBERS OR PARTNERS I. IF A SOLE PROPRIETORSHIP, FULL NAME AND ADDRESS OF PROPRIETOR II. HANDLE OR ANY TYPE OF PARTNERSHIP, FULL NAME AND ADDRESS OF PROPRIETOR II. HANDLE OF PROPRIETORSHIP, FULL NAME AND ADDRESS OF PROPRIETOR II. HANDLE OF PROPRIETORSHIP, FULL NAME AND ADDRESS OF PROPRIETOR II. HANDLE OF PROPRIETORSHIP, FULL NAME AND ADDRESS OF PROPRIETOR II. HANDLE OF PROPRIETORSHIP, FULL NAME AND ADDRESS OF PROPRIETOR III. HANDLE OF PROPRIETORSHIP, FULL NAME AND ADDRESS OF PROPRIETOR III. HANDLE OF PROPRIETORSHIP, FULL NAME AND ADDRESS OF PROPRIETOR III. HANDLE OF PROPRIETORSHIP, FULL NAME AND ADDRESS OF PROPRIETOR III. HANDLE OF PARTNERSHIP, FULL NAME AND ADDRESS OF PROPRIETOR III. HANDLE OF PARTNERSHIP, FULL NAME AND ADDRESS OF PROPRIETOR III. HANDLE OF PARTNERSHIP, FULL NAME AND ADDRESS OF PROPRIETOR III. HANDLE OF PARTNERSHIP, FULL NAME AND ADDRESS OF PROPRIETOR III. HANDLE OF PARTNERSHIP, FULL NAME AND ADDRESS OF PROPRIETOR III. HANDLE OF PARTNERSHIP, FULL NAME AND ADDRESS OF PROPRIETOR III. HANDLE OF PARTNERSHIP, FULL NAME AND ADDRESS OF PROPRIETOR III. HANDLE OF PARTNERSHIP, FULL NAME AND ADDRESS OF PARTNERS III. HANDLE OF PARTNERSHIP, FULL NAME AND ADDRESS OF PARTNERS III. HANDLE OF PARTNERSHIP, FULL NAME AND ADDRESS OF PARTNERS III. HANDLE OF PARTNERSHIP, FULL NAME AND ADDRESS OF PARTNERS III. HANDLE OF PARTNERSHIP, FULL NAME AND ADDRESS OF PARTNERS III. HANDLE OF PARTNERSHIP, FULL NAME AND ADDRESS OF PARTNERS III. HANDLE OF PARTNERSHIP, FULL NAME AND ADDRESS OF PARTNERS III. HANDLE OF PARTNERSHIP, FULL NAME AND ADDRESS OF PARTNERS OF PARTNERSHIP, FULL NAME AND ADDRESS OF PARTNERS OF PARTNERSHIP, FULL NAME AND ADDRESS OF PARTNERSHIP, FULL NAME AND | | LLP [] PARTNERSHIP [] |] SOLE PROPRIETORSHIP [] C | THER (Specify) | ************************************** | vers i fi |
| 4. IF AN LLC OR ANY TYPE OF PARTNERSHIP, FULL NAME AND ADDRESS OF ALL MEMBERS OR PARTNERS 5. IF A SOLE PROPRIETORSHIP, FULL NAME AND ADDRESS OF PROPRIETOR 6. BRIEFLY DESCRIBE THE NATURE OF YOUR OPERATIONS (Products lagndled or instrujochared, or nature of services performed). 7. A. PRINCIPAL LOCATION: 8. BRANCH LOCATIONS: 8. NUMBER OF FEOPLE PRESENTLY EMPLOYED A. Total: 9. DURING THE MOST RECENT (Check appropriate Rept): TCALENDAR YR 112 MONTHS or FISCAL YR (FE dates 1 CALENDAR YR 1 CALENDAR YR 1 DATE | | TR NAME ADDRESS AND RE | I ATIONSHIP (e.g. parent subsidiary) | OF ALL RELATED ENTITIES | 11-16-17 | / 3 . 1 |
| 5. IF A SOLE PROPRIETORSHIP, FULL NAME AND ADDRESS OF PROPRIETOR 6. BRIEFLY DESCRIBE THE NATURE OF YOUR OPERATIONS (Products handled or manufactured, or nature of services performed). 7. A. PRINCIPAL LOCATION: 8. NUMBER OF PEOPLE PRESENTLY EMPLOYED A. Total: 9. DURING THE MOST RECENT (Check appropriate box): [CALENDAR YR 12 MONTHS or 1 FISCAL YR (F.E. dailes 1) | FORMATION | B. IVANE, ADDRESS, AND RES | Extroronic (e.g. paron, substanay) | or rub court is but it is | (1.50) | |
| 6. BRIEFLY DESCRIBE THE NATURE OF YOUR OPERATIONS (Products handled or manufactured, or nature of services performed). 7. A. PRINCIPAL LOCATION: 8. BRANCH LOCATIONS: 8. NUMBER OF PEOPLE PRESENTLY EMPLOYED A. Total: 9. DURING THE MOST RECENT (Check appropriate box): CALENDAR YR 12 MONTHS or FISCAL YR (FE dates YES NO No provide services valued in excess of \$50,000 directly to customers outside your State? If no, indicate actual value. 9. DURING THE MOST RECENT (Check appropriate box): CALENDAR YR 12 MONTHS or FISCAL YR (FE dates YES NO No provide services valued in excess of \$50,000 to customers in your State? Who purchased goods valued in excess of \$50,000 from directly outside your State? If no, indicate actual value. 9. If you answered no to 9A and 9B, did you provide services valued in excess of \$50,000 to public utilities, transit systems, newspapers, health care institutions, broadcasting stations, commercial buildings, educational institutions, or retail concerns? If less than \$50,000, indicate amount. \$ 9. Did you sploods valued in excess of \$50,000 directly to customers located outside your State? If less than \$50,000, indicate amount. \$ 9. If you answered no to 9D, did you sell goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ 9. Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ 9. Cross Revenues from all sales or performance of services (Check the largest amount): 10. State? If less than \$50,000 indicate amount. \$ 11. Gross Revenues from all sales or performance of services (Check the largest amount): 12. AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE 13. AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE 14. AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE 15. AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE | 4. IF AN LLC OR ANY TYPE OF PAR | TNERSHIP, FULL NAME AND A | ADDRESS OF ALL MEMBERS OF F | PARTNERS | a 14 1 | ec.out |
| 8. NUMBER OF PEOPLE PRESENTLY EMPLOYED A. Total: B. At the address involved in this matter: 9. DURING THE MOST RECENT (Check appropriate box): [CALENDAR YR | 5. IF A SOLE PROPRIETORSHIP, FU | LL NAME AND ADDRESS OF PI | ROPRIETOR | 1 / 对 对自然指示 1 / 1 | Disn. | 60 |
| 8. NUMBER OF PEOPLE PRESENTLY EMPLOYED A. Total: B. At the address involved in this matter: 9. DURING THE MOST RECENT (Check appropriate box): [CALENDAR YR | | (a) | W 3 | val. = som m m | | |
| 8. NUMBER OF PEOPLE PRESENTLY EMPLOYED A. Total: B. At the address involved in this matter: 9. DURING THE MOST RECENT (Check appropriate box): CALENDAR YR 112 MONTHS or IFISCAL-YR (Extates J) A. Did you provide services valued in excess of \$50,000 directly to customers outside your State? If no, indicate actual value. \$ YES NO. A. Did you provide services valued in excess of \$50,000 to customers in your State who purchased goods valued in excess of \$50,000 from directly outside your State? If no, indicate the value of any such services you provided. \$ If you answered no to 9A and 9B, did you provide services valued in excess of \$50,000 to customers in your State who purchased goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ D. Did you sell goods valued in excess of \$50,000 directly to customers located outside your State? If less than \$50,000, indicate amount. \$ E. If you answered no to 9D, did you sell goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ C. Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ C. Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ C. Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ C. Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ C. Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ C. Did you purchase and receive goods valued in exce | 6. BRIEFLY DESCRIBE THE NATUR | E OF YOUR OPERATIONS (Proc | hicts handled or manufactured, or natur | re of services performed). | Typ. | 0.6 |
| 8. NUMBER OF PEOPLE PRESENTLY EMPLOYED A. Total: B. At the address involved in this matter: 9. DURING THE MOST RECENT (Check appropriate box): CALENDAR YR 112 MONTHS or IFISCAL-YR (Extates J) A. Did you provide services valued in excess of \$50,000 directly to customers outside your State? If no, indicate actual value. \$ YES NO. A. Did you provide services valued in excess of \$50,000 to customers in your State who purchased goods valued in excess of \$50,000 from directly outside your State? If no, indicate the value of any such services you provided. \$ If you answered no to 9A and 9B, did you provide services valued in excess of \$50,000 to customers in your State who purchased goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ D. Did you sell goods valued in excess of \$50,000 directly to customers located outside your State? If less than \$50,000, indicate amount. \$ E. If you answered no to 9D, did you sell goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ C. Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ C. Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ C. Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ C. Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ C. Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ C. Did you purchase and receive goods valued in exce | | | | | | |
| A. Total: B. At the address involved in this matter: DURING THE MOST RECENT (Check appropriate box): TCALENDAR YR 12 MONTHS ot FISCAL YR (FY) dates YES NO | 7. A. PRINCIPAL LOCATION: | B. BRANC | CH LOCATIONS: | property and the state of the s | 75.17 | 100 |
| A. Total: B. At the address involved in this matter: DURING THE MOST RECENT (Check appropriate box): TCALENDAR YR 112 MONTHS or 1 FISCAL YR (FY) dates YES NO | | | | | | 10.000 |
| DURING THE MOST RECENT (Check appropriate box): CALENDAR YR 12 MONTHS or FISCAL YR (EVidates YES) NO A Did you provide services valued in excess of \$50,000 directly to customers outside your State? If no, indicate actual value. \$ B. If you answered no to 9A, did you provide services valued in excess of \$50,000 to customers in your State who purchased goods valued in excess of \$50,000 from directly outside your State? If no, indicate the value of any such services you provided. \$ C. If you answered no to 9A and 9B, did you provide services valued in excess of \$50,000 to public utilities, transit systems, newspapers, health care institutions, broadcasting stations, commercial buildings, educational institutions, or retail concerns? If less than \$50,000, indicate amount. \$ D. Did you sell goods valued in excess of \$50,000 directly to customers located outside your State? If less than \$50,000, indicate amount. \$ E. If you answered no to 9D, did you sell goods valued in excess of \$50,000 directly outside your State? If less than \$50,000, indicate amount. \$ D. Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ C. Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ C. Gross Revenues from all sales or performance of services (Check the largest amount): I S100,000 [] \$250,000 [] \$500,000 [] \$1,000,000 or more If less than \$100,000, indicate amount. Did you begin operations within the last 12 months? If yes, specify date: I ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? I YES INO. IN REPRESENTATIVE BEST, QUALIFIED TO GIVE FURTHER INFORMATION ABOUT YOUR OPERATIONS NAME TITLE E-MAIL ADDRESS DATE DATE | 8. NUMBER OF PEOPLE PRESENTL | Y EMPLOYED | | real contract of the second | 1000 | 12.46 |
| A. Did you provide services valued in excess of \$50,000 directly to customers outside your State? If no, indicate actual value. \$ B. If you answered no to 9A, did you provide services valued in excess of \$50,000 to customers in your State who purchased goods valued in excess of \$50,000 from directly outside your State? If no, indicate the value of any such services you provided. \$ C. If you answered no to 9A and 9B, did you provide services valued in excess of \$50,000 to public utilities, transit systems, newspapers, health care institutions, broadcasting stations, commercial buildings, educational institutions, or retail concerns? If less than \$50,000, indicate amount. \$ D. Did you sell goods valued in excess of \$50,000 directly to customers located outside your State? If less than \$50,000, indicate amount. \$ E. If you answered no to 9D, did you sell goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ Cross Revenues from all sales or performance of services (Check the largest amount): [1] \$100,000 [1] \$250,000 [1] \$500,000 [1] \$1,000,000 or more If less than \$100,000, indicate amount. Did you begin operations within the last 12 months? If yes, specify date: ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? YES NO A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? YES NO A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER OR OF THIS QUESTIONNAIRE A MEMBER OF Print SIGNATURE E-MAIL ADDRESS | | | H H N N S N S S S S S S S S S S S S S S | | | |
| A. Did you provide services valued in excess of \$50,000 directly to customers outside your State? If no, indicate actual value. 8 If you answered no to 9A, did you provide services valued in excess of \$50,000 to customers in your State who purchased goods valued in excess of \$50,000 from directly outside your State? If no, indicate the value of any such services you provided. 8 1. If you answered no to 9A and 9B, did you provide services valued in excess of \$50,000 to public utilities, transit systems, newspapers, health care institutions, broadcasting stations, commercial buildings, educational institutions, or retail concerns? If less than \$50,000, indicate amount. 8 1. Did you sell goods valued in excess of \$50,000 directly to customers located outside your State? If less than \$50,000, indicate amount. 8 2. If you answered no to 9D, did you sell goods valued in excess of \$50,000 directly to customers located inside your State who purchased other goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. 8 2. Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. 8 3. Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. 9 4. Gross Revenues from all sales or performance of services (Check the largest amount): 1 \$100,000 [] \$250,000 [] \$1,000,000 or more If less than \$100,000, indicate amount. 1 Yes [] NO (Ifyes, name and address of association or group). 1 Yes [] NO (Ifyes, name and address of association or group). 1 REPRESENTATIVE BEST QUALIFIED TO GIVE FURTHER INFORMATION ABOUT YOUR OPERATIONS NAME 1 Yes [] NO (Ifyes, name and address of association or group). 1 Yes [] NO (Ifyes, name and address of association or group). 1 Yes [] NO (Ifyes, name and address of association or group). 2 Authorized Representat | 9. DURING THE MOST RECENT (Ch | eck appropriate box): CALEND | AR YR 12 MONTHS or F | ISCAL YR (FY dates | et-pr 100 kg | (1) |
| S B. If you answered no to 9A, did you provide services valued in excess of \$50,000 to customers in your State who purchased goods valued in excess of \$50,000 from directly outside your State? If no, indicate the value of any such services you provided. S C. If you answered no to 9A and 9B, did you provide services valued in excess of \$50,000 to public utilities, transit systems, newspapers, health care institutions, broadcasting stations, commercial buildings, educational institutions, or retail concerns? If less than \$50,000, indicate amount. \$ D. Did you sell goods valued in excess of \$50,000 directly to customers located outside your State? If less than \$50,000, indicate amount. \$ E. If you answered no to 9D, did you sell goods valued in excess of \$50,000 directly to customers located inside your State who purchased other goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ S Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ S Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ S Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ S Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ S Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$100,000, indicate amount. \$ S Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$100,000 from goods and goods and goods and goods | | 5 0 5 0 0 0 1 the to an | atherens sutaids your State? If no i | ndiasta actual value | YES | NO |
| valued in excess of \$50,000 from directly outside your State? If no, indicate the value of any such services you provided. S. If you answered no to 9A and 9B, did you provide services valued in excess of \$50,000 to public utilities, transit systems, newspapers, health care institutions, broadcasting stations, commercial buildings, educational institutions, or retail concerns? If less than \$50,000, indicate amount. \$ Did you sell goods valued in excess of \$50,000 directly to customers located outside your State? If less than \$50,000, indicate amount. \$ E. If you answered no to 9D, did you sell goods valued in excess of \$50,000 directly to customers located inside your State who purchased other goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ S. Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ 3. Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ 3. Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ 3. Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ 3. Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ 3. Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ 4. Gross Revenues from all sales or performance of services (Cheek the largest amount): [1] YES [1] NO (If yes, name and address of associati | S | | 3 3 | | | |
| S. C. If you answered no to 9A and 9B, did you provide services valued in excess of \$50,000 to public utilities, transit systems, newspapers, health care institutions, broadcasting stations, commercial buildings, educational institutions, or retail concerns? If less than \$50,000, indicate amount. \$ D. Did you sell goods valued in excess of \$50,000 directly to customers located outside your State? If less than \$50,000, indicate amount. \$ E. If you answered no to 9D, did you sell goods valued in excess of \$50,000 directly to customers located inside your State who purchased other goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ S. D. Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ S. D. Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ S. D. Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ S. D. Did you purchase from all sales or performance of services (Check the largest amount): [] \$100,000 [] \$250,000 [] \$500,000 [] \$1,000,000 or more If less than \$100,000, indicate amount. Did you begin operations within the last 12 months? If yes, specify date: [] YES [] NO (IJyes, name and address of association or group). [] YES [] NO (IJYES, name and address of association or group). [] REPRESENTATIVE BEST QUALIFIED TO GIVE FURTHER INFORMATION ABOUT YOUR OPERATIONS NAME [] AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE [] AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE [] AME AND TITLE (IJYPE or Print) [] SIGNATURE [] SIGNATURE [] DATE | B. If you answered no to 9A, did you | provide services valued in exces | s of \$50,000 to customers in your S | tate who purchased goods | | |
| newspapers, health care institutions, broadcasting stations, commercial buildings, educational institutions, or retail concerns? If less than \$50,000, indicate amount. \$ D. Did you sell goods valued in excess of \$50,000 directly to customers located outside your State? If less than \$50,000, indicate amount. \$ E. If you answered no to 9D, did you sell goods valued in excess of \$50,000 directly to customers located inside your State who purchased other goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ F. Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ 3. Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ 4. Gross Revenues from all sales or performance of services (Check the largest amount): [] \$100,000 [] \$250,000 [] \$500,000 [] \$1,000,000 or more If less than \$100,000, indicate amount. Did you begin operations within the last 12 months? If yes, specify date: [10 ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? [1 YES [] NO (If yes, name and address of association or group). II. REPRESENTATIVE BEST QUALIFIED TO GIVE FURTHERINFORMATION ABOUT YOUR OPERATIONS NAME 12. AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE SAME AND TITLE (Type or Print) SIGNATURE E-MAIL ADDRESS DATE | valued in excess of \$50,000 from d | irectly outside your State? If no, | , indicate the value of any such se | ervices you provided. | | |
| newspapers, health care institutions, broadcasting stations, commercial buildings, educational institutions, or retail concerns? If less than \$50,000, indicate amount. \$ D. Did you sell goods valued in excess of \$50,000 directly to customers located outside your State? If less than \$50,000, indicate amount. \$ E. If you answered no to 9D, did you sell goods valued in excess of \$50,000 directly to customers located inside your State who purchased other goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ F. Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ 3. Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ 4. Gross Revenues from all sales or performance of services (Check the largest amount): [] \$100,000 [] \$250,000 [] \$500,000 [] \$1,000,000 or more If less than \$100,000, indicate amount. Did you begin operations within the last 12 months? If yes, specify date: [10 ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? [1 YES [] NO (If yes, name and address of association or group). II. REPRESENTATIVE BEST QUALIFIED TO GIVE FURTHERINFORMATION ABOUT YOUR OPERATIONS NAME 12. AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE SAME AND TITLE (Type or Print) SIGNATURE E-MAIL ADDRESS DATE | C. If you are proved no to 0A and 0B di | d von provide gervices valued in | excess of \$50,000 to public utilitie | s transit systems | | |
| less than \$50,000, indicate amount. \$ D. Did you sell goods valued in excess of \$50,000 directly to customers located outside your State? If less than \$50,000, indicate amount. \$ E. If you answered no to 9D, did you sell goods valued in excess of \$50,000 directly to customers located inside your State who purchased other goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ F. Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ G. Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ H. Gross Revenues from all sales or performance of services (Check the largest amount): [] \$100,000 [] \$250,000 [] \$500,000 [] \$1,000,000 or more If less than \$100,000, indicate amount. Did you begin operations within the last 12 months? If yes, specify date: O ARE YOUA MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? O ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? O ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? O ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? O ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? O ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? O ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? O ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? O ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? O ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE B | newspapers, health care institutions | s. broadcasting stations, commerce | cial buildings, educational institution | ns, or retail concerns? If | 1 1 | . (4 |
| D. Did you sell goods valued in excess of \$50,000 directly to customers located outside your State? If less than \$50,000, indicate amount. \$ E. If you answered no to 9D, did you sell goods valued in excess of \$50,000 directly to customers located inside your State who purchased other goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ F. Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ G. Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ H. Gross Revenues from all sales or performance of services (Check the largest amount): [] \$100,000 [] \$250,000 [] \$500,000 [] \$500,000 or more If less than \$100,000, indicate amount. Did you begin operations within the last 12 months? If yes, specify date: O ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? O ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? O ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? O ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? O ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? O ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? O ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? O ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? O ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? O ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? O ARE YOU A MEMBER OF AN A | less than \$50,000, indicate amount. | \$ | 22 7 1 2 | 1 25 N DR 10 DE | h | |
| purchased other goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. F. Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ G. Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ H. Gross Revenues from all sales or performance of services (Check the largest amount): [] \$100,000 [] \$250,000 [] \$500,000 [] \$1,000,000 or more If less than \$100,000, indicate amount. Did you begin operations within the last 12 months? If yes, specify date: O ARE YOUA MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? [] YES [] NO (If yes, name and address of association or group). REPRESENTATIVE BEST QUALIFIED TO GIVE FURTHER INFORMATION ABOUT YOUR OPERATIONS NAME TITLE E-MAIL ADDRESS TEL. NUMBER 12. AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE NAME AND TITLE (Type or Print) SIGNATURE E-MAIL ADDRESS DATE | D. Did you sell goods valued in excess | s of \$50,000 directly to customers | | | | |
| \$ Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate amount. \$ Good you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ H. Gross Revenues from all sales or performance of services (Check the largest amount): | E. If you answered no to 9D, did you | sell goods valued in excess of \$50 | 0,000 directly to customers located | inside your State who | | |
| amount. \$ 3. Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ 4. Gross Revenues from all sales or performance of services (Check the largest amount): [] \$100,000 [] \$250,000 [] \$500,000 [] \$1,000,000 or more If less than \$100,000, indicate amount. [] Did you begin operations within the last 12 months? If yes, specify date: [] ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? [] YES [] NO (If yes, name and address of association or group). [] REPRESENTATIVE BEST QUALIFIED TO GIVE FURTHER INFORMATION ABOUT YOUR OPERATIONS NAME TITLE [] E-MAIL ADDRESS [] TEL, NUMBER [] AUTHORIZED REPRESENTATIVE COMPETING THIS QUESTIONNAIRE [] SIGNATURE [] E-MAIL ADDRESS [] DATE | purchased other goods valued in ex | cess of \$50,000 from directly out | tside your State? If less than \$50,00 | 00, indicate amount. | 1 1 | |
| amount. \$ 3. Did you purchase and receive goods valued in excess of \$50,000 from enterprises who received the goods directly from points outside your State? If less than \$50,000, indicate amount. \$ 4. Gross Revenues from all sales or performance of services (Check the largest amount): [] \$100,000 [] \$250,000 [] \$500,000 [] \$1,000,000 or more If less than \$100,000, indicate amount. [] Did you begin operations within the last 12 months? If yes, specify date: [] ARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? [] YES [] NO (If yes, name and address of association or group). [] REPRESENTATIVE BEST QUALIFIED TO GIVE FURTHER INFORMATION ABOUT YOUR OPERATIONS NAME TITLE [] E-MAIL ADDRESS [] TEL, NUMBER [] AUTHORIZED REPRESENTATIVE COMPETING THIS QUESTIONNAIRE [] SIGNATURE [] E-MAIL ADDRESS [] DATE | <u>\$</u> | da valued in overage of \$50,000 6 | from directly outside your State? If | less than \$50,000 indicate | - | - |
| outside your State? If less than \$50,000, indicate amount. \$ H. Gross Revenues from all sales or performance of services (Check the largest amount): [] \$100,000 [] \$250,000 [] \$500,000 [] \$1,000,000 or more If less than \$100,000, indicate amount. Did you begin operations within the last 12 months? If yes, specify date: [] YES [] NO (If yes, name and address of association or group). [] REPRESENTATIVE BEST QUALIFIED TO GIVE FURTHER INFORMATION ABOUT YOUR OPERATIONS NAME TITLE E-MAIL ADDRESS TEL, NUMBER 12. AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE NAME SIGNATURE E-MAIL ADDRESS DATE NAME SIGNATURE E-MAIL ADDRESS DATE | amount. \$ | | | | | |
| [] \$100,000 [] \$250,000 [] \$500,000 [] \$1,000,000 or more If less than \$100,000, indicate amount. Did you begin operations within the last 12 months? If yes, specify date: OPARE YOU A MEMBER OF AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? [] YES [] NO (If yes, name and address of association or group). REPRESENTATIVE BEST QUALIFIED TO GIVE FURTHER INFORMATION ABOUT YOUR OPERATIONS NAME | outside your State? If less than \$ | 50,000, indicate amount. \$ | | oods directly from points | | |
| [] YES [] NO (If yes, name and address of association or group). [] YES [] NO (If yes, name and address of association or group). [] REPRESENTATIVE BEST QUALIFIED TO GIVE FURTHER INFORMATION ABOUT YOUR OPERATIONS NAME TITLE E-MAIL ADDRESS TEL. NUMBER 12. AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE NAME AND TITLE (Type or Print) SIGNATURE E-MAIL ADDRESS DATE | H. Gross Revenues from all sales or [] \$100,000 [] \$250,000 [] \$ | performance of services (Check to 500,000 [] \$1,000,000 or more | the largest amount): If less than \$100,000, indicate amount | unt, | | |
| [] YES [] NO (If yes, name and address of association or group). [] YES [] NO (If yes, name and address of association or group). [] REPRESENTATIVE BEST QUALIFIED TO GIVE FURTHER INFORMATION ABOUT YOUR OPERATIONS. NAME TITLE E-MAIL ADDRESS TEL. NUMBER 12. AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE NAME AND TITLE (Type or Print) SIGNATURE E-MAIL ADDRESS DATE | I. Did you begin operations within | the last 12 months? If yes, sp | ecify date: | 2 | | |
| [] YES [] NO (If yes, name and address of association or group). II. REPRESENTATIVE BEST QUALIFIED TO GIVE FURTHER INFORMATION ABOUT YOUR OPERATIONS NAME TITLE E-MAIL ADDRESS TEL. NUMBER 12. AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE NAME AND TITLE (Type or Print) SIGNATURE E-MAIL ADDRESS DATE | 10 ARE YOU A MEMBER OF AN ASS | DETATION OR OTHER EMPLOY | YER GROUP THAT ENGAGES IN C | OLLECTIVE BARGAINING | Candi Valle | (A) |
| II. REPRESENTATIVE BEST QUALIFIED TO GIVE FURTHER INFORMATION ABOUT YOUR OPERATIONS NAME TITLE E-MAIL ADDRESS TEL. NUMBER 12. AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE NAME AND TITLE (Type or Print) SIGNATURE E-MAIL ADDRESS DATE | | | | | | |
| NAME TITLE E-MAIL ADDRESS TEL. NUMBER 12. AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE NAME AND TITLE (Type or Print) SIGNATURE E-MAIL ADDRESS DATE | | | MATION ABOUT YOUR OPERATI | IONS | | Marie . |
| NAME AND TITLE (Type or Print) SIGNATURE E-MAIL ADDRESS DATE | | | E-MAIL ADDRESS | TEL. NUM | BER | |
| NAME AND TITLE (Type or Print) SIGNATURE E-MAIL ADDRESS DATE | | | | | | |
| NAME AND TITLE (Type or Print) SIGNATURE E-MAIL ADDRESS DATE | 12 ATERY | DIZED DEPRESENTATION | VE COMPLETING THIS OU | ESTIONNAIRE | allow Det | of talk |
| | | | E-MAIL ADDRESS | | TE | 10000 |
| | | | | | | |
| PRIVACY ACT STATEMENT | | PRIVACY | ACTSTATEMENT | | | |

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing representation and/or unfair labor practice proceedings and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary. However, failure to supply the information may cause the NLRB to refuse to process any further a representation or unfair labor practice case, or may cause the NLRB to issue you a subpoena and seek enforcement of the subpoena in federal court.

Filed: 11/05/2018 Pag

Page 204 of 208

FORM-NLRB 4701 (Revised 1/2003 kd)

NATIONAL LABOR RELATIONS BOARD

NOTICE OF APPEARANCE

| MEMORIAL CARE HEALTH SYSTEM, D/B/LONG BEACH MEMORIAL MEDICAL CEN | YA NTER |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Charged Party | |
| and | Case 21-CA-142289 |
| CALIFORNIA NURSES ASSOCIATION/NATIONAL NURSES UNIT (CNA/NNU) Charging Party | ED |
| TO: (Check One Box Only) ¹ | |
| REGIONAL DIRECTOR EXEC | GENERAL COUNSEL ALLabor Relations Board Ington, DC 20570 GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570 |
| THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS R | EPRESENTATIVE OF |
| | |
| IN THE ABOVE-CAPTIONED MATTER. | |
| CHECK THE APPROPRIATE BOX(ES) BELOW: | |
| REPRESENTATIVE IS AN ATTORNE | Y , |
| RECEIVE COPIES OF CERTAIN DOO IN ADDITION TO THOSE DESCRIBED IS NOT CHECKED, THE PARTY WI SUCH AS CHARGES, PETITIONS AN 102.14 AND 102.113 OF THE BOARD'S | RNEY, IN ORDER TO ENSURE THAT THE PARTY MAY CUMENTS OR CORRESPONDENCE FROM THE AGENCY D BELOW, THIS BOX MUST BE CHECKED. IF THIS BOX LL RECEIVE ONLY COPIES OF CERTAIN DOCUMENTS ID FORMAL DOCUMENTS AS DESCRIBED IN SECTIONS RULES AND REGULATIONS. RESENTATIVE INFORMATION |
| NAME: | |
| MAILING ADDRESS: | |
| E-MAIL ADDRESS: | |
| OFFICE TELEPHONE NUMBER: | |
| CELL PHONE NUMBER: | FAX: |
| SIGNATURE: | (Please sign in ink) |
| DATE: | |

¹ IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.

Filed: 11/05/2018 Page 205 of 208

FORM NLRB-4541 (9-03)

UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

NOTICE:

PARTIES INVOLVED IN AN INVESTIGATION OF AN UNFAIR LABOR PRACTICE CHARGE SHOULD BE AWARE OF THE FOLLOWING PROCEDURES:

Right to be Represented - Any party has the right to be represented by an attorney or other representative in any proceeding before the National Labor Relations Board. If you wish to have a representative appear on your behalf, please have your attorney or other representative complete Form NLRB-4701, Notice of Appearance, and forward it to the respective Regional Office as soon as a representative is chosen.

Attorneys and Service of Documents - If your representative is an attorney, such attorney will receive exclusive service of all documents, except that you and your attorney will both receive those documents described in Sec. 11842.3(a) of the Casehandling Manual. However, your attorney may consent to have additional documents or correspondence served on you by making the appropriate designation on Form NLRB-4701, Notice of Appearance.

Non-Attorney Representatives and Service of Documents - If your representative is not an attorney, you and your representative may receive copies of all documents and correspondence.

Impartial Investigation - Upon receipt of a charge, the Regional Office will conduct an impartial investigation to obtain all material and relevant evidence. Your active cooperation in making witnesses available and stating your position will be most helpful to the Region in determining whether the charge has merit. The Region may also contact and interview other relevant witnesses and parties.

If only the charging party cooperates in the investigation, its evidence may warrant issuance of complaint in the absence of the charged party's defenses. Thus, the charged party is encouraged to fully cooperate and present all available evidence and its defenses. The Region seeks such relevant evidence from all parties to reach an informed determination and help resolve the matter, whether or not the case has merit, at the earliest possible time.

Withdrawal/Dismissal - If the Regional Director determines that the charge lacks merit, the charging party is offered the opportunity to withdraw. Should the charging party not withdraw the charge, the Regional Director will dismiss the charge and advise the charging party of the right to appeal the dismissal to the General Counsel.

Pre-Complaint Voluntarily Adjustment - If the Regional Director determines that the charge has merit, all parties are afforded an opportunity to settle the matter by voluntary adjustment. It is our policy to explore and encourage voluntary adjustment before proceeding with costly and time-consuming litigation before the Board and courts.

Complaint and Voluntary Adjustment - If, following the investigation, the Regional Director determines that there is merit to the charge and a voluntary adjustment is not reached, the Regional Director will issue a complaint and notice of hearing. The hearing will be conducted before an administrative law judge who will issue a decision and recommendation to the Board in Washington, D.C. However, issuance of a complaint does not preclude voluntary adjustment by the parties. On the contrary, at any stage of the proceeding the Regional Director and staff will be available to provide any assistance in arriving at an appropriate settlement.

(Revised 10/26/2009)

Attachment

ELECTRONIC FILINGS THROUGH THE AGENCY'S WEBSITE AND EMAIL COMMUNICATION WITH BOARD AGENTS

ELECTRONIC FILING OF DOCUMENTS WITH THE AGENCY: All Regional Offices are in the process of creating an electronic investigative case file that contains electronic copies of all documents in the paper case file. This electronic case file initiative is a central component of the Agency's development of a new case management system called NxGen. When the NxGen system is deployed throughout the Agency, this system will provide parties greater access to public information about pending cases. To facilitate this important initiative, the Agency strongly urges all parties to submit documents and other materials (except unfair labor practice charges and representation petitions) through the Agency's E-Filling system on its website: http://www.nlrb.gov.

On the home page of the Agency's website, click on the "E-Gov" tab, select E-Filing, and follow the detailed instructions. The following documents may be filed electronically through the Agency's website:

- Answer to Complaint or Compliance Specification. However, if the electronic version of an Answer to a Complaint or a Compliance Specification is not in a pdf format that includes the signature of the party or its representative, the original answer containing the required signature must be submitted to the Regional Office by traditional means within three (3) business days after the date of electronic filing.
- Appeal Filings to the Office of the General Counsel
- Briefs
- Disclaimer of Interest
- EAJA Applications
- Evidence
- Excelsior List
- Exceptions or Cross Exceptions
- Extension of Time Request
- Motions and Oppositions to Motions
- Notice of Appearance
- Objections to an Election
- Petition to Revoke a Subpoena or Response
- Position Statement
- Reguest for Review
- Request for Special Permission to Appeal
- Request to Proceed
- Withdrawal Request

E-FILINGS MUST BE TIMELY: The Agency will accept electronic filings up to 11:59 p.m. in the time zone of the receiving office on the due date. Filings accomplished by any other means must comply with the requirements of Section 102.111 of the Board's Rules and Regulations.

A document will be considered timely filed if the E-Filing receipt reflects that the entire
document was received by the Agency's E-Filing system before midnight local time on
the due date. (Midnight is considered the beginning of a new day.) Filings accomplished
by any other means such as mail, personal delivery, or facsimile (if allowed), must be
received by the close of business in the receiving office on the due date.

Filed: 11/05/2018

- Unlike the Federal Courts, the Agency does not add 3 days to any due date regardless
 of the manner the document to which the filer is responding was served.
- Although the Agency's E-Filing system is designed to receive filings 24 hours per day, parties are strongly encouraged to file documents in advance of the filing deadline and during the normal business hours of the receiving office, in the event problems are encountered and alternate means of filing become necessary.
- The receiving office's staff will respond to non-technical questions regarding the E-Filing system during normal business hours. For technical problems, please refer to the E-Filing FAQ or send an email to e-filing@nlrb.gov. If you wait until after the close of business to attempt to E-File and encounter problems, no one will be available to assist you.
- Technical Failure. If the Agency's E-Filing system is unable to receive documents for a continuous period of more than 2 hours after 12 noon (Eastern Time), the site will be declared to be in technical failure. Notice of the technical failure determination will be posted on the website as soon as possible. Scheduled service, system maintenance or upgrades, or when the system will be unavailable to receive filings, will also be posted. If the system is determined to be in technical failure on the due date for the filing of a document and the failure prohibited a party from E-Filing, the document must be filed by 5:00 p.m. (Eastern Time) on the next business day.
- User Problems. Problems with a user's telephone lines, internet service provider, hardware, or software; user problems in understanding or following the E-Filing instructions; or rejection of a document because it contains a virus do not constitute a technical failure and will not excuse an untimely filing. A filer who cannot E-File a document because of any of these user problems must file conventionally and timely. The Agency's offices have no lobby facilities for filing after the close of business. Thus, a user who waits until after close of business on the due date to attempt to E-File does so at his/her peril. If you are unsure whether the problem is a technical failure or a user problem, assume it is a user problem.
- If a timely, conventional filing is impossible because a user problem developed after close of business on the due date, the user should attempt to E-File using another computer with internet access, such as another computer in the office, a home computer, a computer at a public library, or a computer at a commercial business service center.

ELECTRONIC FILING IS A THREE-STEP PROCESS: Electronic filing is not complete until all three steps of the process are completed: (1) entering your data and uploading your document(s); (2) reviewing and confirming your submission; and (3) receiving your receipt with confirmation number.

PREFERRED DOCUMENT FORMAT IS PDF: The preferred format for submitting documents using E-Filing is Adobe's Portable Document Format (*.pdf). However, in order to make the Agency's E-Filing system more widely available to the public, persons who do not have the ability to submit documents in PDF format may submit documents in Microsoft Word format (*.doc). Persons who do not have the ability to submit documents in either PDF or Microsoft Word format may submit documents in simple text format (*.txt). Regardless of the format, all documents E-Filed with the Agency must be submitted in a "read-only" state.

Find Your Regional Office : Directory 1-866-6

1-866-667-NLRB

Español

Search

Search Tools

Home

Rights We Protect

What We Do

Who We Are

Cases & Decisions

News & Outreach

Reports & Guidance

Home » Cases & Decisions » Case Search

Sign up for NLRB Updates

MEMORIALCARE HEALTH SYSTEM, D/B/A LONG BEACH MEMORIAL MEDICAL CENTER

Case Number: 21-CA-142289

Date Filed: 12/04/2014

Status: Closed on 01/30/2015

Location: LONG BEACH, CA Region Assigned: Region 21, Los Angeles, California

Reason Closed: Withdrawal Adjusted

90806

Docket Activity

| Date ** | Document | Issued/Filed By |
|------------|--------------------------------------|-----------------|
| 01/30/2015 | Letter Approving Withdrawal Request* | NLRB - GC |
| 12/05/2014 | Initial Letter to Charging Party* | NLRB - GC |
| 12/05/2014 | Initial Letter to Charged Party* | NLRB - GC |
| 12/04/2014 | Signed Charge Against Employer* | NLRB - GC |
| | | |

The Docket Activity list does not reflect all actions in this case.

Allegations

• 8(a)(5) Refusal to Bargain/Bad Faith Bargaining (incl'g surface bargaining/direct dealing)

MEMORIAL CARE HEALTH SYSTEM, D/B/A LONG BEACH MEMORIAL MEDICAL CENTER

Resources

Download the Mobile App

The NLRB Process

Recent Filings

E-File Documents

E-File Charge / Petitlon

Fact Sheets

Graphs & Data

FAQs

Site Feedback

Forms

National Labor Relations Act (NLRA)

Related Agencies

O SHARE

Participants

Employer

| Participant | Address | <u>Phone</u> |
|----------------------------------------------------------------|---------------|--------------|
| Charging Party | OAKLAND, CA | |
| Union | 94612 | |
| CALIFORNIA NURSE ASSOCIATION/NATIONAL NURSES UNITED (CNA/NNU) | | |
| Charging Party | GLENDALE, CA | |
| Additional Service | 91204 | |
| CALIFORNIA NURSES ASSOCIATION/NATIONAL NURSES UNITED (CNA/NNU) | | |
| Charging Party | GLENDALE, CA | |
| Additional Service | 91204 | |
| CALIFORNIA NURSES ASSOCIATION/NATIONAL NURSES UNITED (CNA/NNU) | | |
| Charged Party / Respondent | LONG BEACH, C | Α |
| | | |

Site Map Policies Feedback FOIA OpenGov Inspector General Accessibility No Fear Act USA.gov PDF Viewer Download App

^{*} This document may require redactions before it can be viewed. To obtain a copy, please file a request through our FOIA Branch.